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# NEW YORK STATE **REGISTER**

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State agencies must specify in each notice which proposes a rule the last date on which they will accept public comment. Agencies must always accept public comment: for a minimum of 60 days following publication in the *Register* of a Notice of Proposed Rule Making, or a Notice of Emergency Adoption and Proposed Rule Making; and for 45 days after publication of a Notice of Revised Rule Making, or a Notice of Emergency Adoption and Revised Rule Making in the *Register*. When a public hearing is required by statute, the hearing cannot be held until 60 days after publication of the notice, and comments must be accepted for at least 5 days after the last required hearing. When the public comment period ends on a Saturday, Sunday or legal holiday, agencies must accept comment through the close of business on the next succeeding workday.

***For notices published in this issue:***

- the 60-day period expires on December 27, 2020
- the 45-day period expires on December 12, 2020
- the 30-day period expires on November 27, 2020

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**NEW YORK STATE DEPARTMENT OF STATE**

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# NEW YORK STATE REGISTER

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## Be a part of the rule making process!

The public is encouraged to comment on any of the proposed rules appearing in this issue. Comments must be made in writing and must be submitted to the agency that is proposing the rule. Address your comments to the agency representative whose name and address are printed in the notice of rule making. No special form is required; a handwritten letter will do. Individuals who access the online *Register* ([www.dos.ny.gov](http://www.dos.ny.gov)) may send public comment via electronic mail to those recipients who provide an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings.

To be considered, comments should reach the agency before expiration of the public comment period. The law provides for a minimum 60-day public comment period after publication in the *Register* of every Notice of Proposed Rule Making, and a 45-day public comment period for every Notice of Revised Rule Making. If a public hearing is required by statute, public comments are accepted for at least five days after the last such hearing. Agencies are also required to specify in each notice the last date on which they will accept public comment.

When a time frame calculation ends on a Saturday or Sunday, the agency accepts public comment through the following Monday; when calculation ends on a holiday, public comment will be accepted through the following workday. Agencies cannot take action to adopt until the day after expiration of the public comment period.

The Administrative Regulations Review Commission (ARRC) reviews newly proposed regulations to examine issues of compliance with legislative intent, impact on the economy, and impact on affected parties. In addition to sending comments or recommendations to the agency, please do not hesitate to transmit your views to ARRC:

Administrative Regulations Review Commission  
State Capitol  
Albany, NY 12247  
Telephone: (518) 455-5091 or 455-2731

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KEY: (P) Proposal; (RP) Revised Proposal; (E) Emergency; (EP) Emergency and Proposal; (A) Adoption; (AA) Amended Adoption; (W) Withdrawal

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Individuals may send public comment via electronic mail to those recipients who provided an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings. Choose pertinent issue of the *Register* and follow the procedures on the website ([www.dos.ny.gov](http://www.dos.ny.gov))

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AAM        -the abbreviation to identify the adopting agency  
01         -the *State Register* issue number  
96         -the year  
00001      -the Department of State number, assigned upon receipt of notice.  
E           -Emergency Rule Making—permanent action not intended (This character could also be: A for Adoption; P for Proposed Rule Making; RP for Revised Rule Making; EP for a combined Emergency and Proposed Rule Making; EA for an Emergency Rule Making that is permanent and does not expire 90 days after filing.)

Italics contained in text denote new material. Brackets indicate material to be deleted.

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## Department of Audit and Control

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### PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

#### Budgets and Financial Plan Format of Public Authorities

I.D. No. AAC-43-20-00004-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** This is a consensus rule making to amend sections 203.1, 203.2, 203.3; repeal section 203.10 of Title 2 NYCRR.

**Statutory authority:** Constitution, art. X, section 5; State Finance Law, section 8[14]

**Subject:** Budgets and Financial Plan Format of Public Authorities.

**Purpose:** Conform regulations related to the submission of annual budgets and financial plans to the Public Authorities Reform Act of 2009.

**Text of proposed rule:** 203.1

(a) Purpose.

The purpose of this Part is to set forth specific requirements in connection with the submission and format of, the preparation of supporting documentation for, and the monitoring of, annual budgets and financial plans of the public authorities [listed in] *covered by this Part*. All requirements of this Part apply immediately upon the effective date of this Part, except as otherwise consented to by the State Comptroller at the request of individual public authorities, upon good cause shown.

*The following terms are defined as follows for the purposes of this Part:*

(1) *Affiliate or affiliated with shall mean a corporate body or company controlling, controlled by, or under common control with another corporate body.*

(2) *Subsidiary shall mean a corporate body or company:*

(i) *having more than half of its voting shares owned or held by a public authority or other public corporation covered by this Part; or*

(ii) *having a majority of its directors, trustees or members in common with the directors, trustees or members of a public authority or other public corporation covered by this Part or as designees of a public authority or other public corporation covered by this Part.*

(b) *Scope.*

*This Part applies to all public authorities and other public corporations created by or existing under any law of the State of New York, including any and all affiliates and subsidiaries of such public authorities or public corporations, other than:*

(1) *a public authority or other public corporation created pursuant to agreement or compact with another state or with a foreign power, except where the parties to such agreement or compact have consented to the supervision of the authority's or corporation's accounts by the State Comptroller;*

(2) *a local authority as defined in section 2 of the Public Authorities Law.*

203.2 Applicability.

Except as provided in the next sentence, this Part shall apply to every authority, commission or public benefit corporation identified as a "public authority" in section [203.10] 203.1 of this Part, unless a waiver is granted by the State Comptroller upon good cause shown. The Metropolitan Transportation Authority and its Agencies shall continue to be governed by Part 202 of this Title with the exception that sections 203.4(a)-(e), 203.6(d)-(g), and 203.8(b) and (c) of this Part shall also apply to the Metropolitan Transportation Authority and its agencies; provided, however, that with respect to the Metropolitan Transportation Authority and its agencies, the definitions set forth in Part 202 of this Chapter shall be used for purposes of determining compliance with the applicable provisions of this Part.

203.3 Definitions.

For purposes of this Part:

(a) [Affiliate shall mean a corporate body or company controlling, controlled by, or under common control with another corporate body.

(b) ] Board shall mean the governing board, members of the public authority, board of directors, board of trustees or trustees or other similar governing body as described in the laws, articles of incorporation or corporate by-laws creating and/or governing the authority.

(b) Budget shall mean the proposed and approved budgets, and any amendments or modifications thereto, of the public authority. The budget shall include all the organizations, programs, activities, and functions of the public authority that comprise its accounting entity in accordance with accounting principles generally accepted in the United States of America.

(c) Chief financial officer shall mean the treasurer, chief fiscal officer or other executive level officer directly responsible for overseeing the financial activities of the public authority.

(d) Chief operating officer shall mean the executive director or other executive level officer responsible for overseeing the day-to-day activities of the public authority.

(e) Debt shall mean bonds, notes, contractual financing arrangements, or other evidences of indebtedness issued by the public authority for any purpose.

(f) Financial plan shall mean the budget for the current fiscal year and revenue and expenditure projections, in a format consistent with the budget, for at least the three following years.

(g) Gap shall mean the difference between projected revenues and other financing sources and expenditures and other financing uses for any given fiscal year before proposed management actions that would increase revenues or reduce costs.

(h) Gap-closing program shall mean any combination of management actions that reduce costs or increase revenues that lower a gap in any given fiscal year.

[ (j) Subsidiary shall mean a corporate body or company;



(1) having more than half of its voting shares owned or held by a public authority specified in this section; or

(2) having a majority of its directors, trustees or members in common with the directors, trustees or members of a public authority specified in this section or as designees of a public authority specified in this section.]

Section 203.10 of Part 203 of Chapter V of Title 2 of NYCRR is REPEALED.

**Text of proposed rule and any required statements and analyses may be obtained from:** Jamie Elacqua, Office of the State Comptroller, 110 State Street, Albany, NY 12236, (518) 473-4146, email: jelacqua@osc.ny.gov

**Data, views or arguments may be submitted to:** Same as above.

**Public comment will be received until:** 60 days after publication of this notice.

#### Consensus Rule Making Determination

This is a consensus rulemaking proposed for the purpose of conforming regulations relating to the budgets and financial plans of public authorities to the provisions of the Public Authorities Reform Act of 2009. These technical amendments relate to the submission and format of, the preparation of supporting documentation for, and the monitoring of, annual budgets and financial plans of public authorities. It has been determined that no person is likely to object to the adoption of the rule as written.

## Office of Children and Family Services

### EMERGENCY RULE MAKING

#### To Require Districts to Authorize Up to Eight Hours of Child Care Assistance, As Needed, to Assist Parents or Caretakers

**I.D. No.** CFS-31-20-00001-E

**Filing No.** 651

**Filing Date:** 2020-10-08

**Effective Date:** 2020-10-08

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

**Action taken:** Amendment of Part 415 of Title 18 NYCRR.

**Statutory authority:** Social Services Law, sections 20(3)(d), 34(3)(f) and 410-w

**Finding of necessity for emergency rule:** Preservation of public health, public safety and general welfare.

**Specific reasons underlying the finding of necessity:** This rule is in response to Chapter 344 of the Laws of 2019, which amended SSL 410-w to add a new subdivision (9) to require districts to authorize up to eight hours of child care assistance, as needed, to allow parents or caretakers who work non-traditional hours to sleep, if they are eligible for and provided with child care assistance, and have a child who is under the age of 6 and not in school for a full day. Previously, this was a district option. To achieve compliance with the requirements of Chapter 344 of the Laws of 2019, this emergency rule changes Title 18 of the Official Compilation of Codes, Rules and Regulations of the State of New York Section 415.4(c)(3).

**Subject:** To require districts to authorize up to eight hours of child care assistance, as needed, to assist parents or caretakers.

**Purpose:** To require districts to authorize up to eight hours of child care assistance, as needed, to assist parents or caretakers.

**Text of emergency rule:** Part 415 of Title 18 of the Official Compilation of Codes, Rules and Regulations of the State of New York (NYCRR) is hereby amended to read as follows:

Paragraph (3) of subdivision (c) of section 415.4 is amended to read as follows:

(3) The child care services provided must be reasonably related to the hours of employment, education or training of a child's caretaker, as applicable, and permit time for delivery and pick-up of the child. *Child care services must be provided, if needed, to enable an employed caretaker who works non-traditional hours to obtain up to eight hours of sleep if they have a child who is under the age of 6 and not in school for a full day.* [Up to eight hours of c] Child care services may be provided, if needed, to

enable [an] *other* employed caretakers who work[s a second or third shift] *non-traditional hours to obtain up to eight hours of* [to] sleep if the social services district indicates in its [consolidated services plan or integrated county p] *Child and Family Services Plan* that it will provide such services.

**This notice is intended** to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. CFS-31-20-00001-EP, Issue of August 5, 2020. The emergency rule will expire December 6, 2020.

**Text of rule and any required statements and analyses may be obtained from:** Frank J. Nuara, Office of Children and Family Services, 52 Washington St., Rensselaer, NY 12144, (914) 589-3096, email: REGCOMMENTS@ocfs.ny.gov

#### Regulatory Impact Statement

##### (1) Statutory Authority:

Section 20(3)(d) of the Social Services Law (SSL) authorizes the Commissioner of the Office of Children and Family Services (Office) to establish rules, regulations, and policies to carry out the Office's powers and duties under the SSL.

Section 34(3)(f) of SSL authorizes the Commissioner of the Office to establish regulations for the administration of public assistance and care within the State.

Section 410(1) of the SSL authorizes a social services official of a county, city, or town to provide day care for children at public expense and authorizes the Office to establish criteria for when such day care is to be provided.

Section 410-w(9) of the SSL requires a social services district to use funds allocated to it from the child care block grant to provide child care assistance to eligible parents and caretaker recipients when necessary to enable them to sleep because they work non-traditional hours and have a child who is under the age of 6 and not in school for a full school day. The authorization for child care assistance shall be sufficient to allow the parent to obtain up to eight hours of sleep, as needed.

##### (2) Legislative Objectives:

To provide child care to eligible parents and caretaker recipients in families with a child under the age of 6 not in school for a full school day, and who work non-traditional hours, when necessary to enable them to get up to eight hours of sleep.

##### (3) Needs and Benefits:

These changes are necessary to implement the amendment to the social services law, in relation to providing child care to eligible parents and caretaker recipients in families with a child under the age of 6 not in school for a full day, and who work non-traditional hours, when necessary to enable them to get up to eight hours of sleep, as needed. The proposed changes provide a meaningful benefit to eligible families, as sleep subsidy was previously a district option but is now required for families that meet the criteria.

##### (4) Costs:

The costs associated with the proposed changes in the child care regulations are required under state law and will be allowable expenditures under the child care block grant.

##### (5) Local Government Mandates:

Local social services districts will be responsible for modification of their Child and Family Services Plan and determining eligibility for the required subsidy.

##### (6) Paperwork:

There will be minor adjustments to the eligibility and authorization paperwork associated with implementing the requirements.

##### (7) Duplication:

The new regulations do not duplicate state or federal requirements.

##### (8) Alternatives:

There are no alternatives. The regulations are necessary to implement statute.

##### (9) Federal Standards:

This rule is consistent with applicable federal requirements.

##### (10) Compliance Schedule:

The proposed regulations will become effective upon filing.

#### Regulatory Flexibility Analysis

##### (1) Effect on Small Businesses and Local Governments:

This regulation will help to support the needs of small businesses and local governments by uniformly requiring districts to provide child care assistance to eligible families, sufficient to allow a parent to obtain up to eight hours of sleep, as needed. When parents are able to obtain needed sleep without worrying about child care needs it has the potential to make them more efficient and thus improve the outcomes for small businesses.

##### (2) Compliance Requirements:

In response to Chapter 344 of the Laws of 2019, which amended SSL 410-w to add a new subdivision (9), districts are now required to authorize up to eight hours of child care assistance, as needed, to allow eligible



parents or caretaker recipients who work non-traditional hours to sleep, if they are eligible for and provided with child care assistance, and have a child who is under the age of 6 and not in school for a full day. This rule amends Title 18 of the New York State Codes, Rules and Regulations (NYCRR) Section 415.4(c)(3).

(3) Professional Services:

There is no anticipated impact on professional services.

(4) Compliance Costs:

The costs associated with the proposed changes are anticipated to be minimal and are allowable expenditures under the child care block grant.

(5) Economic and Technological Feasibility:

There are no economic or technological impacts on child care programs or local districts.

(6) Minimizing Adverse Impact:

There is no anticipated adverse impact on small businesses or on local governments.

(7) Small Business and Local Government Participation:

OCFS will provide guidance and outreach to small businesses, local districts and local governments that are impacted by the new regulation.

**Rural Area Flexibility Analysis**

(1) Types and Estimated Numbers of Rural Areas

The proposed regulations will apply to eligible families utilizing any modality of regulated child care program operating in the 44 rural areas of the state.

(2) Reporting, Recordkeeping and Other Compliance Requirements; and Professional Services

There would be minor adjustments required to administrative functions related to determining eligibility and recordkeeping associated with the proposed changes for districts. The changes to the child care regulations are necessary to implement the requirements of statute.

(3) Costs

The costs associated with the proposed changes are anticipated to be minimal. These costs are required under state law and will be allowable expenditures under the child care block grant.

(4) Minimizing Adverse Impact

The Office does not anticipate any adverse impact to the child care subsidy program in rural areas as a result of the proposed regulations.

(5) Rural Area Participation

The statute requires implementation uniformly across the state. OCFS will provide guidance and outreach to small businesses, local districts and local governments that are impacted by the new regulation.

**Job Impact Statement**

(1) Nature of Impact

The regulations are not expected to have a negative impact on jobs or employment in either the public or private sector. These regulations could positively impact child care providers in all regions of New York State by providing necessary support for child care, which allows parents to maintain and/or increase employment.

(2) Categories and Numbers Affected

There is no anticipated negative impact on jobs or employment in either the public or private sector, and in fact there could be a positive impact on jobs in the child care market.

(3) Regions of Adverse Impact

Potential impact would be across all regions. There are no regions where the regulations would have a disproportionate adverse impact on jobs or employment opportunities.

(4) Minimizing Adverse Impact

No adverse impact is anticipated.

**Assessment of Public Comment**

The agency received no public comment.

**Action taken:** Amendment of Part 2 of Title 23 NYCRR.

**Statutory authority:** Financial Services Law, sections 102, 201, 202, 302, 305; Administrative Procedure Act, section 301

**Finding of necessity for emergency rule:** Preservation of public health and general welfare.

**Specific reasons underlying the finding of necessity:** On March 7, 2020, Governor Andrew M. Cuomo issued Executive Order Number 202 declaring a disaster emergency in the State of New York in response to the novel coronavirus ("COVID-19") pandemic, which has been extended. COVID-19 has spread to millions of people worldwide, with several hundred thousand confirmed cases in New York State. While the number of individuals currently testing positive in the State has diminished sharply, there are still numerous cases of New Yorkers testing positive for the virus and there has been a sharp increase in the number of individuals testing positive in many other states. Given the public health implications related to COVID-19, it is essential that the Department of Financial Services promulgate regulations that implement protective measures, whenever possible, to help stop its spread.

This amendment adds a new section 2.19 to 23 NYCRR Part 2, specifying that the Department of Financial Services ("Department") may conduct administrative hearings by video conference at the Department's discretion. This is being done so that the parties and hearing officers do not have to be physically present at the same location during hearings. A hearing officer will determine, upon a timely objection filed by the respondent or applicant that a hearing held by video conference would either impinge upon the respondent's or applicant's due process rights, or would be fundamentally unfair or impractical, as expressed in section 2.19(d) of the regulation, if a hearing will be held via video conference.

The Department is taking special precautions during this unprecedented health crisis to minimize the spread of COVID-19 by, among other things, reducing the number of individuals who may enter the Department's offices at any given time. Therefore, conducting an administrative hearing by video conference protects the safety of those participating in, or witnessing the hearing, by avoiding personal contact in a way that will help limit the spread of COVID-19. It is thus imperative that this amendment be promulgated on an emergency basis for the public's general welfare and the preservation of public health.

**Subject:** Rules Governing the Procedures for Adjudicatory Proceedings Before the Department of Financial Services.

**Purpose:** To specify that the Department of Financial Services may conduct administrative hearings by video conference.

**Text of emergency rule:** Section 2.19 is added to read as follows:

§ 2.19 Hearings conducted by video conference.

(a) At the discretion of the Department official who issued the notice of action or proposed action, a hearing held pursuant to this Part may be conducted by video conference. When a hearing is conducted by video conference, none of the parties nor the hearing officer need to be physically present at the same location.

(b) All provisions of this Part that are not inconsistent with the specific provisions of this section shall apply to hearings conducted by video conference. For purposes of § 2.14(b) of this Part, the term hearing room shall mean video conference when a hearing is conducted by video conference.

(c) A respondent or applicant may object to conducting the hearing by video conference by sending a written notice of the objection to the hearing officer by first class mail, overnight mail or electronic mail to an address or email address designated by the Department and posted on the Department's website. The written notice of objection must be received by the hearing officer at least five business days before the time set for the hearing.

(d) In the event that the hearing officer receives a timely written objection to conducting the hearing by video conference in accordance with subdivision (c) of this section, the hearing officer may determine that the hearing shall not be conducted by video conference and shall be conducted with the respondent or applicant and the hearing officer physically present at the same location, when in the judgment of the hearing officer:

(1) the respondent's or applicant's due process rights would best be served by conducting a hearing in-person; or

(2) there are circumstances presented by the respondent or applicant that make proceeding with the hearing by video conference fundamentally unfair or impractical.

**This notice is intended** to serve only as a notice of emergency adoption. This agency intends to adopt this emergency rule as a permanent rule and will publish a notice of proposed rule making in the *State Register* at some future date. The emergency rule will expire January 6, 2021.

**Text of rule and any required statements and analyses may be obtained from:** Sally Geisel, Department of Financial Services, One State Street, New York, NY 10004, (212) 480-7608, email: Sally.Geisel@dfs.ny.gov

## Department of Financial Services

### EMERGENCY RULE MAKING

#### Rules Governing the Procedures for Adjudicatory Proceedings Before the Department of Financial Services

**I.D. No.** DFS-43-20-00002-E

**Filing No.** 659

**Filing Date:** 2020-10-09

**Effective Date:** 2020-10-09

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

**Regulatory Impact Statement**

1. Statutory authority: Financial Services Law (“FSL”) Sections 102, 201, 202, 302 and 305 and the State Administrative Procedure Act Section 301.

FSL Section 102 states the legislative goals for the Department of Financial Services (“Department”) as including, among other things, “to establish a modern system of regulation, rule making and adjudication that is responsive to the needs of the banking and insurance industries and to the needs of the state’s consumers and residents”, and “to promote the reduction and elimination of fraud, criminal abuse and unethical conduct by, and with respect to, banking, insurance and other financial services institutions and their customers”.

FSL Section 201 authorizes the Superintendent of Financial Services (“Superintendent”) to take such actions as she believes is necessary to, among other things, “foster the growth of the financial industry in New York and spur state economic development through judicious regulation and vigilant supervision”; “ensure the continued solvency, safety, soundness and prudent conduct of the providers of financial products and services”; and “eliminate financial fraud, other criminal abuse and unethical conduct in the industry”.

FSL Section 202 establishes the Office of the Superintendent.

FSL Section 302 empowers the Superintendent to, among other things, prescribe, amend, or withdraw rules and regulations involving financial products and services consistent with the Banking Law, Insurance Law, Financial Services Law, and any other law in which the Superintendent is given authority, including but not limited to governance of the procedures to be followed in the practice of the Department.

FSL Section 305 sets forth provisions regarding hearings held by the Department.

State Administrative Procedure Act (“SAPA”) Article 3 governs adjudicatory proceedings. SAPA Section 301 directs all state agencies subject to SAPA to adopt rules governing the procedures on adjudicatory proceedings and appeals.

2. Legislative objectives: The statutory sections cited above establish the Legislature’s intentions for the Superintendent to modernize adjudication proceedings; ensure the financial solvency and sound practices of the individuals and entities that are regulated by the Superintendent; and protect consumers from fraud, criminal abuse and unethical conduct through the Superintendent’s supervision and regulation of the financial services, banking and insurance industries. This proposed amendment accords with the public policy objectives that the Legislature sought to advance in the foregoing sections by specifying that the Department may hold administrative hearings by video conference.

3. Needs and benefits: On March 7, 2020, Governor Andrew M. Cuomo issued Executive Order Number 202 declaring a disaster emergency in the State of New York in response to the novel coronavirus (“COVID-19”) pandemic, which has been extended. COVID-19 has spread to millions of people worldwide, with several hundred thousand confirmed cases in New York State. While the number of individuals currently testing positive in the State has diminished sharply, there are still numerous cases of New Yorkers testing positive for the virus and there has been a sharp increase in the number of individuals testing positive in many other states. Given the public health implications related to COVID-19, it is essential that the Department promulgate regulations that implement protective measures, whenever possible, to help stop its spread.

This amendment adds a new section 2.19 to 23 NYCRR Part 2, specifying that the Department may conduct administrative hearings by video conference at the Department’s discretion so that parties and hearing officers do not have to be physically present at the same location during hearings, subject to a determination by the hearing officer upon a timely objection filed by the respondent or applicant that a hearing held by video conference would either impinge upon the respondent’s or applicant’s due process rights, or would be fundamentally unfair or impractical, as expressed in section 2.19(d) of the regulation. The amendment accords with the Legislature’s goals for the Department to modernize adjudication proceedings and protect the public from harmful actions committed by regulated parties, while also protecting the safety of those participating in or witnessing a hearing by avoiding personal contact in a way that will help limit the spread of COVID-19.

4. Costs: The rule making is not expected to impose any costs on any individual who or entity that may be impacted by the rule making.

5. Local government mandates: This amendment does not impose any program, service, duty, or responsibility upon a county, city, town, village, school district, fire district, or other special district.

6. Paperwork: The rulemaking should not result in any individual who or entity that is impacted by the amendment to generate any additional paperwork.

7. Duplication: The rule making does not duplicate, overlap, or conflict with any existing New York or federal laws, rules, or other legal requirements.

8. Alternatives: There were no significant alternatives to consider.

9. Federal standards: There are no minimum standards of the federal government for the same or similar subject areas.

10. Compliance schedule: The rulemaking will take effect immediately upon filing the Notice of Emergency Adoption with the Secretary of State.

**Regulatory Flexibility Analysis**

1. Effect of rule: The rule making has the potential to impact small businesses as defined by State Administrative Procedures Act (“SAPA”) Section 102(8), which are defined as both independently owned and operated and have 100 or less employees. Licensees and non-licensees that are small businesses may become parties to Department of Financial Services (“Department”) adjudicatory proceedings for having been charged with a violation of the Banking Law, Insurance Law, Financial Services Law, or any other law that authorizes the Superintendent of Financial Services to take action. The actual number of participants in adjudicatory proceedings in a given year may reach into the hundreds, based on prior history.

The rule making does not apply to any local government.

2. Compliance requirements: The rule making does not impose any recordkeeping, reporting or other affirmative acts upon any small business that may be impacted by this rule making, or any local government because the rule making does not apply to any local government.

3. Professional services: No small business impacted by the rule making will need to retain professional services to comply with the rule making, or any local government because the rule making does not apply to any local government.

4. Compliance costs: The rule making does not impose any compliance costs on any small business or local government.

5. Economic and technological feasibility: No small business impacted by the rule making should experience any economic or technological impact as a result of the rule making, or any local government because the rule making does not apply to any local government.

6. Minimizing adverse impact: The Department believes that no small business will be adversely affected by the rule making, or any local government because the rule making does not apply to any local government.

7. Small business and local government participation: The Department will comply with SAPA Section 202-b(6) by publishing the rule making in the State Register and posting the rule making on the Department’s website.

**Rural Area Flexibility Analysis**

The Department of Financial Services finds that this rule making, which adds a new section 2.19 to 23 NYCRR Part 2, specifying that the Department may conduct administrative hearings by video conference at the Department’s discretion so that parties and hearing officers do not have to be physically present at the same location during hearings, subject to a determination by the hearing officer upon a timely objection filed by the respondent or applicant that a hearing held by video conference would either impinge upon the respondent’s or applicant’s due process rights, or would be fundamentally unfair or impractical, as expressed in section 2.19(d) of the regulation, does not impose any additional burdens on persons located in rural areas, and will not have an adverse impact on rural areas because it applies uniformly to all persons that are resident or do business in both rural and non-rural areas of New York State.

**Job Impact Statement**

The Department of Financial Services (“Department”) finds that this rulemaking should not adversely impact job or employment opportunities in New York. This rulemaking adds a new section 2.19 to 23 NYCRR Part 2, specifying that the Department may conduct administrative hearings by video conference at the Department’s discretion so that parties and hearing officers do not have to be physically present at the same location during hearings, subject to a determination by the hearing officer upon a timely objection filed by the respondent or applicant that a hearing held by video conference would either impinge upon the respondent’s or applicant’s due process rights, or would be fundamentally unfair or impractical, as expressed in section 2.19(d) of the regulation.

The Department has no reason to believe that the rulemaking will result in any adverse impact on job or employment, including self-employment, opportunities in New York.



## Department of Health

### EMERGENCY RULE MAKING

#### Enforcement of Social Distancing Measures

**I.D. No.** HLT-43-20-00001-E

**Filing No.** 643

**Filing Date:** 2020-10-07

**Effective Date:** 2020-10-07

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

**Action taken:** Amendment of Part 66 of Title 10 NYCRR.

**Statutory authority:** Public Health Law, sections 201, 206, 225; Executive Order Number 202.14

**Finding of necessity for emergency rule:** Preservation of public health, public safety and general welfare.

**Specific reasons underlying the finding of necessity:** Where compliance with routine administrative procedures would be contrary to public interest, the State Administrative Procedure Act (SAPA) § 202(6) empowers state agencies to adopt emergency regulations necessary for the preservation of public health, safety, or general welfare. In this case, compliance with SAPA for filing of this regulation on a non-emergency basis, including the requirement for a period of time for public comment, cannot be met because to do so would be detrimental to the health and safety of the general public.

The 2019 Coronavirus (COVID-19) is a disease that causes mild to severe respiratory symptoms, including fever, cough, and difficulty breathing. People infected with COVID-19 have had symptoms ranging from those that are mild (like a common cold) to severe pneumonia that requires medical care in a hospital and can be fatal. According to Johns Hopkins' Coronavirus Resource Center, to date, there have been over 8 million cases and 437,604 deaths worldwide, with a disproportionate risk of severe illness for older adults and/or those who have serious underlying medical health conditions.

COVID-19 was found to be the cause of an outbreak of illness in Wuhan, Hubei Province, China in December 2019. Since then, the situation has rapidly evolved throughout the world, with many countries, including the United States, quickly progressing from the identification of travel-associated cases to person-to-person transmission among close contacts of travel-associated cases, and finally to widespread community transmission of COVID-19.

On January 30, 2020, the World Health Organization (WHO) designated the COVID-19 outbreak as a Public Health Emergency of International Concern. On a national level, the Secretary of Health and Human Services determined on January 31, 2020 that as a result of confirmed cases of COVID-19 in the United States, a public health emergency existed and had existed since January 27, 2020, nationwide. Subsequently, on March 13, 2020, President Donald J. Trump declared a national emergency in response to COVID-19, pursuant to Section 501(b) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act.

New York State first identified cases on March 1, 2020 and has since become the national epicenter of the outbreak. On March 7, 2020, with widespread transmission rapidly increasing within certain areas of the state, Governor Andrew M. Cuomo issued an Executive Order declaring a state disaster emergency to aid in addressing the threat COVID-19 poses to the health and welfare of New York State residents and visitors. With over 200,000 deaths and nearly 5 million cases in the country, this pandemic has had a devastating effect on the United States.

As part of ongoing efforts to address this pandemic, Governor Cuomo has issued (and continues to issue) Executive Orders to implement measures aimed at limiting the spread and/or mitigating the impact of COVID-19 within the State. Specifically, on March 22, 2020, Governor Cuomo announced the implementation of "New York State on Pause," a 10-point policy to ensure the uniform public health and safety of New Yorkers. As part of implementing this policy, numerous Executive Orders were issued to, among other things, require the reduction of the in-person workforce of non-essential work locations by 100% and order the cancellation/postponement of non-essential gatherings of any size for any reason, which have both subsequently been modified by more recent Executive Orders, consistent with phased regional reopening criteria. Additionally, Executive Orders have continued to be issued to extend restric-

tions related to closures of indoor common portions of retail shopping malls with an excess of 100,000 square feet of retail space. Executive Orders and corresponding Department guidance have also been issued to require adherence to certain measures by restaurants (i.e., outdoor seating in Phase 2 regions) to account for the phased regional reopening of the State.

Although New York State has shifted to a regional reopening, resulting in a phased relaxation of these prohibitions/requirements, "New York on PAUSE" still applies unless otherwise explicitly modified by Executive Order. Further, given the emergent nature of the COVID-19 outbreak, these emergency regulations are necessary to implement social distancing measures to continue to control the spread of communicable disease, in situations in which the Governor has declared a state disaster emergency.

**Subject:** Enforcement of Social Distancing Measures.

**Purpose:** To control and promote the control of communicable diseases to reduce their spread.

**Text of emergency rule:** The title of Part 66 is amended as follows:

**Immunizations and Communicable Diseases**

A new Subpart 66-3, titled COVID-19 Emergency Regulations, is added to read as follows:

**66-3.1 Duration and Applicability**

*The provision of this Subpart shall apply for the duration of any state disaster emergency declared pursuant to sections 28 and 29-a of the Executive Law related to the outbreak of COVID-19 in New York State. To the extent any provision of this Subpart becomes inconsistent with any Executive Order, the remainder of the provisions in this Subpart shall remain in effect and shall be interpreted to the maximum extent possible as consistent with such Executive Orders.*

**66-3.2 Face-Coverings**

*(a) Any person who is over age two and able to medically tolerate a face-covering shall be required to cover their nose and mouth with a mask or face-covering when in a public place and unable to maintain, or when not maintaining, social distance.*

*(b) Any paying passenger of a public or private transportation carrier or other for-hire vehicle, who is over age two and able to medically tolerate a face covering, shall wear a mask or face-covering over the nose and mouth during any such trip; any employee of such public or private transportation carrier who is operating such public or private transport, shall likewise wear a mask or face-covering which covers the nose and mouth while there are any paying passengers in such vehicle.*

*(c) Any employee who is present in the workplace shall be provided and shall wear a mask or face-covering when in direct contact with customers or members of the public, or when unable to maintain social distance. Businesses must provide, at their expense, such face coverings for their employees.*

*(d) Business operators and building owners, and those authorized on their behalf or otherwise authorized to use the building shall deny admittance to any person who fails to comply with this section and shall require or compel such persons' removal. Provided, however, that this regulation shall be applied in a manner consistent with the federal American with Disabilities Act, New York State or New York City Human Rights Law, and any other applicable provision of law.*

*(e) For purposes of this section:*

*(i) Face-coverings shall include, but are not limited to, cloth masks (e.g. homemade sewn, quick cut, bandana), surgical masks, N-95 respirators, and face shields.*

*(ii) A person shall be considered as maintaining social distancing when keeping at least six feet distance between themselves and any other persons, other than members of such persons' household.*

**66-3.3 Non-essential gatherings**

*(a) There shall be no non-essential gatherings of greater than ten individuals for any reason at any location in the state, including but not limited to parties, celebrations or other social events. This restriction may be modified by any Executive Order issued pursuant to Executive Law Section 28 and 29-A implementing the phased re-opening of New York businesses and the relaxation of social distancing rules by region.*

*(b) No person, business, or other entity, shall encourage or promote any non-essential gathering including those on public property such as streets, sidewalks, parking lots, parks, playgrounds, or beaches, that would violate subdivision (a) of this section.*

*(c) All non-essential gatherings that are permitted pursuant to this section shall comply with social distancing protocols and cleaning and disinfection guidelines issued by the Department.*

*(d) Subdivision (a) of this section shall not apply to any essential business, as defined by the New York State Department of Economic Development d/b/a Empire State Development (ESD), pursuant to the authority provided in Executive 202.6, or as further defined in this Subpart.*

**66-3.4 Business operations**

*(a) All businesses and not-for-profit entities in the state shall utilize, to*

the maximum extent possible, any telecommuting or work from home procedures that they can safely utilize. Except as may otherwise be permitted by any Executive Order issued pursuant to Executive Law Section 28 and 29-A implementing the phased re-opening of New York businesses and the relaxation of social distancing rules by region, each employer shall reduce the in-person workforce at any work locations by 100%, provided that any essential business or entity providing essential services or functions shall not be subject to such in-person restrictions. An entity providing essential services or functions whether to an essential business or a non-essential business shall not be subjected to the in-person work restriction, but may operate at the level necessary to provide such service or function.

(b) Any business not otherwise described herein may be deemed essential after requesting an opinion from the Empire State Development Corporation, which shall review and grant such request, should it determine that it is in the best interest of the state to have the workforce continue at full capacity in order to properly respond to this disaster.

(c) Essential businesses include, but are not limited to, the following: essential health care operations including but not limited to any entity or individual licensed, certified, registered or otherwise approved pursuant to Articles 5, 28, 30, 35, 36 or 40 of the Public Health Law, or Articles 131, 131-B, 131-C, 137, 139, 140, 153, 154, 163, 164 or 165 of the Education Law, and any other research or laboratory services; essential infrastructure including utilities, telecommunication, airports and transportation infrastructure, and hotels and places of accommodation; essential manufacturing, including food processing and pharmaceuticals; essential retail including grocery stores and pharmacies; essential services including trash collection, mail, and shipping services; news media; banks and related financial institutions; providers of basic necessities to economically disadvantaged populations; construction; vendors of essential services necessary to maintain the safety, sanitation and essential operations of residences or other essential businesses; vendors that provide essential services or products, including logistics and technology support, child care and services needed to ensure the continuing operation of government agencies and provide for the health, safety and welfare of the public.

(d) Except as may otherwise be permitted by any Executive Order issued pursuant to Executive Law Section 28 and 29-A implementing the phased re-opening of New York businesses and the relaxation of social distancing rules by region, all restaurants and bars shall cease serving patrons food or beverages on premises, and shall only serve food or beverages for off-premises consumption which may include take-out or delivery services.

(e) Except as may otherwise be permitted by any Executive Order issued pursuant to Executive Law Section 28 and 29-A implementing the phased re-opening of New York businesses and the relaxation of social distancing rules by region, all indoor common portions of retail shopping malls with in excess of 100,000 square feet of retail space available for lease shall remain closed. Any stores located within such shopping malls, which have their own external entrances open to the public, separate from the general mall entrance, and which are essential businesses may remain open, provided that any restaurant shall limit itself to take out or delivery food services, and that any interior entrances to common areas of the mall remain closed and locked.

#### 66-3.5 Penalties

A violation of any provision of this Subpart is subject to all civil and criminal penalties as provided for by law. Individuals or entities that violate this Subpart are subject to a maximum fine of \$1,000 for each violation; provided that individuals or entities who violate section 66-3.3(a) or (b) shall be subject to a maximum fine of \$15,000 for each violation. For purposes of civil penalties, each day that there is a non-essential gathering or that an entity operates in a manner inconsistent with the Subpart shall constitute a separate violation under this Subpart.

A new section 2.60 is added to read as follows

#### 2.60. Enforcement of Social Distancing Measures.

For purposes of civil enforcement, the provisions of Subpart 66-3 of this Title are incorporated herein, and a violation of the provisions of Subpart 66-3 shall be deemed a violation of this Chapter. All local health officers shall take such steps as may be necessary to enforce the provisions of Subpart 66-3 in accordance with the Public Health Law and this Chapter.

**This notice is intended** to serve only as an emergency adoption, to be valid for 90 days or less. This rule expires January 4, 2021.

**Text of rule and any required statements and analyses may be obtained from:** Katherine Ceroalo, DOH, Bureau of Program Counsel, Reg. Affairs Unit, Room 2438, ESP Tower Building, Albany, NY 12237, (518) 473-7488, email: regsqna@health.ny.gov

#### Regulatory Impact Statement

Statutory Authority:

The statutory authority for adding a new Subpart 66-3 is sections 201

and 206 of the Public Health Law. The statutory authority for adding new section 2.60 is section 225 of the Public Health Law.

#### Legislative Objectives:

The legislative objective of PHL § 201 includes authorizing the New York State Department of Health ("Department") to control and promote the control of communicable diseases to reduce their spread. Likewise, the legislative objective of PHL § 206 includes authorizing the Commissioner of Health to take cognizance of the interests of health and life of the people of the state, and of all matters pertaining thereto and exercise the functions, powers and duties of the department prescribed by law, including control of communicable diseases. The legislative objective of Public Health Law § 225 is, in part, to protect the public health by authorizing PPHPC, with the approval of the Commissioner, to amend the State Sanitary Code to address public health issues related to communicable disease. Pursuant to Executive Order 202, the Commissioner may directly issue emergency regulations amending the State Sanitary Code.

#### Needs and Benefits:

The 2019 Coronavirus (COVID-19) is a disease that causes mild to severe respiratory symptoms, including fever, cough, and difficulty breathing. People infected with COVID-19 have had symptoms ranging from those that are mild (like a common cold) to severe pneumonia that requires medical care in a hospital and can be fatal. According to Johns Hopkins' Coronavirus Resource Center, to date, there have been over 8 million cases and 437,604 deaths worldwide, with a disproportionate risk of severe illness for older adults and/or those who have serious underlying medical health conditions.

COVID-19 was found to be the cause of an outbreak of illness in Wuhan, Hubei Province, China in December 2019. Since then, the situation has rapidly evolved throughout the world, with many countries, including the United States, quickly progressing from the identification of travel-associated cases to person-to-person transmission among close contacts of travel-associated cases, and finally to widespread community transmission of COVID-19.

On January 30, 2020, the World Health Organization (WHO) designated the COVID-19 outbreak as a Public Health Emergency of International Concern. On a national level, the Secretary of Health and Human Services determined on January 31, 2020 that as a result of confirmed cases of COVID-19 in the United States, a public health emergency existed and had existed since January 27, 2020, nationwide. Subsequently, on March 13, 2020, President Donald J. Trump declared a national emergency in response to COVID-19, pursuant to Section 501(b) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act.

New York State first identified cases on March 1, 2020 and has since become the national epicenter of the outbreak. On March 7, 2020, with widespread transmission rapidly increasing within certain areas of the state, Governor Andrew M. Cuomo issued an Executive Order declaring a state disaster emergency to aid in addressing the threat COVID-19 poses to the health and welfare of New York State residents and visitors. With over 200,000 deaths and nearly 5 million cases in the country, this pandemic has had a devastating effect on the United States.

As part of ongoing efforts to address this pandemic, Governor Cuomo has issued Executive Orders to implement measures aimed at limiting the spread and/or mitigating the impact of COVID-19 within the state. Specifically, several Executive Orders were issued to require closures of certain non-essential businesses. On March 22, 2020, Governor Cuomo announced the implementation of "New York State on Pause," a 10-point policy to ensure the uniform public health and safety of New Yorkers. As part of implementing this policy, numerous Executive Orders were issued to, among other things, require the reduction of the in-person workforce of non-essential work locations by 100% and order the cancellation/postponement of non-essential gatherings of any size for any reason, which have both subsequently been modified by more recent Executive Orders, consistent with phased regional reopening criteria.

Additionally, Executive Orders have continued to be issued to extend restrictions on certain business operations. Executive Orders and corresponding Department guidance have also been issued to require adherence to certain measures by restaurants (i.e., outdoor seating in Phase 2 regions) to account for the phased regional reopening of the State. Although New York State has shifted to a regional reopening, resulting in a phased relaxation of these prohibitions/requirements, "New York on PAUSE" still applies unless otherwise explicitly modified by Executive Order.

In light of this situation, these regulations are necessary to further implement social distancing measures to control the spread of communicable disease, in situations in which the Governor has declared a state disaster emergency.

#### Costs:

#### Costs to Regulated Parties:

The purpose of this regulation is to codify certain provisions regarding social distancing that have been issued by Governor Andrew M. Cuomo through several Executive Orders. Accordingly, this regulation imposes no additional costs to regulated parties.



**Costs to Local and State Governments:**

State and local government are authorized to enforce civil and criminal penalties related to the violation of these regulations, and there may be some cost of enforcement. Notably, pursuant to section 12-b of the PHL, any person who willfully violates these regulations may be subject to criminal penalties including imprisonment not exceeding one year, or a fine not exceeding \$10,000, or by both. However, pursuant to Executive Order 202.14, the criminal penalty for the willful violation of these regulations is currently limited to \$1,000. Further, pursuant to Executive Order 202.68, a violation of the prohibition against non-essential gatherings has a maximum civil penalty of \$15,000.

**Paperwork:**

This regulation imposes no additional paperwork.

**Local Government Mandates:**

This regulation imposes no additional local mandates beyond what has already been required pursuant to Executive Orders.

**Duplication:**

The purpose of this regulation is to codify certain provision regarding social distancing that have been issued by Governor Andrew M. Cuomo through several Executive Orders. There is no duplication of federal law.

**Alternatives:**

The alternative would be to not codify provisions of Executive Orders into the Department's regulations. However, this alternative was rejected, as the Department believes that codification will facilitate increased awareness and enforcement.

**Federal Standards:**

States and local governments have primary authority for controlling disease within their respective jurisdictions. Accordingly, there are no federal statutes or regulations that apply to disease control within NYS.

**Compliance Schedule:**

The regulations will become effective upon filing with the Department of State.

**Regulatory Flexibility Analysis****Effect on Small Business and Local Government:**

As part of ongoing efforts to address the COVID-19 pandemic, Governor Cuomo has issued Executive Orders to implement measures aimed at limiting the spread and/or mitigating the impact of COVID-19 within the state, that have a significant impact on small business and local government. Specifically, on March 22, 2020, Governor Cuomo announced the implementation of "New York State on Pause," a 10-point policy to ensure the uniform public health and safety of New Yorkers. As part of implementing this policy, numerous Executive Orders were issued to, among other things, require the reduction of the in-person workforce of non-essential work locations by 100% and order the cancellation/postponement of non-essential gatherings of any size for any reason, which have both subsequently been modified by more recent Executive Orders, consistent with phased regional reopening criteria. Additionally, Executive Orders have continued to be issued to extend restrictions on certain business operations. Executive Orders and corresponding Department guidance have also been issued to require adherence to certain measures by restaurants (i.e., outdoor seating in Phase 2 regions) to account for the phased regional reopening of the State. Although New York State has shifted to a regional reopening, resulting in a phased relaxation of these prohibitions/requirements, "New York on PAUSE" still applies unless otherwise explicitly modified by Executive Order.

As the purpose of these regulations is to codify existing obligations imposed pursuant to the above referenced Executive Orders, the effect of this regulation is expected to be minimal.

**Compliance Requirements:**

These regulations codify existing obligations imposed pursuant to the above referenced Executive Orders and are intended to enforce these social distancing measures to control the spread of communicable disease. To comply with these regulations, small businesses that are non-essential must reduce their in-person work force by 100%, unless subject to reopening of relaxation of social distancing requirements pursuant Executive Orders establishing phased regional reopening criteria and requirements.

**Professional Services:**

It is not expected that any professional services will be needed to comply with this rule.

**Compliance Costs:**

The purpose of this regulation is to codify certain provisions regarding social distancing that have been issued by Governor Andrew M. Cuomo through several Executive Orders. Accordingly, this regulation imposes no additional costs to regulated parties.

**Economic and Technological Feasibility:**

There are no economic or technological impediments to the rule changes.

**Minimizing Adverse Impact:**

As the proposed regulations codify existing provisions regarding social

distancing that have been issued by Governor Andrew M. Cuomo through several Executive Orders, any adverse impacts are expected to be minimal.

**Small Business and Local Government Participation:**

Due to the emergent nature of COVID-19, small business and local governments were not consulted.

**Rural Area Flexibility Analysis****Types and Estimated Numbers of Rural Areas:**

While this rule applies uniformly throughout the state, including rural areas, for the purposes of this Rural Area Flexibility Analysis (RAFA), "rural area" means areas of the state defined by Exec. Law § 481(7) (SAPA § 102(10)). Per Exec. Law § 481(7), rural areas are defined as "counties within the state having less than two hundred thousand population, and the municipalities, individuals, institutions, communities, and programs and such other entities or resources found therein. In counties of two hundred thousand or greater population 'rural areas' means towns with population densities of one hundred fifty persons or less per square mile, and the villages, individuals, institutions, communities, programs and such other entities or resources as are found therein."

The following 43 counties have a population of less than 200,000 based upon the United States Census estimated county populations for 2010:

Allegany County	Greene County	Schoharie County
Cattaraugus County	Hamilton County	Schuyler County
Cayuga County	Herkimer County	Seneca County
Chautauqua County	Jefferson County	St. Lawrence County
Chemung County	Lewis County	Steuben County
Chenango County	Livingston County	Sullivan County
Clinton County	Madison County	Tioga County
Columbia County	Montgomery County	Tompkins County
Cortland County	Ontario County	Ulster County
Delaware County	Orleans County	Warren County
Essex County	Oswego County	Washington County
Franklin County	Otsego County	Wayne County
Fulton County	Putnam County	Wyoming County
Genesee County	Rensselaer County	Yates County
	Schenectady County	

The following counties of have population of 200,000 or greater, and towns with population densities of 150 person or fewer per square mile, based upon the United States Census estimated county populations for 2010:

Albany County	Monroe County	Orange County
Broome County	Niagara County	Saratoga County
Dutchess County	Oneida County	Suffolk County
Erie County	Onondaga County	

Reporting, recordkeeping, and other compliance requirements; and professional services:

These regulations codify existing obligations imposed pursuant to several Executive Orders and are intended to enforce these social distancing measures to control the spread of communicable disease. Specifically, on March 22, 2020, Governor Cuomo announced the implementation of "New York State on Pause," a 10-point policy to ensure the uniform public health and safety of New Yorkers. As part of implementing this policy, numerous Executive Orders were issued to, among other things, require the reduction of the in-person workforce of non-essential work locations by 100% and order the cancellation/postponement of non-essential gatherings of any size for any reason, which have both subsequently been modified by more recent Executive Orders, consistent with phased regional reopening criteria. Additionally, Executive Orders have continued to be issued to extend restrictions related to closures of indoor common portions of retail shopping malls with an excess of 100,000 square feet of retail space. Executive Orders and corresponding Department guidance have also been issued to require adherence to certain measures by restaurants (i.e., outdoor seating in Phase 2 regions) to account for the phased regional reopening of the State.

Although New York State has shifted to a regional reopening, resulting in a phased relaxation of these prohibitions/requirements, "New York on PAUSE" still applies unless otherwise explicitly modified by Executive Order. The Executive Order provisions referenced above have applied and continue to be applied equally to rural areas of the state.

**Compliance Costs:**

The purpose of this regulation is to codify certain provisions regarding social distancing that have been issued by Governor Andrew M. Cuomo through several Executive Orders. Accordingly, this regulation imposes no additional costs to regulated parties.

**Economic and Technological Feasibility:**

There are no economic or technological impediments to the rule changes.

**Minimizing Adverse Impact:**

As the proposed regulations codify existing provisions regarding social distancing that have been issued by Governor Andrew M. Cuomo through several Executive Orders, any adverse impacts are expected to be minimal.

**Rural Area Participation:**

Due to the emergent nature of COVID-19, parties representing rural areas were not consulted.

**Job Impact Statement**

The Department of Health has determined that this regulatory change will not have a substantial adverse impact on jobs and employment, based upon its nature and purpose.

## Lake George Park Commission

### PROPOSED RULE MAKING HEARING(S) SCHEDULED

#### Stream Corridor Protection Regulations for the Lake George Park

**I.D. No.** LGP-43-20-00005-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** Addition of Subpart 646-5 to Title 6 NYCRR.

**Statutory authority:** Environmental Conservation Law, sections 43-0107(9) and 43-0112(5)

**Subject:** Stream corridor protection regulations for the Lake George Park.

**Purpose:** To establish permit requirements and standards for the protection of stream corridors in the Lake George Park.

**Public hearing(s) will be held at:** 4:00 p.m., Jan. 12, 2021 at Fort William Henry, 48 Canada St., Lake George, NY.

**Interpreter Service:** Interpreter services will be made available to hearing impaired persons, at no charge, upon written request submitted within reasonable time prior to the scheduled public hearing. The written request must be addressed to the agency representative designated in the paragraph below.

**Accessibility:** All public hearings have been scheduled at places reasonably accessible to persons with a mobility impairment.

**Substance of proposed rule (Full text is posted at the following State website: [www.lgpc.ny.gov](http://www.lgpc.ny.gov)):** The Lake George Park Commission ("Commission") is directed and empowered by the Legislature to adopt rules and regulations for the preparation of Stormwater Management Plans and Stormwater Regulatory Programs pursuant to Environmental Conservation Law section 43-0112 as amended by Chapter 617 of the Laws of 1987. The adopted rules, contained in 6 NYCRR Subpart 646-4.2 through 646-4.18 regulate Stormwater Management Plans and Stormwater Regulatory Programs for Lake George Park ("Park"). The Commission now proposes adoption of 6 NYCRR Subpart 646-5, which would establish protected stream corridors along designated water courses that are tributary to Lake George for the purpose of protecting the water quality and environmental characteristics of those tributaries and the Lake.

Section 646-5 sets forth the general purpose and intent of the regulations. It provides that the purpose of the regulations is to establish permit requirements and standards for protection of stream corridors within the Park; to preserve water quality of Lake George and its tributaries; to protect the riparian and aquatic ecosystems of streams within the Park; and to provide for the environmentally sound use of the Park's land resources.

Section 646-5 utilizes definitions consistent with other areas of Commission regulation at 6 NYCRR 645-2 and 646-4.4, and the regulations at 646-5 introduce new terms and definitions which are to be used in applying the regulations, including, among others, designated stream corridor, stream, and high water mark. Designated stream corridor is defined as the stream bed and the area 35 feet from the high water mark ("HWM") on each side. Stream means a AA-special perennial stream designated or mapped by the Department.

Section 646-5.3 sets forth the prohibitions contained within the regulations. The regulations prohibit any person from undertaking development, land disturbance or land clearing in a designated stream corridor without first receiving a permit. Further, no person shall disturb the bed or banks of any stream without first receiving a permit and no person shall discharge, throw, or abandon any foul, noxious or deleterious substance into a stream or designated stream corridor. Finally, no owner of real property located within the Park shall maintain a condition that results in the erosion of soil into any stream or water body.

Section 646-5.4 sets forth the permit requirement exemptions. The permit requirements do not apply to emergency actions necessary for public health, safety, or welfare or the prevention of damage to private property upon proper notice to the Commission. An exemption is also provided to any project that has prior approval, projects located entirely outside the land drainage basin, and for maintenance of structures, utility rights-of-ways, impervious areas and landscaped areas existing on the effective date which do not increase the development footprint or require disturbance to the stream bed. An exemption is also provided for the removal of storm damaged trees, construction of a fence and agricultural activities determined to be in accordance with exemptions of stormwater management regulations at 646-4.6.

Section 646-5.5 contains the provisions relating to silviculture activity. The prohibition against the undertaking of development, land disturbance or land clearing shall not apply to silviculture activity provided that a notice of intent to harvest timber checklist is completed by the property owner, provided to the Commission fifteen days in advance of starting the land disturbance and posted conspicuously at the access to the property. Silviculture activity is not exempted from permit requirements if it involves construction of a stream crossing, or if it results in a wood road, skid trail, or log landing in a designated stream corridor. Nor is silviculture exempt if it is in non-compliance with section 646-5.7, which restricts the removal of any vegetation within 6' of a stream and the removal of vegetation greater than one inch in diameter at 4.5 feet above ground within 35' of a stream, except that a contiguous clear cut opening may be created within 6' and 35' of a stream provided the area does not exceed 30% of the stream length on a site or a maximum of 75 linear feet, whichever is less. The harvesting must be completed in accordance with the New York State Forestry Best Management Practices for Water Quality, which is expressly incorporated into the regulations.

Section 646-5.5 also provides that upon the Commission's determination that the scope of the silviculture activity proposed or undertaken under a permit exemption constitutes development or poses a threat to the natural resources of the Park, the property owner shall be notified that a permit is required under the regulations. The failure to obtain a permit after such notice and a reasonable time period to comply will constitute a violation. Further, upon the Commission's determination that harvesting has occurred or is occurring in a manner not in accordance with the Best Management Practices, notice shall be given to the property owner giving a reasonable time period to undertake specific corrective action. Failure to undertake the specific corrective action necessary to protect water quality may result in a violation of the regulations, as well.

Section 646-5.6 provides that the Minor and Major project classifications established in the Commission stormwater regulations at 646-4 apply to any project requiring a permit under the stream corridor regulations. A minor project involves the disturbance of less than 15,000 square feet of land and a two, three or four lot subdivision where land disturbance for the subdivision road and on each individual lot is limited to less than 15,000 square feet.

Section 646-5.7 sets forth the standards for the protection of designated stream corridors. This section restricts all development, land disturbance, and land clearing such that no vegetation may be removed within 6' of a stream and no vegetation greater than one inch in diameter at 4.5 feet above ground may be removed within 35' of a stream, except that a contiguous clear cut opening may be created within 6' and 35' of a stream provided the area does not exceed 30% of the stream length on a site or a maximum of 75 linear feet, whichever is less. The stream corridor protections also limit the creation of new impervious area to 100 square feet in size, and limit the creation of new porous pavement (ie. porous pavers) to 400 square feet in size. Section 646-5.7 also recognizes the potential for existing development within stream corridors, and clarifies that this development may be maintained in perpetuity, however these areas are to be considered in aggregate with any proposed development such that legally existing non-compliance shall not be exacerbated.

Section 646-5.7 further provides that prior to the issuance of a permit, the Commission shall ascertain the probable effect on the water quality of the stream, the Lake, and the natural resources of the Park. A permit shall be denied upon a determination that a proposed activity will endanger the health, safety or welfare of the public or lead to unnecessary, uncontrolled or undue impacts to water quality, to the natural resources of the Park or to the physical or ecological integrity of a designated stream corridor.

Section 646-5.8 contains the criteria for stream crossings and stream bed disturbances. Stream crossing, channelization, or piping is allowed only for utility crossings, logging roads, public roads, approved private roads and driveways to access private real property. Separate road and utility crossings shall not be approved when consolidation of the proposed crossings is feasible and when necessary, the preferred methodology for utility establishment shall be by directional boring under the stream bed. Crossings shall be limited to one per site per stream. Any culvert or arch shall be used so as to maintain the natural course of the bed or stream and must be sized and designed in accordance with standard design practices. All stream crossings shall be designed to safely pass the fifty-year peak storm event and may require additional design features to prevent a hazard to down stream property. Stream crossings shall not impound water during the ten-year storm event or result in a barrier to fish passage. Any stream crossing structure shall be designed with a minimum width of one and one-fourth times the width of the stream bed. Culverts shall be embedded twenty percent along their entire length. Any stream bed disturbance shall promote the natural shape, slope, and substrate of the stream, and shall be limited to the minimum disturbance necessary. Disturbance for driveways and roads shall be limited to a maximum width of twenty feet and thirty feet, respectively. Authorized stream crossings for driveways and roads are exempt from the standards at 646-5.7(a)(1-3) limiting vegetation removal and the creation of new impervious area. Crossings that convey wastewater shall be depicted on a certified survey filed with the County Clerk in the county where the property is located. No permit shall be issued unless the Commission determines the activity is reasonable and necessary, will not endanger the health, safety or welfare of the public and will not cause damage to downstream properties or the natural resources of the Park.

Section 646-5.9 provides that project plans shall be required to include information determined reasonably necessary for the Commission to complete its review and shall generally include plan views of proposed and existing buildings and impervious areas, grading plans, temporary erosion and sedimentation controls, limits of clearing, areas of selective cutting, location of trees to be removed, soil tests, slopes, landscape and plantings and buffer areas to be protected, and the designated stream corridors on the subdivision plats submitted for approval. The Commission may require that an approved subdivision plat, survey or permit be filed with the county clerk and/or the designated stream corridor be protected by deed reference.

Section 646-5.10 sets forth provisions for the administration of the regulations. A variance to any standard shall be processed in accordance with standards provided in Commission stormwater regulations. The fee for stream permits shall be consistent with the established fees for minor and major stormwater projects. When a project requires a permit pursuant to both the stream corridor and stormwater management regulations, a single application form, fee, and permit process shall be applied. The Commission may enter into agreements with municipalities for administration of the designated stream corridor management programs but shall not limit the Commission from taking enforcement action. The Commission may also enter into agreements with the Department of Environmental Conservation, the Adirondack Park Agency, and county soil and water conservation districts for administration.

Section 646-5.10 further establishes that the Commission may issue general permits to highway departments, conservation districts or other entities to authorize a number of similar projects.

**Text of proposed rule and any required statements and analyses may be obtained from:** Dave Wick, Executive Director, Lake George Park Commission, 75 Fort George Road, P.O. Box 479, Lake George, New York 12845, (518) 668-9347, email: dave@lgpc.state.ny.us

**Data, views or arguments may be submitted to:** Same as above.

**Public comment will be received until:** Five days after the last scheduled public hearing.

**Summary of Regulatory Impact Statement (Full text is posted at the following State website: [www.lgpc.ny.gov](http://www.lgpc.ny.gov)):**

The Lake George Park Commission ("Commission") is directed by the Legislature to adopt rules and regulations in conformity with the provisions of the Environmental Conservation Law ("ECL"). Specifically, ECL section 43-0107(8) provides that the Commission may adopt, after public hearing, such regulations as deemed necessary to administer Article 43 of the ECL including section 43-0112(5) requiring promulgation of regulations relative to stream corridor management, including standards for locations of roads, stream channelization, frequency of stream crossings, timber harvesting and vegetative cutting restrictions within designated stream corridors.

The State Legislature has determined that it is in the public interest to preserve, protect, conserve, and enhance the unique natural and scenic resources of the Lake George Park ("Park"). In 1987, Chapter 617 was enacted to amend Section 43 of the ECL for the purpose, among others, to

establish permit requirements and standards for the protection of stream corridors within the Park with the intent to preserve water quality of Lake George and its tributaries; to protect the riparian and aquatic ecosystems; and to provide environmentally sound use of the Park's land resources.

Lake George ("Lake") is a 44 square mile lake in the southeastern portion of the Adirondack Park and includes approximately 131 miles of shoreline and is fed by more than 150 streams. The Park is comprised of approximately 100 square miles of State-owned land in portions of Warren, Washington and Essex County and 12 local municipalities. The Lake is the primary drinking water supply for two municipalities, hundreds of commercial resorts and thousands of lakefront properties and the reserve supply for one municipality. The Lake supports a marine and tourism economy.

The Lake's water source is precipitation either directly to the water surface or to the Lake's watershed, including groundwater flows and surface water runoff carried by tributaries, which contribute approximately fifty-five percent of the Lake's source by volume. Precipitation falling on developed areas produces stormwater runoff that is enriched in pollutants and contaminants. Urbanized runoff contains grease, oil, lead, plant nutrients, chlorides, suspended solids, fecal coliform bacteria and other contaminants. Water quality in Lake tributary streams and in the Lake is significantly reduced near storm sewer outfalls after storm events. For example, while ninety-three to ninety-five percent of the Lake's watershed is undeveloped, developed portions contribute forty-three percent of the phosphorus load from watershed.

The Lake overall is experiencing diminished water quality. An analysis from 1980 through 1990 found, among other things, an increase of thirty to fifty percent in biological productivity, increased levels of various soluble nutrients and a gradual shift from oligotrophic to mesotrophic in portions of the Lake. Separate analysis has found increase chlorides over the last twenty-eight years. Tributaries of the Lake George Basin ("Basin") were identified as impaired waters on the 2008 New York State Section 303(d) List of Impaired/TDML Waters due to, among others, silt and sediment from runoff and erosion. Sediment deposits at the outfalls of major streams have created deltas interfering with navigation and recreation. Restoring seven affected shoreline areas will require the removal of over 200,000 cubic yards of sediment and will cost approximately 6 to 8 million dollars.

The Commission's review of existing regulations and measures designed to mitigate the impact of land development and stream disturbances found a lack of common objectives and consistent standards to maintain vegetative buffers along streams of a developed Basin. The proposed regulations establish designated stream corridors along DEC regulated and mapped streams that are tributary to the Lake for the purpose of protecting the water quality and environmental characteristics. The regulations identify the subject water course; establish a required permit for development, land disturbances and land clearing in designated stream corridors; limit construction of impervious surfaces; limit hydro-modification of identified stream channels; provide exemptions and waivers; and provide that variances may be pursued by application in accordance with 6 NYCRR section 646-4.18.

The proposed regulations retain stream buffers which will significantly reduce the future effects of un-buffered human land use on the environment. Stream vegetative buffers of sufficient width effectively reduce sediment and nutrients in overland flow; trap and filter a range of contaminants; slow soil sloughing and erosion; and protect animal habitats, migrations, and reproduction. Forest cover regulates temperature preserving fish and wildlife habitats. A vegetative buffer will reduce the anticipated future reduction in water quality in streams and the Lake as land is developed. Reduced water quality may lead to growth of water weeds and algae degrade affecting the environment and utility for recreation, navigation, and commerce. A decline in water quality has been correlated to a decline in property values and tourism, which are economic effects recognized as having state-wide influence. The regulations will also have cost avoidance benefits as lake restoration costs will be reduced.

The State government, through the Commission, will have the direct costs of administering and enforcing the regulations and coordinating with the Department of Environmental Conservation ("DEC"), Adirondack Park Agency ("APA") and the Department of Transportation ("DOT"). Staff resources will be used for permit monitoring, compliance, enforcement, and consultations with other agencies. It is estimated that there will be no additional cost to the Commission to administer the provisions of this program, as tasks will be delegated among existing staff. It is expected that the DEC will delegate permit issuing authority for ECL section 15-0501 to the Commission which will lead to a reduction in costs for the DEC and will offset some funds expended by the Commission. The DOT administers highway systems with stream crossings within the Park. Theses culverts and bridges require periodic repair and replacement and such activities may invoke the requirements of the proposed regulations. The Commission has agreements governing stormwater management with



DOT which includes coordination of activities relative to stream corridors. The agreement provides for consultation between the agencies relative to jurisdictional activities. The consultation required is not anticipated to add any significant cost to DOT for providing notification to the Commission of such undertakings, as this practice is in place today to a large extent. County and municipal highway departments undertake stream disturbance projects under ECL section 15-0501 and are covered under DEC issued general permits. The proposed regulations include standards that have been in place in practice via DEC's general permit for several years, and no substantial changes are anticipated. These design standards being implemented are not expected to increase construction costs for local government projects to build or replace stream crossings. Local governments will not experience additional costs unless they elect to enter into an agreement with the Commission to administer one or more aspects of the regulations. If a local government elects to enter into a delegation agreement, stream corridor vegetation protections will be incorporated into local land use programs. This would add an additional feature for review of projects already requiring a permit.

Project sponsors may incur costs in obtaining a permit, including limited technical or engineering services for consultation, preparation of project plans and interacting with the agency during the review process. These costs depend upon the variables of the project including project location; existing development and prior land use approvals; and design flexibility. Additional costs for this project sponsors are seen as minimal, as described in the examples and narrative in the full Regulatory Impact Statement.

The proposed rule does not impose any program, service, duty, or responsibility upon any county, city, town, village, school district, fire district, or other special district.

There is no duplication of rules or requirements of State and Federal governments.

The Commission considered alternatives to the proposed regulations. To take no action would ignore the mandates of the ECL and would not resolve the lack of common objectives and consistent standards of the existing rules and regulations. It would also lead to the anticipated diminished water quality of the Lake. Alternatives were also considered for various definitions of a stream, various widths of the stream buffer, various projects subject to the requirements, standards for existing development and the variance standard.

The Commission also considered alternatives to the width of the stream buffer including a three zone buffer system which was determined to be an administrative burden, and a buffer of 100 feet which was ultimately determined to have an undue impact upon the regulated public. The Commission created a flexible variance standard balancing interest of community/environmental protections and property interests.

There is no conflict with federal standards as there are no existing federal standards for development, land clearing, and stream disturbance for preserving water quality of the Lake and its tributaries.

The proposed regulations will take effect upon adoption.

#### **Regulatory Flexibility Analysis**

##### **1. Effect of Rule**

##### **Effect on Small Business**

The economy of the Lake George region is characterized by the many small businesses which are either directly in the tourism business or dependent upon it. There are no exact figures on the number of businesses, but based on membership in local commerce organizations, an estimated range for the total number of small businesses located within the Lake George Park is 650-800. The Lake George Chamber of Commerce has 440 business members. These businesses include resorts, motels, restaurants, marinas, gift shops, and recreational activities. Lake George has over 100 "attractions" and 25 full-service marinas on the water. Only those small businesses which conduct land disturbance activities within 35 feet of a DEC regulated stream are subject to the requirements of the Commission's stream corridor regulations. An important premise of the program is that the attractive qualities of Lake George generate much of the tourism demand in the region and that the protection of the Lake helps to sustain the economy and the individual small businesses.

There are three counties and twelve municipalities all or partially within the Lake George Park. Municipalities that select to adopt and administer the Commission's stream corridor regulations would incur expenses relating to program administration. Municipalities that administer their own stormwater program are the Village of Lake George, and the towns of Lake George, Bolton, and Queensbury. The additional workload and associated cost of the proposed regulatory changes are not anticipated to be significant compared with the current stormwater management oversight by these municipalities.

The permit requirements of the rule will apply to any entity undertaking land development projects in designated stream corridors, including small businesses. The small businesses expected to undertake land development projects in designated stream corridors include existing businesses located on property near streams that wish to expand business facilities or add

amenities. The Lake George region is dominated by tourism, second homes and water-based recreation. Accordingly, the affected small businesses include accommodations and lodging resorts, marinas, restaurants, and amusements.

Small businesses that will undertake land development in designated stream corridors also include entities whose primary business activity is land development. This involves the purchase, improvement and re-sale of land and structures. These projects will include residential subdivision, townhouse, condominium, commercial and resort development.

There is no direct way to ascertain the number of businesses in total within the Lake George Park that have property within a designated stream corridor and thus may be potentially affected by the regulations. The area of private property located within stream corridors has been calculated to be 0.8% of the Lake George watershed area. If one were to assume arbitrarily that the businesses facilities, project sites and stream corridors are each evenly distributed across the geographic area of the Park, then the number of potentially affected business and the number of potentially affected projects would be 0.8% of the total number of businesses and projects, respectively.

Several municipalities around the Lake George Park currently administer land development jurisdiction within 35 feet of DEC designated streams (Village of Lake George, Town of Lake George, Town of Queensbury, Town of Bolton). These towns also constitute the significant majority of land development and business activity within the Lake George Park. As these municipalities already regulate this activity, the overall new impact of these regulations is reduced by a similar ratio. Given these considerations, implementation of this stream corridor regulation is not anticipated to have a significant impact upon local business.

##### **Effect on Local Government**

The Lake George Park contains portions of twelve municipalities and three counties. These local governments will be subject to the permit requirements and standards along with other entities when undertaking projects within the Lake George Park involving development, land clearing or land disturbance in designated stream corridors. Projects outside the Lake George Park boundaries and projects on land within the boundaries that drain away from Lake George will not be affected by the rule. The Lake George Park is defined by a metes and bounds description contained statute at 43-0301.1. A map of the Park is viewable at the Lake George Park Commission (LGPC) website at <https://lgpc.ny.gov/>.

These government entities are currently required to obtain permits or approvals for disturbances to the bed or banks of regulated streams (channel) pursuant to 15-0501 of the Environmental Conservation Law and regulations promulgated pursuant thereto at 6 NYCRR 608. These project approvals may be embodied in the form of a general permit for regular highway, bridge and culvert maintenance and replacement. The LGPC expects to receive a delegation from New York State Department of Environmental Conservation (DEC) for administration of 15-0501 within the Lake George Park. It is expected that the general permit authorizations will continue as under DEC administration but will incorporate the specific standards for stream crossings within the Lake George Park. Individual permits for projects involving stream bed and bank disturbances outside the scope of the general permits will be administered by the LGPC. Accordingly, the net effect is that additional standards for stream channel disturbances will be implemented through existing permit mechanisms. Also, new permit requirements and standards for work outside the channel but within the 35' designated stream corridor will apply.

##### **2. Compliance Requirements**

There are no reporting or record keeping requirements affecting local government or small businesses. Construction projects that direct development to areas of the project site outside the stream corridor can thereby avoid jurisdiction. This will not always be possible so the rules establish permit requirements and standards.

The rule would require permits for development projects affecting designated stream corridors. Project sponsors including small businesses may incur additional costs for technical or engineering services for consultation with agency staff, the preparation of project plans and interaction with agency staff during the review process. The cost of compliance is consistent for all types of entities so that costs to comply with the permit requirements and standards will be the same for small businesses as for other entities undertaking projects.

Project location is an important variable. Project sponsors can avoid permit jurisdiction and any additional costs by avoiding disturbances in designated stream corridors. Location is the most significant variable for the majority of development projects since stream corridors represent about 0.8% of the private land within the Lake George Park. It is expected that a lesser percentage of all development projects will require a permit under the proposed rule, as they can often avoid land development activities within 35 feet of designated streams.

Design flexibility is another important variable. Flexibility is most related to the total area of the project sponsors property. The owner of a 2

acre single family home lot that is able to locate the home and driveway yards away from the stream corridor will face little if any compliance costs. In laying out upland subdivisions where the permitted density of single family homes may require eight to ten acres per principal building, it is reasonable to expect that developers will have some flexibility to avoid land disturbances in designated stream corridors.

The regulations define a designated stream corridor as an area within 35 feet of the high water mark on each side of a stream. The objective of the standards is to retain an undisturbed natural buffer, ideally of native forest, in the corridor. Cutting vegetation and trees in the corridor of up to 30% of the trees (defined as having a diameter of 1 inch or greater) would be approvable with a permit. This cutting is not inconsistent with the objectives of the buffer and moderates the effect of the standards on private property. Cutting 30% of the trees in the corridor would be concentrated in certain areas to remove trees in a manner compatible with many of the customary open space uses of home sites and business premises (to protect buildings, open for sunlight, access to the stream, etc). New structures and hardscaping in the corridor would be limited to 100 square feet for impervious surfaces and 400 square feet for porous hardscape surfaces (pervious pavers, etc). Property owners could receive permits for clearing associated with a stream crossing, a road, utility, or trail crossing that is necessary.

### 3. Professional Services

Project sponsors including small businesses may require survey, design, and legal services in order to comply with the requirements. These services will not be required in each case. Surveys and engineering plans are not required. Rather, the rule establishes that an accurate project plan be submitted together with a simple form permit application. Permit applications for minor projects typically can be prepared by applicants with the assistance of the LGPC staff.

At a certain size and complexity, project sponsors related to stormwater management project applications necessarily turn to design professionals. Due to the relative simplicity of the 35 foot stream corridor provisions, the use of design professionals for typical stream corridor disturbances will be much less likely. There may be expenses for additional design work that involves structures within the stream channel. The cost of these services is highly variable as a function of the size of the project. In most cases, new development involving placement of structures in a stream corridor will require a permit under the Commission's stormwater regulations, and that design work should accommodate the needs for the stream corridor disturbances. The proposed standards for structures/crossings closely mirror existing practices required by DEC, and as such designers and applicants will not recognize an appreciable difference in complexity or cost associated with stream structures/crossings within the basin.

The regulations set standards and have provisions for the LGPC to grant a variance to any specific requirements. Legal representation in variance applications is not required.

### 4. Compliance Costs

There are no initial or annual costs to achieve and maintain compliance. There are no variations in the cost of compliance for different small businesses. The maintenance of existing facilities, business or otherwise, is exempt from the regulations.

### 5. Economic and Technological Feasibility

The rule does not impose compliance requirements that involve technology. Stream buffers are passive systems comprised of an area of vegetative that is retained along streams when land is developed. It will be feasible for small businesses and local governments to comply. The regulations contain provisions to grant relief from the standards in individual cases where the strict application of the requirements would impose a hardship.

### 6. Minimizing Adverse Impacts

The original stream corridor draft rule from 2009 was developed in a public planning process followed by preparation and public review of an Environmental Impact Statement. More than 30 stakeholder organizations including local governments and business organizations participated. The agency received and responded to more than 400 public comments. The regulations incorporated and assessed in the Final Generic Environmental Impact Statement included several important revisions in response to public comments received. However, the Commission did not implement the provisions under the first stream corridor regulatory effort. This new stream corridor regulatory initiative utilizes a lesser buffer width of 35 feet as opposed to the original 100 feet. This revised buffer width has been scientifically shown to have good nutrient and sediment removal efficiencies, while minimizing the impact upon private property rights. Other than that, the proposed regulations are substantially as they were in 2009.

During the course of the Commission's outreach efforts between 2005 and 2010, a frequent objection recorded was that the proposed rule text created uncertainty as to the specific streams that would be subject to protection. Many comments expressed concern with the definition of stream that included intermittent water courses with no corresponding definition of intermittent. Many suggested that this would lead to the applica-

tion of the standards to an inordinate number of waterways. As a result, the Commission has now revised the rule text to define the streams to be protected as "AA Special perennial stream designated or mapped by the NYS DEC". The final proposed rule defines "designated stream corridor" as the area extending 35 feet on each side of the high water mark of a designated stream.

### 7. Small Business and Local Government Participation

Initial Outreach and Evaluation for the 2005-2010 stream corridor regulatory initiative:

In 2005, the LGPC retained the Chazen Companies, Inc., (Chazen) a planning and engineering firm, to conduct an outreach and opportunities study. All local elected representatives as well as local planning and zoning officials received a questionnaire about the needs and prospective benefits of a Tree Cutting and Stream Corridor Protection Program. Chazen also conducted interviews with various environmental groups. The Chazen report was released in August 2005 and included the following pertinent results.

"The results of the survey call for improved measures to address stream corridor protection.

1. Eighty- six percent (86.3%) of the respondents agreed that activities taking place in stream corridors are a matter of concern for the community.

2. Respondents are closely divided on whether local land use regulations adequately address stream corridor protection. Forty-four percent believe land use regulations adequately address the issue, while 35.6% expressed the controls as inadequate.

3. Two thirds (66%) of the respondents indicated that their local planning board regularly addressed stream corridor protection during the site plan/subdivision review process. However, 69.3% believe measures to manage stream corridors could be improved."

Chazen also provided summary conclusions which advocated for a watershed planning approach to address the interwoven issues of water quality. The recommendations included the following pertinent findings:

"Our observation is that the watershed communities have a basic understanding that a problem exists, but lack clear definition of the issues, as well as the technical capacity to address the problems. Lacking a regional approach, a uniform solution has not been developed. In contrast, the three advocacy groups we interviewed have a clear understanding of the problems, have worked to improve their technical capacity, and have developed their own individual approaches to resolving these watershed issues. The effort of lake protective groups have not resulted in a uniform approach or shared solution adopted by the municipalities.

The common theme throughout the study was the need for a coordinated approach, the need to improve resources (i.e. staff, technical capacity) available to the local communities, and the importance of educating and involving all classes and categories of individuals and organizations (e.g. homebuilder, landowner, logger, excavator) that may have involvement in the land development process."

The Chazen final report is incorporated by reference into the FGEIS and is viewable on the agency web site at <https://lgpc.ny.gov/>.

### Public Planning and Participation Phase 2005- 2007

In November of 2005, the LGPC held a meeting in conjunction with the Lake George Watershed Conference (now the Lake George Watershed Coalition) to discuss the content of a work plan for preparation of a Watershed Plan Governing Stream Corridor Protection and Tree Cutting. An invitation and explanation about the meeting was sent to the chief executive officer of each municipality. Attending conference members were invited to participate in the formulation of the key objectives of the plan.

In accordance with the recommendations of Chazen in 2005 and the advice received from Watershed Conference members, the LGPC undertook a community planning process with the goal of developing a Watershed Protection Plan Governing Stream Corridor Protection and Tree Cutting. In 2006, the LGPC retained a professional planning, engineering and landscape architect firm (hereafter "the firm") to conduct the planning process and prepare several integrated reports. The work plan for the project included the objective of fashioning regulations and programmatic approaches to fulfill the LGPC's authority under the two topical areas. The firm was to assist in conducting a series of five facilitated planning workshops, performing a literature review, conducting a "build-out" analysis, preparing a visual assessment, preparing draft and final impact statements and developing a regulatory impact statement and related State Administrative Procedures Act (SAPA) documents. Outreach work to various interest groups began in the Fall of 2006.

On behalf of the LGPC, the firm developed a contact list. The contact list included chief elected officers (town supervisor/mayor), governing board members, planning and zoning board members and land use/zoning officials, environmental organizations, State agency representatives, chamber of commerce and similar business organizations, individual developers and others. During the final quarter of 2006, the firm began a series of one-on-one meetings with groups and also began a coordinated public outreach and flow of initial materials.

During 2006 and 2007, the firm, at the LGPC's request, held five facilitated workshop meetings. More than 30 organizations were represented at one or more of the workshops including municipal planning and zoning staff, board members and chief elected officers of the lakefront municipalities, many of whom attended and participated. The outputs of the meetings were documented in a series of status reports by the firm.

On December 4, 2007, the LGPC invited all of the stakeholder representatives (including local elected officials) to attend a meeting of the LGPC to hear about and discuss a preliminary draft of the regulations.

#### Draft Generic Environmental Impact Statement (DGEIS) Phase

Beginning in 2008, the LGPC decided to retain a new consultant with special expertise to assess watershed issues and stream buffers. Early in 2008, the LGPC retained Center for Watershed Protection (CWP) to take up where the project left off, to prepare an environmental impact statement and draft regulations.

CWP held two public meetings in May and June 2008 to gather impressions from stakeholder organizations and the public about the issues. The invitees included a growing list of stakeholders including municipal planning and zoning staff, board members and chief elected officers of the lakefront municipalities, many of whom attended and participated. The DGEIS was the subject of internal LGPC review during the Fall of 2008 and was released on January 5, 2009. The LGPC formally accepted the DGEIS as complete on January 27, 2009 and set a period for public comment until March 15, 2009. The SEQRA hearing consisting of a morning/afternoon session and an evening session was held on February 24, 2009.

The LGPC received and responded to more than 400 comments on the DGEIS, the majority of which dealt with several topics of the regulations. The Commission accepted the Final Generic Environmental Impact Statement on May 26, 2009.

#### Outreach and evaluation for the 2020 stream corridor initiative:

The Commission began working to revise its stormwater regulations in early 2017, which included recommendations for stream buffers as a provision within that regulatory update. Following extensive research on buffer width efficiency balanced against private property rights issues, the Commission settled on a proposed 35 foot buffer width recommendation which had restrictions on development within that zone. The Commission then met with every municipality around Lake George Basin to discuss the proposed stormwater regulatory update including the stream buffer provisions. Every municipality around Lake George supports the Commission's proposed 35 foot buffer requirement.

Following this effort, the Commission also met with representatives from the region's forestry and logging communities, engineers and designers, Chambers of Commerce, lake-based non-profit organizations and other stakeholders. The Commission found broad support for these reasonable development and cutting restrictions within a 35 foot buffer to DEC AA Special streams within the Lake George Basin.

In 2020, it was determined that the most appropriate path forward to implement stream buffer restrictions was not through the stormwater regulatory update (ECL Article 43, Section 0112(1)), but through the stream corridor protection requirement of Article 43 (Section 0112(5)). This requires the Commission to undertake two separate regulatory initiatives, which is the current path forward.

There are no substantive changes to the Commission's original 2017 proposed 35 foot stream buffer provisions that were discussed with all stakeholders. As such, the Commission does not anticipate significant new opposition to this effort, as the standards are the same as the stakeholders commented on in 2017 and 2018.

The Commission will be conducting extensive outreach to local municipalities, small businesses and other stakeholders in 2020 regarding this stream corridor regulatory initiative. Public comments will be sought, received and replied to, and a final regulatory package submitted to Albany for approval in late 2020.

#### Rural Area Flexibility Analysis

The Lake George Park is located entirely north of the boundary of the Glens Falls Standard Metropolitan Area and therefore could be classified as a rural area. The year round population within the watershed is estimated at 9,850 people, and half of the housing is occupied less than half the year. While the population of the region swells in summer, population declines rapidly after Labor Day, businesses close and the business and governmental infrastructure is significantly underutilized for eight months of the year. For instance, the permanent population of the Town of Lake George is 118 people per square mile. During winter months, the rural nature of the communities within the Lake George Park is particularly evident.

##### 1. Types and Estimated Numbers of Rural Areas

Public administration, especially the plowing and maintenance of public highway systems, parks and recreation facilities, is a sustaining economic force for the permanent Park population. There are twelve municipal highway maintenance facilities operated within or near the Lake George Park. Marine and water-based businesses offering repairs, refit-

ting, sales and storage of boats is a feature of the year-round economy. Twenty local marinas have year-round operations. Small scale construction, landscape, plowing and property maintenance enterprises which serve the resorts, lakefront estates and seasonal homes also provide income for year-round residents. Public and private lake protective organizations, planning and zoning administration and lake related research are factors in the local economy, as well. Retail sales businesses are mostly seasonal. However, convenient stores and small markets are busy outlets servicing laborers and pass through travelers during the colder months.

##### 2. Compliance Requirements

The rule does not establish reporting or record keeping requirements or other compliance mandates for private interests in rural areas.

##### 3. Costs

There are no requirements that would result in initial or annual costs to achieve or maintain compliance for rural entities. Compliance expenses are incurred only by those entities undertaking land development in designated stream corridors. The cost for such rural entities and local government is dependent on the size and complexity of the project. Since the entirety of the Lake George Park is essentially a rural area, the cost of compliance is the same for rural entities as for any other entity. The cost of compliance under various scenarios is examined in the Regulatory Impact Statement.

##### 4. Minimizing Adverse Impact

The rule incorporates several provisions to minimize potential adverse impacts to regulated entities including rural entities.

1. Ensure that the regulations do not abridge the continued use of land and improvements thereon that are existing when the regulations take effect. The proposed rule has several clear expressions in this regard. Existing buildings, landscaped areas, uses and impervious cover are clearly exempted from the permit requirements and standards, and may be continued and maintained.

2. Address future projects on existing parcels including the expansion of existing facilities. The draft rule includes flexible standards for existing development in recognition of the fact that optimum distances for protective buffers may not be practically possible in cases where lot sizes and dimensions are a given.

3. The Commission's overarching authority to grant a variance to any provision of the proposed rule when the record supports that the impact of the strict application results in a disproportionate effect. This may from time to time relate to the concept of reasonable return on investment when land is held as a commodity in anticipation of some future return following development. However, a finding of hardship is not required for the area variance standards being referenced. Rather, the variance criteria apply a flexible standard of balancing interest of community/environmental protection and property interests. It is a benefit that the criteria for variances being employed have a well-established track record and case law history.

##### 5. Rural Area Participation

Over the last 15 years the Commission has undertaken extensive outreach on the topic of stream corridor management. As described in more detail below, from 2005 to 2009 the Commission engaged the public and multiple consultants to draft stream corridor regulations. The result of this early process was a proposed corridor that extended 100 feet on either side of perennial and intermittent streams proposed for protection. Due to public opposition concerning the size and scope of the corridors, the Commission elected to temporarily defer the proposed concept. In 2017, as part of a broader effort to improve water quality protections through updated stormwater management regulations, the Commission revisited the concept and benefits of stream buffers/corridors. In its most recent review and consideration of this topic the Commission met individually with every municipality within the Lake George basin, held multiple public workshops, and engaged all interested stakeholder groups. The discussions with the community and the collective feedback received were very valuable to the Commission in developing the final regulatory package, which is broadly accepted.

##### Public Planning and Participation Phase 2005- 2007

In November of 2005, the LGPC held a meeting in conjunction with the Lake George Watershed Conference (now the Lake George Watershed Coalition) to discuss the content of a work plan for preparation of a Watershed Plan Governing Stream Corridor Protection and Tree Cutting. An invitation and explanation about the meeting was sent to the chief executive officer of each municipality. Attending conference members were invited to participate in the formulation of the key objectives of the plan.

In accordance with the recommendations in Chazen 2005 and the advice received from Watershed Conference members, the LGPC undertook a community planning process with the goal of developing a Watershed Protection Plan Governing Stream Corridor Protection and Tree Cutting. In 2006, the LGPC retained a professional planning, engineering and landscape architect firm (hereafter "the firm") to conduct the planning process and prepare several integrated reports. The work plan for the project



included the objective of fashioning regulations and programmatic approaches to fulfill the LGPC's authority under the two topical areas. The firm assisted in conducting a series of five facilitated planning workshops, perform a literature review, conduct a "build-out" analysis, prepare a visual assessment, prepare draft and final impact statements and develop a regulatory impact statement and related State Administrative Procedures Act (SAPA) documents. Outreach work to various interest groups began in the Fall of 2006.

On behalf of the LGPC, the firm developed a contact list. The contact list included chief elected officers (town supervisor/mayor) governing board members, planning and zoning board members and land use/zoning officials, environmental organizations, State agency representatives, chamber of commerce and similar business organizations, individual developers and others. During the final quarter of 2006, the firm began a series of one-on-one meeting with groups and also began a coordinated public outreach and flow of initial materials.

During 2006 and 2007, the firm, at the LGPC's request, held five facilitated workshop meetings. More than 30 organizations were represented at one or more of the workshops including municipal planning and zoning staff, board members and chief elected officers of the lakefront municipalities, many of whom attended and participated. The outputs of the meetings were documented in a series of status reports developed by the firm.

On December 4, 2007, the LGPC invited all of the stakeholder representatives (including local elected officials) to attend a meeting of the LGPC to hear about and discuss a preliminary draft of the regulations.

#### Draft Generic Environmental Impact Statement (DGEIS) Phase

Beginning in 2008, the LGPC decided to retain a new consultant with special expertise to assess watershed issues and stream buffers. Early in 2008, the LGPC retained Center for Watershed Protection (CWP) to take up where the project left off, to prepare an environmental impact statement and draft regulations. CWP held two public meetings in May and June 2008 to gather impressions from stakeholder organizations and the public about the issues. The invitees included a growing list of stakeholders including municipal planning and zoning staff, board members and chief elected officers of the lakefront municipalities, many of whom attended and participated. The DGEIS was the subject of internal LGPC review during the Fall of 2008 and was released on January 5, 2009.

The LGPC formally accepted the DGEIS as complete on January 27, 2009 and set a period for public comment until March 15, 2009. The SEQRA hearing consisting of a morning/afternoon session and an evening session was held on February 24, 2009.

The LGPC received and responded to more than 400 comments on the DGEIS the majority of which dealt with several topics of the regulations. These comments initiated subsequent revisions to the draft rule text as described in the Alternatives section of the Regulatory Impact Statement. The LGPC accepted the Final Generic Environmental Impact Statement on May 26, 2009.

#### 2016 to Present

Due to public comments concerning the spatial extent of the stream corridors and what constituted a stream, in 2017 the Commission modified its proposal to reflect a smaller stream corridor and more clear guidance on what streams would be regulated. Specifically, the proposal was modified to restrict the group of protected streams to perennial AA-special streams mapped by the DEC, and the definition of a stream corridor was modified to include the area within 35' of a stream, significantly reduced from the previously proposed 100' setback. The Commission has presented and refined this updated stream corridor model through dozens of discussions and meetings with the community. Parties involved and consulted in the process included each of the municipalities' planning and/or town boards in the basin, the local chamber of commerce, local foresters and loggers, professional designers and planners, environmental advocacy groups, individual citizens, conservation districts, and our sister agencies DEC and APA. The outreach effort has served to improve the regulatory language and foster broad acceptance of the concepts.

#### Job Impact Statement

The regulations apply permit requirements and standards to future land development within the Lake George Park for projects involving disturbances to land within 35 feet of either side of protected streams. Accordingly, the rules could not be construed as affecting the existing level of business activity or jobs at existing facilities located in or near the Lake George Park except in so much as avoiding a decline in the natural qualities of the Lake is generally compatible with sustaining the current level of tourism and recreation-based business.

Any land use regulation has at least the potential to reduce the density or amount of allowable growth and thereby have a potential effect on the demand for that portion of construction services devoted to private development. Regulations that are so restrictive as to reduce private development activity below the current level, given equal market conditions, could be viewed as affecting existing job opportunities. Regulations

that are restrictive to the degree of moderating any increase in the growth of development could be viewed as also limiting job growth in the private development portion of the construction employment sector. Accordingly, this job impact statement is centered on construction employment. In this inquiry, it is appropriate to consider the impact on the private development component of the construction industry since the regulations will not limit the opportunity to undertake publicly funded projects.

The potential impact on construction-related jobs arises from the potential that the regulations would result in the denial of construction projects that may otherwise occur because a particular project could not be designed in accordance with the standards and would not otherwise qualify for a waiver or a variance. It is important to note that the regulations do not establish land-use or density requirements. The number of principle buildings which would be allowed on a particular piece of property and the type and coverage of development allowable are separately established under the two-tiered land-use regulation program of the Adirondack Park Act and implemented in local land-use programs.

The amount of land located with designated stream corridors of 35 feet (each side of the high-water mark) has been estimated to comprise approximately 0.8% of the land area of the Lake George Basin (land within the Lake George Park with surface-water flows to the lake and its tributaries). If one assumes that future development activities are equally distributed, then the regulations have the potential to displace a total of 0.8% of the future development opportunities. Even if all future development opportunities on all of this affected land is lost and further assuming that this loss has a corresponding reduction in construction jobs for all future years, then the potential effect on jobs is 0.8%.

However, it is not reasonable to predict that 0.8% of future development opportunities will be lost. Rather, a significant portion of the future development that might otherwise occur on the 0.8% of affected land will simply be re-directed to areas on the same parcel outside the stream corridor. Under such cases, a reduction in construction related job opportunities would not occur in the individual case.

In those individual cases where the maximum allowed density cannot be achieved without utilizing a portion of the stream corridor, a variance can be sought. In those cases where the allowable density cannot be achieved without construction in the stream corridor and a variance is denied, developers may direct the project to a separate parcel of property that will accommodate that density. After all, the demand for new homes, businesses facilities, and new tourist attractions is limited by external factors such as surplus income and unavoidable cost of construction, and competition from other areas. Such factors mean that there is not an unlimited demand at Lake George for homes, second homes, vacation accommodations, etc. at prevailing prices.

Local regulation of such activities must also be taken into account when evaluating potential impacts on jobs as well. Currently, several of the municipalities within the Lake George Park maintain strong restrictions on development and vegetative clearing in close proximity of streams (Towns of Queensbury, Lake George and Bolton). These three towns comprise the majority of development within the watershed due to general landscape features and topography. When accounting for these existing additional restrictions the impact on jobs from this initiative becomes even less.

Given the opportunity to re-direct development away from stream corridors and also given the waiver and variance provisions of the regulations, and further given the very small percentage of land within the stream corridors, the regulations can be estimated as having an insignificant effect on construction related jobs. Any such effect has to be evaluated within the context of job loss that would be associated with a decline in the water quality of Lake George resulting from such development within 35 feet of a DEC regulated stream.

The Lake George Park Commission has determined based upon the summary of information described above that it is apparent from the nature and purpose of this rule that it will not have a substantial adverse impact on jobs or employment opportunities.

## Long Island Railroad Company

### NOTICE OF EMERGENCY ADOPTION AND REVISED RULE MAKING NO HEARING(S) SCHEDULED

#### Requiring Mask Wearing Covering the Nose and Mouth When Using Terminals, Stations, and Trains Operated by LIRR

**I.D. No.** LIR-39-20-00005-ERP

**Filing No.** 660

**Filing Date:** 2020-10-13

**Effective Date:** 2020-10-13

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

**Action Taken:** Amendment of Part 1097 of Title 21 NYCRR.

**Statutory authority:** Public Authorities Law, section 1266(4) and (5)

**Finding of necessity for emergency rule:** Preservation of public health, public safety and general welfare.

**Specific reasons underlying the finding of necessity:** On March 7, 2020, with widespread transmission of COVID-19 rapidly increasing within certain areas of the state, Governor Andrew M. Cuomo issued an Executive Order declaring a state disaster emergency to aid in addressing the threat COVID-19 poses to the health and welfare of New York State residents and visitors.

As part of ongoing efforts to address this pandemic, Governor Cuomo has issued (and continues to issue) Executive Orders to implement measures aimed at limiting the spread or mitigating the impact of COVID-19 within the state. On April 16, 2020, the Governor issued Executive Order 202.18, which requires "any person utilizing public or private transportation carriers or other for-hire vehicles, who is over age two and able to medically tolerate a face covering, [to] wear a mask or face covering over the nose and mouth during any such trip."

Now that the New York City area has begun reopening and the LIRR is restoring service and anticipating increased ridership, it is imperative that all riders on all of the Metropolitan Transportation Authority's subways, buses, and commuter trains comply with Governor Cuomo's directive and State Department of Health guidance, or directives that the Metropolitan Transportation Authority and its operating affiliates and subsidiaries may issue, requiring them to wear a mask or face covering over the nose and mouth and maintain social distance as much as possible. Research has found that wearing a face covering can reduce the rate of COVID-19 transmission significantly.

**Subject:** Requiring mask wearing covering the nose and mouth when using terminals, stations, and trains operated by LIRR.

**Purpose:** To safeguard the public health and safety by amending the rules to require use of masks when using LIRR facilities.

**Text of emergency/revised rule:** A new subdivision (g) is added to section 1097.4 to read as follows:

(g) All persons in a terminal, station or train shall comply with all lawful orders and directives of any police officer, peace officer or any Long Island Rail Road or Authority employee acting within the scope of their employment, including one pursuant to an order or directive issued by the Governor of the State of New York pursuant to a state disaster emergency relating to public health or an order or directive issued by the Authority that includes requiring the wearing of masks or face coverings by any individual over the age of two and is able to medically tolerate a face covering.. Masks or face coverings must be worn in a proper manner covering the nose and mouth. Any person who does not comply with such an order or directive requiring wearing of masks or face coverings in a proper manner covering the nose and mouth may be barred from entering, or be ejected from, any terminal, station, or train, in addition to a fine of \$50.

**This notice is intended** to serve as both a notice of emergency adoption and a notice of revised rule making. The notice of proposed rule making was published in the *State Register* on September 30, 2020, I.D. No. LIR-39-20-00005-EP. The emergency rule will expire December 11, 2020.

**Emergency rule compared with proposed rule:** Substantive revisions were made in sections 1097.2(j), 1097.4(e), (f), 1097.5(r), (s), (t) and 1097.15(c).

**Text of rule and any required statements and analyses may be obtained from:** Peter Sistrom, Metropolitan Transportation Authority, 2 Broadway, New York, NY, (212) 878-7176, email: psistrom@mtahq.org

**Data, views or arguments may be submitted to:** Same as above.

**Public comment will be received until:** 45 days after publication of this notice.

**Revised Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement**

A regulatory impact statement, regulatory flexibility analysis for small businesses and local governments, a rural area flexibility analysis, and a job impact statement are not required for this rulemaking because it will not adversely affect small businesses, local governments, rural areas, or jobs.

This rulemaking will authorize law enforcement officers and designated employees of the Metropolitan Transportation Authority and LIRR to enforce compliance with orders and directives issued by the Governor of the State of New York or the Metropolitan Transportation Authority pursuant to a state disaster emergency relating to public health requiring persons in a terminal, station or train to wear masks or face coverings in a manner covering the nose and mouth. Due to its narrow focus, this proposed emergency rule will not impose an adverse economic impact or reporting, record keeping, or other compliance requirements on small businesses or local governments in rural or urban areas or on jobs and employment opportunities.

**Assessment of Public Comment**

The agency received no public comment.

## Public Service Commission

### PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

#### Use of \$50 Million to Support Residential and Commercial Customers Experiencing Financial Hardship

**I.D. No.** PSC-43-20-00003-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** The Commission is considering a petition of Niagara Mohawk Power Corporation d/b/a National Grid for authorization to use up to \$50 million to support residential and commercial customers experiencing financial hardship due to the COVID-19 pandemic.

**Statutory authority:** Public Service Law, sections 65 and 66

**Subject:** Use of \$50 million to support residential and commercial customers experiencing financial hardship.

**Purpose:** To consider whether the proposed support of ratepayers is in the public interest.

**Substance of proposed rule:** The Commission is considering a petition, filed on September 29, 2020, by Niagara Mohawk Power Corporation d/b/a National Grid for authorization to use up to \$50 million to support vulnerable residential customers and businesses that are experiencing financial difficulties due to the COVID-19 pandemic. National Grid proposes to create a COVID-19 Customer Assistance Program (Program) designed to provide financial relief in the form of bill credits and grants using up to \$50 million from National Grid's Energy Affordability Program and Economic Development Fund.

National Grid states that the program will be designed to support the residential customers most in need and encourage those customers to remain current on their bills. For businesses, the program would also target those most in need and assist with COVID-19 related costs.

National Grid proposes a two-prong approach for residential low-income customers: (1) an initial one-time bill credit; and (2) an arrears forgiveness program. For low-income residential customers, the initial one-time payment will be targeted to participants in the National Grid's Energy Affordability Program of \$100 for electric customers, \$50 for gas customers and \$150 for combination customers. The arrears forgiveness program would provide additional bill credits to low-income customers who are in arrears and/or unable to pay their bills if they enter into a deferred payment agreement (DPA) and make regular payments. Any remaining funds would be credited to low-income customers.

For businesses customers, National Grid proposes three targeted programs: (1) distressed business assistance; (2) manufacturing economic

development grants; and (3) commercial and industrial customer assistance grants. These programs are designed to encourage distressed customers to enter into a DPA or provide businesses assistance with COVID-19 related costs.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: [www.dps.ny.gov](http://www.dps.ny.gov). The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

**Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact:** John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: [john.pitucci@dps.ny.gov](mailto:john.pitucci@dps.ny.gov)

**Data, views or arguments may be submitted to:** Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: [secretary@dps.ny.gov](mailto:secretary@dps.ny.gov)

**Public comment will be received until:** 60 days after publication of this notice.

#### **Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement**

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(20-M-0479SP1)

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## Department of State

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### NOTICE OF ADOPTION

#### **Creation of a Cease and Desist Zone Within Kings County**

**I.D. No.** DOS-26-20-00008-A

**Filing No.** 661

**Filing Date:** 2020-10-13

**Effective Date:** 2020-11-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

**Action taken:** Amendment of section 175.17 of Title 19 NYCRR.

**Statutory authority:** Real Property Law, section 442-h

**Subject:** Creation of a cease and desist zone within Kings County.

**Purpose:** To adopt a cease and desist zone for a designated area within Kings County.

**Text or summary was published** in the July 1, 2020 issue of the Register, I.D. No. DOS-26-20-00008-P.

**Final rule as compared with last published rule:** No changes.

**Text of rule and any required statements and analyses may be obtained from:** David A. Mossberg, Esq., NYS DOS, 99 Washington Avenue, 5th Floor, Albany, NY 12231, (518) 473-2728, email: [david.mossberg@dos.ny.gov](mailto:david.mossberg@dos.ny.gov)

#### **Initial Review of Rule**

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2023, which is no later than the 3rd year after the year in which this rule is being adopted.

#### **Assessment of Public Comment**

The Department of State (the "Department") received over 750 comments following publication of the Notice of Proposed Rulemaking in the State Register. The majority of the comments submitted to the Department were from homeowners or other interested persons that supported the proposal but also requested the proposed zone be expanded to include all the area comprising Community Board 5. Approximately 300 comments were received from licensed real estate brokers or salespeople that self-identified as a REALTOR and that opposed the regulation.

A summary and analysis of issues raised, significant alternatives suggested by the comments, and reasons why any significant alternatives were not incorporated into the rule are described below.

Comments received from licensed professionals and from the New York State Association of Realtors, Inc., ("NYSAR") (collectively referred to as "NYSAR Comments") are addressed by the Department as follows.

NYSAR Comment 1: The establishment of this large cease and desist zone will prevent thousands of hard-working REALTORS® in the area

from using well-established marketing efforts in order to do business and earn a living during this already difficult economic climate due to the COVID-19 pandemic. We believe the proposal by the Department of State is overly restrictive and unwarranted.

NYSAR Response 1: The Department disagrees that the proposed rule is overly restrictive and will cause increase financial harm. Currently, there are several active cease and desist zones, including one that covers the entire area of the Incorporated Village of Chestnut Ridge, throughout the State that serve as evidence that a zone, in and of itself, will not cause undo financial harm to individuals that attempt to solicit the sale of homes. Additionally, the Department notes the need for this zone may have increased to protect homeowners that may have suffered financial losses due to the COVID-19 pandemic and may be further targeted by opportunistic real estate speculators.

NYSAR Comment 2: Imposing any cease and desist zone only harms legitimate licensed real estate professionals while ineffectively allowing unlicensed individuals to continue their practices unfettered. Cease and desist zones do not provide any remedy for the activities of unlicensed individuals since the Department of State cannot regulate or discipline an unlicensed entity. Therefore, restricting the business practices of an entire industry due to the actions of non-licensed individuals should not be the guiding principal.

NYSAR Response 2: The Department disagrees that cease and desist zones do not address activities by unlicensed persons and refers to NY RPL Section 442-e(8) that expressly authorizes the Department to commence proceedings against unlicensed persons and entities for violations of an active cease and desist zone. Further, this comment overlooks that unwanted solicitations are not only directed toward homeowners from unlicensed individuals but are also a regular practice of some licensed professionals. Accordingly, this comment does not warrant a change to the proposal.

NYSAR Comment 3: The Department of State held a single public hearing on March 5 to weigh its decision to impose a new cease and desist zone. The Department did not publish any information in the State Register or on its website announcing such hearing prior to that date. We believe this lack of transparency prevented an opportunity for all impacted voices to be properly heard.

NYSAR Response 3: The Department disagrees that there was a lack of transparency and/or with the suggestion that any legal process was not followed prior to proposing this rule in the State Register. With respect to the public hearing, the Department notes that NY RPL Section 442-h authorizes both "non-solicitation orders" as well as "cease and desist zones" and the public hearing component is only legally mandated prior to the creation of "non-solicitation orders". Accordingly, the hearing was held to further support the Department fact finding process but was not legally required and therefore no special notice in the State Register was required under the NY RPL. The Department also notes that notice of the public hearing was reported in local newspapers and the Department specifically communicated with NYSAR prior to the March 5th hearing to confirm that a hearing was being held. Lastly, the Department also notes that the purpose of publishing the rule in the State Register is to collect public comment, and there were approximately 300 comments received from REALTORS, including NYSAR, offering comment to the proposed rule, thereby providing ample opportunity for "all impacted voices to be properly heard." Therefore, this comment does not warrant modification to the proposed rulemaking.

#### **Additional Public Comments:**

Over 450 hundred comments were received in general support of the proposal. The majority of these comments were from homeowners within Community Board 5 and urged the Department to expand the proposed zone to all of the area comprising Community Board 5. Additional comments were received from elected officials also asking the Department to expand the zone. These commenters generally favored the creation of "cease and desist zones" citing, inter alia, the intense and repeated solicitations they or their constituents have suffered but requested that the boundaries of the proposed areas be enlarged to include all the area within Community Board 5. The Department remains concerned about the conditions these homeowners are facing; however, there is insufficient data at this time to suggest the community board, as a whole, is subject to intense and repeated solicitation. Because there is insufficient data to support increasing the boundaries of the proposed zones, the Department finds that it cannot amend the rule to accommodate these comments.



## HEARINGS SCHEDULED FOR PROPOSED RULE MAKINGS

Agency I.D. No.	Subject Matter	Location—Date—Time
<b>Lake George Park Commission</b>		
LGP-43-20-00005-P .....	Stream Corridor Protection Regulations for the Lake George Park	Fort William Henry, 48 Canada St., Lake George, NY—January 12, 2021, 4:00 p.m.
<b>Long Island Power Authority</b>		
LPA-37-20-00008-P .....	Authority's Annual Budget, as Reflected in the Rates and Charges in the Tariff for Electric Service	Long Island Power Authority, 333 Earle Ovington Blvd., 4th Fl., Uniondale, NY—November 18, 2020, 2:00 p.m.  H. Lee Dennison Bldg., 100 Veterans Memorial Hwy., Hauppauge, NY—November 19, 2020, 10:00 a.m.
LPA-37-20-00009-P .....	Consolidated Billing for Community Distributed Generation	Long Island Power Authority, 333 Earle Ovington Blvd., 4th Fl., Uniondale, NY—November 18, 2020, 2:00 p.m.  H. Lee Dennison Bldg., 100 Veterans Memorial Hwy., Hauppauge, NY—November 19, 2020, 10:00 a.m.
LPA-37-20-00010-P .....	To Modify the RDM and DSA to Address the Unforeseen Impact of COVID-19	Long Island Power Authority, 333 Earle Ovington Blvd., 4th Fl., Uniondale, NY—November 18, 2020, 2:00 p.m.  H. Lee Dennison Bldg., 100 Veterans Memorial Hwy., Hauppauge, NY—November 19, 2020, 10:00 a.m.
LPA-37-20-00011-P .....	New Optional TOU Rates as Proposed in PSEG Long Island's 2018 Utility 2.0 Filing and Subsequent Filing Updates	Long Island Power Authority, 333 Earle Ovington Blvd., 4th Fl., Uniondale, NY—November 18, 2020, 2:00 p.m.  H. Lee Dennison Bldg., 100 Veterans Memorial Hwy., Hauppauge, NY—November 19, 2020, 10:00 a.m.
LPA-37-20-00012-P .....	Authority's Implementation of Public Service Law Section 66-p in the Tariff for Electric Service	Long Island Power Authority, 333 Earle Ovington Blvd., 4th Fl., Uniondale, NY—November 18, 2020, 2:00 p.m.  H. Lee Dennison Bldg., 100 Veterans Memorial Hwy., Hauppauge, NY—November 19, 2020, 10:00 a.m.
LPA-37-20-00013-EP .....	Terms of Deferred Payment Agreements Available to LIPA's Commercial Customers	Long Island Power Authority, 333 Earle Ovington Blvd., 4th Fl., Uniondale, NY—November 18, 2020, 2:00 p.m.  H. Lee Dennison Bldg., 100 Veterans Memorial Hwy., Hauppauge, NY—November 19, 2020, 10:00 a.m.
<b>Public Service Commission</b>		
PSC-41-20-00011-P .....	Major Gas Rate Filing	Department of Public Service, 19th Fl., Board Rm., 3 Empire State Plaza, Albany, NY—December 15, 2020, 10:30 a.m. and continuing daily as needed (Evidentiary Hearing)* *On occasion, there are requests to reschedule or postpone hearing dates. If such a request is granted, notification of any subsequent scheduling changes will be available at the DPS website ( <a href="http://www.dps.ny.gov">www.dps.ny.gov</a> ) under Case 20-G-0101
PSC-42-20-00006-P .....	Proposed Major Rate Increase in National Grid's Delivery Revenues of Approximately \$41.8 Million (or 9.8% in Total Revenues)	Department of Public Service, 19th Fl. Board Rm., 3 Empire State Plaza, Albany, NY—January 6, 2021, 10:30 a.m. and continuing daily as needed (Evidentiary Hearing)* *On occasion, the evidentiary hearing date may be rescheduled or postponed. In that



PSC-42-20-00009-P ..... Proposed Major Rate Increase in National Grid's Delivery Revenues of Approximately \$100.4 Million (or 3.2% in Total Revenues)

**State, Department of**

DOS-37-20-00016-P ..... Siting Permits for Major Renewable Energy Facilities

event, notification of any subsequent scheduling changes will be available at the DPS website ([www.dps.ny.gov](http://www.dps.ny.gov)) under Case 20-G-0381

Department of Public Service, 19th Fl. Board Rm., 3 Empire State Plaza, Albany, NY—January 6, 2021, 10:30 a.m. and continuing daily as needed (Evidentiary Hearing)\*

\*On occasion, the evidentiary hearing date may be rescheduled or postponed. In that event, public notification of any subsequent scheduling changes will be available at the DPS website ([www.dps.ny.gov](http://www.dps.ny.gov)) under Case 20-E-0380

Jacqueline Vito LoRusso Alumni & Visitor Center, SUNY Buffalo State, 667 Grant St., Buffalo, NY—November 17, 2020, 5:00 p.m.\*

Rochester City Hall, City Council Chambers, 30 Church St., Rochester, NY—November 18, 2020, 5:00 p.m.\*

Clayton Opera House, 405 Riverside Dr., Clayton, NY—November 19, 2020, 5:00 p.m.\*

McDonough Sports Complex, Hudson Valley Community College, North Drive, Troy, NY—November 20, 2020, 5:00 p.m.\*

Suffolk County Legislature - William H. Rogers Building, Rose Y. Caracappa Auditorium, 725 Veterans Memorial Hwy., Smithtown, NY—November 23, 2020, 5:00 p.m.\*

To register for in-person public statement hearings: Any person wishing to comment on the proposed regulations on the record at the in-person hearings must register by sending an email to [General@Ores.ny.gov](mailto:General@Ores.ny.gov) no later than 5 P.M. on Friday, November 13, 2020. The Administrative Law Judge will use a registration list to call each person who has requested to provide a statement. The hearings will continue until everyone wishing to speak has been heard or other reasonable arrangements have been made to include their comments in the record. Reasonable time limits may be set for each speaker as necessary to afford all attendees an opportunity to be heard. It is recommended that lengthy comments be submitted in writing and summarized for oral presentation. A verbatim transcript of the hearings will be made for inclusion in the record.

Persons with disabilities requiring special accommodations should call (518) 474-2520 as soon as possible. TDD users may request a sign language interpreter by placing a call through the New York Relay Service at 711. Individuals with difficulty understanding or reading English are encouraged to call 1-800-342-3377 for free language assistance services regarding this notice.

Virtual Public Statement Hearings

Electronic Access: [www.webex.com](http://www.webex.com), Event Number: 173 227 2771, Password: 11.24PSH, Phone Access: 518-549-0500, Access Code: 173 227 2771—November 24, 2020, 5:00 p.m.\*

Electronic Access: [www.webex.com](http://www.webex.com), Event Number: 173 845 2365, Password: 11.30PSH, Phone Access: 518-549-0500, Access Code: 173 845 2365—November 30, 2020, 5:00 p.m.\*

To register for virtual public statement hearings electronically: Participants who would like login to a hearing electronically to provide a statement must register to do so by visiting [www.webex.com](http://www.webex.com) by November 20, 2020, clicking “Join” at the top right-hand corner of the screen, and entering the appropriate event number listed above, and providing all requested information.

When logging in to a hearing on the appropriate date and time of the hearing, participants should visit [www.webex.com](http://www.webex.com), click “Join” at the top right-hand corner of the screen, and input the appropriate event number for the hearing. Participants will be asked to “select audio system.” It is recommended that participants opt to have the system “call me” or “call using computer.” The “call me” option will require participants to enter their phone numbers.

To register for virtual public statement hearings by phone: Any participant who is not able to login to a hearing electronically may participate by phone. Call-in participants wishing to provide a statement must register to do so by November 20, 2020 by calling 1-800-342-3330, where they should follow prompts to the appropriate hearing and provide the following information: first and last name, address, and phone number. On the appropriate date and time of a hearing, all call-in users should dial (518) 549-0500 and enter the relevant access code listed above to join the hearing.

All electronic and call-in participants will be muted upon entry into the hearing. The Administrative Law Judge will call each person who has requested to provide a statement to speak. Each public statement hearing will be held open until everyone who has registered to speak has been heard or other reasonable arrangements to submit comments into the record have been made. Time limits may be set for each speaker as necessary. It is recommended that lengthy comments be submitted in writing and summarized for oral presentation. A verbatim transcript of the hearing will be made for inclusion in the record.

Persons with disabilities requiring special accommodations should call (518) 474-2520 as soon as possible. TDD users may request a sign language interpreter by placing a call through the New York Relay Service at 711. Individuals with difficulty understanding or reading English are encouraged to call 1-800-342-3377 for free language assistance services regarding this notice.

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\*In the event that a public statement hearing needs to be canceled, rescheduled or postponed, notification of any changes will be available at the ORES Web Site ([www.ores.ny.gov](http://www.ores.ny.gov)).



## ACTION PENDING INDEX

The action pending index is a list of all proposed rules which are currently being considered for adoption. A proposed rule is added to the index when the notice of proposed rule making is first published in the *Register*. A proposed rule is removed from the index when any of the following occur: (1) the proposal is adopted as a permanent rule; (2) the proposal is rejected and withdrawn from consideration; or (3) the proposal's notice expires.

Most notices expire in approximately 12 months if the agency does not adopt or reject the proposal within that time. The expiration date is printed in the second column of the action pending index. Some notices, however, never expire. Those notices are identified by the word "exempt" in the second column. Actions pending for one year or more are preceded by an asterisk(\*).

For additional information concerning any of the proposals

listed in the action pending index, use the identification number to locate the text of the original notice of proposed rule making. The identification number contains a code which identifies the agency, the issue of the *Register* in which the notice was printed, the year in which the notice was printed and the notice's serial number. The following diagram shows how to read identification number codes.

Agency code	Issue number	Year published	Serial number	Action Code
<b>AAM</b>	<b>01</b>	<b>12</b>	<b>00001</b>	<b>P</b>

Action codes: P — proposed rule making; EP — emergency and proposed rule making (expiration date refers to proposed rule); RP — revised rule making

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
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### AGRICULTURE AND MARKETS, DEPARTMENT OF

AAM-12-20-00006-P	03/25/21	Calibrating and testing of certain weights and measures standards and devices.	To allow the Dept. to increase the fees it charges in calibrating and testing certain weights & measures standards and devices.
AAM-21-20-00002-P	05/27/21	Milk and Milk Products	To incorporate federal requirements applicable to the processing and manufacture of milk and milk products

### ALCOHOLISM AND SUBSTANCE ABUSE SERVICES, OFFICE OF

ASA-19-20-00001-P	05/13/21	General service standards applicable to outpatient substance use disorder programs	To set-forth the minimum regulatory requirements for certified outpatient substance use disorder treatment programs.
ASA-28-20-00013-P	07/15/21	Patient Rights	To set-forth the minimum regulatory requirements for patient rights in OASAS certified, funded or otherwise authorized programs
ASA-28-20-00014-P	07/15/21	Specialized Services	To replace the term "chemical dependence" with "addiction"
ASA-28-20-00016-P	07/15/21	Designated Services	To set-forth the minimum regulatory requirements for certified programs to seek an Office designation

### AUDIT AND CONTROL, DEPARTMENT OF

AAC-43-20-00004-P	10/28/21	Budgets and Financial Plan Format of Public Authorities	Conform regulations related to the submission of annual budgets and financial plans to the Public Authorities Reform Act of 2009
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### CHILDREN AND FAMILY SERVICES, OFFICE OF

CFS-46-19-00002-P	12/03/20	Behavioral health services, elimination of room isolation and authority to operate de-escalation rooms	To implement standards for behavioral health services and the operation of de-escalation rooms and to eliminate room isolation
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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>CHILDREN AND FAMILY SERVICES, OFFICE OF</b>			
CFS-49-19-00001-P	12/03/20	Limits on executive compensation	To remove the soft cap limit on executive compensation
CFS-04-20-00009-P	01/28/21	Host Family Homes	The proposed regulations would establish standards for the approval and administration of host family homes.
CFS-31-20-00001-EP	08/05/21	To require districts to authorize up to eight hours of child care assistance, as needed, to assist parents or caretakers	To require districts to authorize up to eight hours of child care assistance, as needed, to assist parents or caretakers
CFS-36-20-00001-EP	09/09/21	Define “non-school hours” and “those periods of the year in which school is not in session”	To include virtual and/or remote learning as in school hours
CFS-36-20-00003-P	09/09/21	Requires training on adverse childhood experiences (ACEs), focused on understanding trauma and on nurturing resiliency	Requires training on adverse childhood experiences (ACEs), focused on understanding trauma and on nurturing resiliency
<b>CIVIL SERVICE, DEPARTMENT OF</b>			
CVS-51-19-00002-P	02/01/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-51-19-00003-P	02/01/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-51-19-00004-P	02/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-51-19-00005-P	02/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-51-19-00006-P	02/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-51-19-00007-P	02/01/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00008-P	02/01/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00009-P	02/01/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00010-P	02/01/21	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-51-19-00011-P	02/01/21	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-51-19-00012-P	02/01/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00013-P	02/01/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00014-P	02/01/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-03-20-00003-P	02/01/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-03-20-00004-P	02/01/21	Jurisdictional Classification	To classify a position in the exempt class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>CIVIL SERVICE, DEPARTMENT OF</b>			
CVS-03-20-00005-P	02/01/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-03-20-00006-P	02/01/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-03-20-00007-P	02/01/21	Jurisdictional Classification	To delete positions from and classify positions in the exempt class
CVS-06-20-00001-P	02/11/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-06-20-00002-P	02/11/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-06-20-00003-P	02/11/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-06-20-00004-P	02/11/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-06-20-00005-P	02/11/21	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-06-20-00006-P	02/11/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-06-20-00007-P	02/11/21	Jurisdictional Classification	To delete a position from and classify a position in the exempt class and to delete positions from the non-competitive class
CVS-06-20-00008-P	02/11/21	Jurisdictional Classification	To delete a subheading and positions from and to classify a subheading and positions in the exempt and non-competitive classes
CVS-13-20-00002-P	04/01/21	Supplemental military leave benefits	To extend the availability of supplemental military leave benefits for certain New York State employees until December 31, 2020
CVS-13-20-00009-P	04/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00010-P	04/01/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-13-20-00011-P	04/01/21	Jurisdictional Classification	To delete positions from the exempt class
CVS-13-20-00012-P	04/01/21	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-13-20-00013-P	04/01/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-13-20-00014-P	04/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00015-P	04/01/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-13-20-00016-P	04/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00017-P	04/01/21	Jurisdictional Classification	To classify positions in the exempt class



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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>CIVIL SERVICE, DEPARTMENT OF</b>			
CVS-13-20-00018-P	04/01/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-13-20-00019-P	04/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00020-P	04/01/21	Jurisdictional Classification	To delete positions in the non-competitive class
CVS-13-20-00021-P	04/01/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-13-20-00022-P	04/01/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-13-20-00023-P	04/01/21	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-13-20-00024-P	04/01/21	Jurisdictional Classification	To classify positions in the exempt and the non-competitive classes.
CVS-13-20-00025-P	04/01/21	Jurisdictional Classification	To delete a position from and classify positions in the non-competitive class
CVS-18-20-00004-P	05/06/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-18-20-00005-P	05/06/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-18-20-00006-P	05/06/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-18-20-00007-P	05/06/21	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-18-20-00008-P	05/06/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-18-20-00009-P	05/06/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-18-20-00010-P	05/06/21	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-24-20-00002-P	06/17/21	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-24-20-00003-P	06/17/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-24-20-00004-P	06/17/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-24-20-00005-P	06/17/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-24-20-00006-P	06/17/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-24-20-00007-P	06/17/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-24-20-00008-P	06/17/21	Jurisdictional Classification	To classify positions in the non-competitive class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>CIVIL SERVICE, DEPARTMENT OF</b>			
CVS-24-20-00009-P	06/17/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-24-20-00010-P	06/17/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-28-20-00004-P	07/15/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-28-20-00005-P	07/15/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-28-20-00006-P	07/15/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-28-20-00007-P	07/15/21	Jurisdictional Classification	To delete a position from the exempt class
CVS-28-20-00008-P	07/15/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-28-20-00009-P	07/15/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-28-20-00010-P	07/15/21	Jurisdictional Classification	To classify positions in the non-competitive class.
CVS-28-20-00011-P	07/15/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-28-20-00012-P	07/15/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-32-20-00003-P	08/12/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-32-20-00004-P	08/12/21	Jurisdictional Classification	To classify positions in the exempt and the non-competitive classes
CVS-32-20-00005-P	08/12/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-32-20-00006-P	08/12/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-41-20-00002-P	10/14/21	Jurisdictional Classification	To delete positions from and to classify a subheading and positions in the exempt class
CVS-41-20-00003-P	10/14/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-41-20-00004-P	10/14/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-41-20-00005-P	10/14/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-41-20-00006-P	10/14/21	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-41-20-00007-P	10/14/21	Jurisdictional Classification	To classify positions in the non-competitive class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>CORRECTION, STATE COMMISSION OF</b>			
*CMC-35-19-00002-P	02/01/21	Disciplinary and administrative segregation of inmates in special housing.	Prohibit the segregation of vulnerable inmates, and to standardize allowable uses and duration of special housing segregation.
<b>CORRECTIONS AND COMMUNITY SUPERVISION, DEPARTMENT OF</b>			
*CCS-35-19-00001-RP	12/03/20	Special Housing Units	Revisions have been made in order to be in compliance with new laws regarding special housing units and solitary confinement use
CCS-34-20-00001-P	08/26/21	Family Reunion Program	To clarify for logic and consistency, and make additional changes to the current Family Reunion Program
CCS-38-20-00002-P	09/23/21	Parole Revocation Process and Disposition	Harmonize revocation process with recent amendments to conditions and guidelines and clarify certain delinquency dates
<b>CRIMINAL JUSTICE SERVICES, DIVISION OF</b>			
*CJS-30-19-00010-ERP	02/01/21	Use of Force	Set forth use of force reporting and recordkeeping procedures
CJS-19-20-00010-P	05/13/21	Part 364 - Conditional release conditions.	Conform to the recent changes made by the Legislature by removing the term "gravity knife".
<b>ECONOMIC DEVELOPMENT, DEPARTMENT OF</b>			
EDV-09-20-00007-RP	03/04/21	Minority and Women-Owned Business Enterprise Program	Update the regulations of the Division of Minority and Women's Business Development
EDV-37-20-00003-P	09/16/21	Excelsior Jobs program	Update regulations to include newly enhanced tax credits for green economy projects
EDV-41-20-00014-P	10/14/21	The Excelsior Linked Deposit Program	Administration of the Excelsior Linked Deposit Program
<b>EDUCATION DEPARTMENT</b>			
*EDU-17-19-00008-P	02/01/21	To require study in language acquisition and literacy development of English language learners in certain teacher preparation	To ensure that newly certified teachers enter the workforce fully prepared to serve our ELL population
*EDU-27-19-00010-P	02/01/21	Substantially Equivalent Instruction for Nonpublic School Students	Provide guidance to local school authorities to assist them in fulfilling their responsibilities under the Compulsory Ed Law
EDU-11-20-00013-RP	03/23/21	Special Education Impartial Hearing Officers and the Special Education Due Process System Procedures	To address volume of special education due process complaints in the New York City due process system
EDU-16-20-00002-ERP	04/22/21	Addressing the COVID-19 Crisis	To provide flexibility for certain regulatory requirements in response to the COVID-19 crisis
EDU-20-20-00008-ERP	05/20/21	Addressing the COVID-19 Crisis	To provide flexibility for certain regulatory requirements in response to the COVID-19 crisis

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>EDUCATION DEPARTMENT</b>			
EDU-25-20-00008-ERP	06/24/21	Eligibility for Participation of Students With Section 504 or ADA Plans in Interscholastic Competition and Inclusive Athletics	To clarify the eligibility requirements for participation of students with section 504 or ADA plans in interschool competition
EDU-30-20-00002-P	07/29/21	Creating a transitional J Certificate for Military Spouses	To create a Transitional J certificate for spouses of individuals on full-time active duty with the Armed Forces
EDU-30-20-00003-P	07/29/21	Creating Safety Nets for the Arts Content Specialty Tests (CSTs)	To create a safety net for the Arts Content Specialty Tests (CSTs)
EDU-30-20-00004-ERP	07/29/21	Addressing the COVID-19 crisis and planning for the reopening of schools	To provide regulatory flexibility due to the COVID-19 crisis and to plan for the reopening of schools
EDU-30-20-00005-P	07/29/21	Creating a Safety Net for the School Building Leader Assessment	To create a safety net for the School Building Leader Assessment
EDU-39-20-00010-P	09/30/21	Financial Transparency Requirement Reporting Deadlines	To permit the Department to establish the financial transparency reporting requirement deadline administratively
EDU-39-20-00011-P	09/30/21	Continuing Education Requirements for Psychologists	To implement Chapter 436 of the 2018 requiring continuing education for psychologists
EDU-39-20-00012-EP	09/30/21	Addressing the COVID-19 Crisis and the Reopening of Schools	To address the COVID-19 crisis and to prepare for the reopening of schools
EDU-39-20-00013-P	09/30/21	Authorize NY higher education institutions to participate in SARA & the approval of out-of-state institutions to provide distance education	To align the Commissioner's regulations with national SARA policy and federal regulations
<b>ENERGY RESEARCH AND DEVELOPMENT AUTHORITY, NEW YORK STATE</b>			
ERD-19-20-00012-P	05/13/21	CO2 Allowance Auction Program	Continued administration and implementation of the CO2 allowance auctions and programs under Part 507
<b>ENVIRONMENTAL CONSERVATION, DEPARTMENT OF</b>			
*ENV-36-19-00003-P	02/01/21	Stationary Combustion Installations	Update permit references, rule citations, monitoring, record keeping, reporting requirements, and lower emission standards.
*ENV-37-19-00003-RP	03/03/21	Clarifying determination of jurisdiction under the Endangered and Threatened Fish and Wildlife regulations	To improve the review of projects by removing some project types that are known not to cause harm from the review stream
ENV-04-20-00004-EP	01/28/21	Regulations governing commercial fishing of quota managed species.	To improve efficiency, reduce waste, and increase safety in marine commercial fisheries.
ENV-05-20-00001-P	04/10/21	Use of Ultra Low Sulfur Diesel Fuel and Best Available Retrofit Technology for Heavy Duty Vehicles	Updating to meet with statutory deadline
ENV-05-20-00002-P	04/10/21	Sulfur-in Fuel Limitations	Limit sulfur in liquid and solid fuels throughout NYS
ENV-06-20-00018-P	04/16/21	The repeal and replacement of 6 NYCRR Part 230 Gasoline Dispensing Sites and Transport Vehicles	To further reduce harmful volatile organic compounds (VOCs) emitted into the atmosphere.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>ENVIRONMENTAL CONSERVATION, DEPARTMENT OF</b>			
ENV-06-20-00019-P	04/16/21	Consumer Products	Reduce Volatile Organic Compound emissions from Consumer Products - those products used in the average household.
ENV-06-20-00020-P	04/16/21	New Source Review requirements for proposed new major facilities and major modifications to existing facilities.	To conform to federal NSR rule requirements and related court rulings, correct typographical errors, and clarify rule language.
ENV-12-20-00001-EP	03/25/21	Regulations governing commercial fishing of Tautog (blackfish).	To revise regulations concerning the commercial harvest of Tautog in New York State.
ENV-17-20-00005-P	04/29/21	The above referenced Parts make up the Department's air pollution control permitting program.	The purpose of this rulemaking is to improve the clarity and consistency of the Department's air pollution permitting program
ENV-17-20-00006-P	04/29/21	Emission Statements	The purpose of this rule making is to require electronic submittal of annual emission statements beginning in 2022.
ENV-17-20-00007-P	04/29/21	CO2 Budget trading program	To lower the emissions cap established under Part 242.
ENV-22-20-00004-P	06/03/21	Amendments to New York State mink, muskrat, and beaver trapping season dates	To align existing mink, muskrat and beaver trapping season start dates and adjust the seasons to trapper-preferred dates
ENV-33-20-00005-P	08/19/21	Repeal of Section 485.1	To remove outdated and redundant references in the Department's regulations
ENV-33-20-00007-P	10/20/21	Emissions limits for 2030 and 2050, as a percentage of 1990 levels, required by Climate Leadership and Community Protection Act	To limit greenhouse gas emissions that endanger public health and the environment
ENV-36-20-00002-P	09/09/21	Deer Hunting Seasons	Establish a bow and muzzleloader deer hunting season in the Southern Zone during the Christmas and New Year holiday week
ENV-42-20-00003-EP	10/21/21	Sanitary Condition of Shellfish Lands	To reclassify underwater shellfish lands to protect public health
<b>FINANCIAL SERVICES, DEPARTMENT OF</b>			
*DFS-17-16-00003-P	exempt	Plan of Conversion by Commercial Travelers Mutual Insurance Company	To convert a mutual accident and health insurance company to a stock accident and health insurance company
*DFS-25-18-00006-P	exempt	Plan of Conversion by Medical Liability Mutual Insurance Company	To convert a mutual property and casualty insurance company to a stock property and casualty insurance company
*DFS-33-19-00004-RP	02/01/21	Minimum Standards for Form, Content, and Sale of Health Insurance, Including Standards for Full and Fair Disclosure	To set forth minimum standards for the content of health insurance identification cards.
DFS-43-19-00017-P	02/01/21	Independent Dispute Resolution for Emergency Services and Surprise Bills	To require notices and consumer disclosure information related to surprise bills and bills for emergency service to be provided

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>FINANCIAL SERVICES, DEPARTMENT OF</b>			
DFS-36-20-00007-P	09/09/21	Superintendent's Regulations: Information Subject to Confidential Treatment	Provide rules concerning publication or disclosure of information subject to confidential treatment
DFS-39-20-00025-P	09/30/21	Financial Statement Filings and Accounting Practices and Procedures	To make technical corrections and clarifications, add new subdivisions S. 83.4(t) and (u), and update incorporated references
<b>GAMING COMMISSION, NEW YORK STATE</b>			
SGC-33-20-00006-P	08/19/21	Agency rule for the protection of trade secrets submitted to the Gaming Commission	To prescribe the manner of safeguarding against any unauthorized access to records containing trade secrets
SGC-34-20-00009-P	08/26/21	Qualification time in harness racing	To improve harness pari-mutuel wagering and generate reasonable revenue for the support of government
SGC-35-20-00002-P	09/02/21	Addition of feature to the Quick Draw lottery game called "Money Dots"	To raise additional revenue for education
SGC-35-20-00003-P	09/02/21	Triple wager in harness racing	To improve harness pari-mutuel wagering and generate reasonable revenue for the support of government
SGC-35-20-00004-P	09/02/21	Restricting NSAID use in Thoroughbred racing	To improve integrity, health and safety of Thoroughbred horse racing
SGC-35-20-00005-P	09/02/21	Furosemide use and practice	To enhance horse racing in New York and generate reasonable revenue for the support of government
SGC-35-20-00006-P	09/02/21	Jackpot Super High Five wager for harness racing	To improve harness pari-mutuel wagering and generate reasonable revenue for the support of government
SGC-35-20-00007-P	09/02/21	EIPH protections for Thoroughbred horses	EIPH protections for Thoroughbred horses
SGC-35-20-00008-P	09/02/21	Medical fitness of Thoroughbred horse riders and Steeplechase jockey licensing	To improve the health and safety of Thoroughbred pari-mutuel racing
SGC-35-20-00009-P	09/02/21	Backstretch housing standards at racetracks	To enhance the integrity of racing and safety of pari-mutuel racing
SGC-35-20-00010-P	09/02/21	Log of drugs administered by Thoroughbred horse trainers	To enhance the integrity and safety of thoroughbred horse racing
SGC-35-20-00011-P	09/02/21	Pick-six jackpot wager for harness racing	To improve harness pari-mutuel wagering and generate reasonable revenue for the support of government
SGC-35-20-00012-P	09/02/21	Restrictions on wagering by key employees of casino vendors	To maintain the integrity of the gaming facilities
SGC-35-20-00014-P	09/02/21	Amend the out-of-competition testing rule for thoroughbred racing	To enhance the integrity and safety of thoroughbred horse racing
SGC-40-20-00001-P	10/07/21	To expressly permit veterinary technicians to practice in horse racing	To preserve the safety and integrity of pari-mutuel racing while generating reasonable revenue for the support of government

## Action Pending Index

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>HEALTH, DEPARTMENT OF</b>			
*HLT-14-94-00006-P	..... exempt	Payment methodology for HIV/AIDS outpatient services	To expand the current payment to incorporate pricing for services
*HLT-36-19-00006-P	..... 02/01/21	Limits on Executive Compensation	Removes “Soft Cap” prohibition on covered executive salaries.
*HLT-40-19-00004-P	..... 02/01/21	Drug Take Back	To implement the State’s drug take back program to provide for the safe disposal of drugs
HLT-46-19-00003-P	..... 02/01/21	Tanning Facilities	To prohibit the use of indoor tanning facilities by individuals less than 18 years of age
HLT-47-19-00008-P	..... 02/01/21	Hospital Medical Staff - Limited Permit Holders	To repeal extra years of training required for limited permit holders to work in New York State hospitals.
HLT-47-19-00009-P	..... 02/01/21	Empire Clinical Research Investigator Program (ECRIP)	To expand the types of & change the time frames for past research grants that qualify staff to supervise the ECRIP project.
HLT-51-19-00001-P	..... 02/01/21	Women, Infants and Children (WIC) Program	To support implementation of eWIC; clarify rules for violations, penalties & hearings & conform vendor authorization criteria.
HLT-53-19-00001-P	..... 01/02/21	Prohibition on the Sale of Electronic Liquids with Characterizing Flavors	To prohibit the sale of electronic liquids with characterizing flavors
HLT-53-19-00012-P	..... 02/01/21	Consumer Directed Personal Assistance Program Reimbursement	To establish a program to pay home care services & establish a methodology framework for the payment of FI administrative costs.
HLT-04-20-00002-P	..... 02/01/21	Reducing Annual Tuberculosis Testing of Health Care Workers	To replace annual tuberculosis testing of health care workers.
HLT-04-20-00003-P	..... 02/01/21	Applied Behavior Analysis	To include Applied Behavior Analysis in the Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) benefit.
HLT-04-20-00011-P	..... 02/01/21	Nursing Home Case Mix Rationalization	To authorize the Department of Health to change the case mix acuity process for all nursing homes.
HLT-11-20-00003-P	..... 03/18/21	Adult Day Health Care (ADHC)	To allow for reimbursement of real property leases in certain situations when used for operations of an ADHC program
HLT-27-20-00005-P	..... 07/08/21	Private Duty Nursing Services to Medically Fragile Children	To amend the Medicaid reimbursement for fee-for-service private duty nursing provided to medically fragile children
HLT-27-20-00006-P	..... 07/08/21	Medicaid Managed Care State Fair Hearings and External Appeals Processes and Standards	To address & clarify rules of procedure & presentation of evidence for Medicaid managed care fair hearings & external appeals
HLT-28-20-00019-P	..... 07/15/21	Personal Care Services (PCS) and Consumer Directed Personal Assistance Program (CDPAP)	To implement a revised assessment process and eligibility criteria for PCS and CDPAP
HLT-31-20-00012-EP	..... exempt	Hospital Non-comparable Ambulance Acute Rate Add-on	Prevents duplicate claiming by Article 28 hospitals for the ambulance add-on regarding participation in the program



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<b>HEALTH, DEPARTMENT OF</b>			
HLT-32-20-00002-EP	08/12/21	Controlled Substances	To ensure access to medicine that was formerly classified as a controlled substance
HLT-38-20-00006-P	09/23/21	Medicaid Transportation Program	Medicaid payment standards for emergency ambulance providers participating in an Emergency Triage, Treat & Transport (ET3) model
HLT-38-20-00008-EP	09/23/21	Revise Requirements for Collection of Blood Components	To facilitate the availability of human blood components while maintaining safety
HLT-39-20-00003-EP	09/30/21	Reduce Hospital Capital Rate Add-on and Reduce Hospital Capital Reconciliation Payment	To include a 5 percent reduction to the budgeted and actual capital add-on in Article 28 hospital inpatient reimbursement rates
HLT-40-20-00002-EP	10/07/21	Hospital Indigent Care Pool Payment Methodology	To develop an indigent care distribution methodology for calendar years through 2022
<b>HOUSING AND COMMUNITY RENEWAL, DIVISION OF</b>			
*HCR-21-19-00019-P	02/01/21	Low-Income Housing Qualified Allocation Plan	To amend definitions, threshold criteria and application scoring for the allocation of low-income housing tax credits.
HCR-26-20-00012-EP	09/09/21	Schedule of Reasonable Costs for Major Capital Improvements in rent regulated housing accommodations	Provide a schedule of reasonable costs for Major Capital Improvements in rent regulated housing accommodations
<b>HOUSING FINANCE AGENCY</b>			
*HFA-21-19-00020-P	02/01/21	Low-Income Housing Qualified Allocation Plan	To amend definitions, threshold criteria and application scoring for the allocation of low-income housing tax credits
<b>JOINT COMMISSION ON PUBLIC ETHICS, NEW YORK STATE</b>			
JPE-28-20-00031-P	07/15/21	Source of funding reporting	Clarifying amendments to Source of Funding reporting
JPE-28-20-00032-P	07/15/21	Amendments to the lobbying regulations	To clarify the lobbying regulations that implement the provisions of the Lobbying Act
<b>LABOR, DEPARTMENT OF</b>			
LAB-46-19-00004-P	02/01/21	NY State Public Employees Occupational Safety and Health Standards	To incorporate by reference updates to OSHA standards into the NY State Public Employee Occupational Safety and Health Standards
<b>LAKE GEORGE PARK COMMISSION</b>			
LGP-29-20-00006-P	09/22/21	Amendment of Stormwater Regulations within the Lake George Park	To more adequately control and minimize the pollutants found in stormwater runoff from going into Lake George
LGP-43-20-00005-P	01/12/22	Stream corridor protection regulations for the Lake George Park	To establish permit requirements and standards for the protection of stream corridors in the Lake George Park

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<b>LAW, DEPARTMENT OF</b>			
LAW-15-20-00017-P	04/15/21	investment advisers defined under GBL § 359-eee	Harmonize regulations with federal law and national standards and facilitate anti-fraud activities and modernize registration.
LAW-15-20-00018-P	04/15/21	Brokers, dealers and salespersons defined under GBL § 359-e	Harmonize regulations with federal law and national standards and facilitate anti-fraud activities and modernize registration.
LAW-18-20-00002-P	05/06/21	Designation of a Privacy Officer	Removal of a named Privacy Officer., along with their contact information
<b>LONG ISLAND POWER AUTHORITY</b>			
*LPA-08-01-00003-P	exempt	Pole attachments and related matters	To approve revisions to the authority's tariff
*LPA-41-02-00005-P	exempt	Tariff for electric service	To revise the tariff for electric service
*LPA-04-06-00007-P	exempt	Tariff for electric service	To adopt provisions of a ratepayer protection plan
*LPA-03-10-00004-P	exempt	Residential late payment charges	To extend the application of late payment charges to residential customers
*LPA-15-18-00013-P	exempt	Outdoor area lighting	To add an option and pricing for efficient LED lamps to the Authority's outdoor area lighting
*LPA-37-18-00013-P	exempt	The net energy metering provisions of the Authority's Tariff for Electric Service	To implement PSC guidance increasing eligibility for value stack compensation to larger projects
*LPA-37-18-00017-P	exempt	The treatment of electric vehicle charging in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on electric vehicle supply equipment.
*LPA-37-18-00018-P	exempt	The treatment of energy storage in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on the NY Energy Storage Roadmap.
LPA-09-20-00010-P	exempt	To update and implement latest requirements for ESCOs proposing to do business within the Authority's service territory.	To strengthen customer protections and be consistent with Public Service Commission orders on retail energy markets.
LPA-28-20-00033-EP	exempt	LIPA's late payment charges, reconnection charges, and low-income customer discount enrollment	To allow waiver of late payment and reconnection charges and extend the grace period for re-enrolling in customer bill discounts
LPA-37-20-00008-P	exempt	The Authority's annual budget, as reflected in the rates and charges in the Tariff for Electric Service	To update the Tariff to implement the Authority's annual budget and corresponding rate adjustments
LPA-37-20-00009-P	exempt	Consolidated billing for community distributed generation	To modify the Tariff to offer consolidated billing options for community distributed generation consistent with the NY PSC
LPA-37-20-00010-P	exempt	To modify the RDM and DSA to address the unforeseen impact of COVID-19	To modify the Tariff to mitigate high bill impacts and allow for additional expense recovery related to a state of emergency
LPA-37-20-00011-P	exempt	New optional TOU rates as proposed in PSEG Long Island's 2018 Utility 2.0 Filing and subsequent filing updates	To incorporate best practices in TOU rate design, reduce peak load, and offer customers new rate options

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<b>LONG ISLAND POWER AUTHORITY</b>			
LPA-37-20-00012-P	..... exempt	The Authority's implementation of PSL § 66-p in the Tariff for Electric Service	To update the Tariff to provide access to historical electric charges billed to a rental property
LPA-37-20-00013-EP	..... exempt	The terms of deferred payment agreements available to LIPA's commercial customers.	To expand eligibility for and ease the terms of deferred payment agreements for LIPA's commercial customers.
<b>LONG ISLAND RAILROAD COMPANY</b>			
LIR-39-20-00005-ERP	..... 09/30/21	Requiring wearing masks over the nose and mouth when using terminals, stations, and trains operated by Long Island Rail Road	To safeguard the public health and safety on terminals, stations and trains operated by Long Island Rail Road
<b>MANHATTAN AND BRONX SURFACE TRANSIT OPERATING AUTHORITY</b>			
MBA-39-20-00007-EP	..... 09/30/21	Requiring mask wearing covering the nose and mouth when using facilities and conveyances operated by the MaBSTOA	To safeguard the public health and safety by amending existing rules to require use of masks when using the transit system
<b>MENTAL HEALTH, OFFICE OF</b>			
OMH-47-19-00001-P	..... 11/19/20	Limits on Executive Compensation	To eliminate "soft cap" restrictions on compensation.
OMH-42-20-00011-EP	..... 10/21/21	Comprehensive Psychiatric Emergency Programs	To provide clarify and provide uniformity relating to CPEPs and to implement Chapter 58 of the Laws of 2020
<b>METRO-NORTH COMMUTER RAILROAD</b>			
MCR-39-20-00004-EP	..... 09/30/21	Requiring mask wearing covering the nose and mouth when using terminals, stations, and trains operated by Metro-North Railroad	To safeguard the public health and safety by amending the rules to require use of masks when using Metro-North facilities
<b>METROPOLITAN TRANSPORTATION AGENCY</b>			
MTA-39-20-00009-EP	..... 09/30/21	Requiring mask wearing covering the nose and mouth when using the facilities and conveyances operated by MTA Bus Company	To safeguard the public health and safety by amending existing rules to require use of masks when using MTA Bus facilities and conveyance
<b>NEW YORK CITY TRANSIT AUTHORITY</b>			
NTA-39-20-00006-EP	..... 09/30/21	Requiring mask wearing covering the nose and mouth when using facilities and conveyances operated by NYC Transit Authority	To safeguard the public health and safety by amending existing rules to require use of masks when using the transit system
<b>NIAGARA FALLS WATER BOARD</b>			
*NFW-04-13-00004-EP	..... exempt	Adoption of Rates, Fees and Charges	To pay for the increased costs necessary to operate, maintain and manage the system, and to achieve covenants with bondholders
*NFW-13-14-00006-EP	..... exempt	Adoption of Rates, Fees and Charges	To pay for increased costs necessary to operate, maintain and manage the system and to achieve covenants with the bondholders

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<b>NIAGARA FRONTIER TRANSPORTATION AUTHORITY</b>			
NFT-39-20-00023-P	09/30/21	Procurement Guidelines of the Niagara Frontier Transportation Authority and Niagara Frontier Transit Metro System, Inc	To amend procurement guidelines to reflect changes in law and clarifying language
<b>OGDENSBURG BRIDGE AND PORT AUTHORITY</b>			
*OBA-33-18-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit.
*OBA-07-19-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit
<b>PARKS, RECREATION AND HISTORIC PRESERVATION, OFFICE OF</b>			
PKR-29-20-00001-P	07/22/21	Listing of state parks, parkways, recreation facilities and historic sites (facilities). New York City Region	To update the listing of state parks, parkways, recreation facilities and historic sites in the New York City Region
<b>PEOPLE WITH DEVELOPMENTAL DISABILITIES, OFFICE FOR</b>			
PDD-35-20-00001-P	09/02/21	Medication regimen review	Provides increased flexibility for providers
PDD-37-20-00004-EP	09/16/21	Day Habilitation Duration	to help providers maintain capacity to operate during the public health emergency
PDD-42-20-00001-P	10/21/21	Crisis Intervention Services for individuals with intellectual/developmental disabilities	Specifies qualifications for providers for the provision of these services and allowance for billing
<b>POWER AUTHORITY OF THE STATE OF NEW YORK</b>			
*PAS-01-10-00010-P	exempt	Rates for the sale of power and energy	Update ECSB Programs customers' service tariffs to streamline them/include additional required information
PAS-41-20-00009-P	exempt	Rates for the Sale of Power and Energy	To align rates and costs
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-09-99-00012-P	exempt	Transfer of books and records by Citizens Utilities Company	To relocate Ogden Telephone Company's books and records out-of-state
*PSC-15-99-00011-P	exempt	Electronic tariff by Woodcliff Park Corp.	To replace the company's current tariff with an electronic tariff
*PSC-12-00-00001-P	exempt	Winter bundled sales service election date by Central Hudson Gas & Electric Corporation	To revise the date
*PSC-44-01-00005-P	exempt	Annual reconciliation of gas costs by Corning Natural Gas Corporation	To authorize the company to include certain gas costs
*PSC-07-02-00032-P	exempt	Uniform business practices	To consider modification
*PSC-36-03-00010-P	exempt	Performance assurance plan by Verizon New York	To consider changes

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<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-40-03-00015-P	..... exempt	Receipt of payment of bills by St. Lawrence Gas Company	To revise the process
*PSC-41-03-00010-P	..... exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-41-03-00011-P	..... exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-44-03-00009-P	..... exempt	Retail access data between jurisdictional utilities	To accommodate changes in retail access market structure or commission mandates
*PSC-02-04-00008-P	..... exempt	Delivery rates for Con Edison's customers in New York City and Westchester County by the City of New York	To rehear the Nov. 25, 2003 order
*PSC-06-04-00009-P	..... exempt	Transfer of ownership interest by SCS Energy LLC and AE Investors LLC	To transfer interest in Steinway Creek Electric Generating Company LLC to AE Investors LLC
*PSC-10-04-00005-P	..... exempt	Temporary protective order	To consider adopting a protective order
*PSC-10-04-00008-P	..... exempt	Interconnection agreement between Verizon New York Inc. and VIC-RMTS-DC, L.L.C. d/b/a Verizon Avenue	To amend the agreement
*PSC-14-04-00008-P	..... exempt	Submetering of natural gas service to industrial and commercial customers by Hamburg Fairgrounds	To submeter gas service to commercial customers located at the Buffalo Speedway
*PSC-15-04-00022-P	..... exempt	Submetering of electricity by Glenn Gardens Associates, L.P.	To permit submetering at 175 W. 87th St., New York, NY
*PSC-21-04-00013-P	..... exempt	Verizon performance assurance plan by Metropolitan Telecommunications	To clarify the appropriate performance level
*PSC-22-04-00010-P	..... exempt	Approval of new types of electricity meters by Powell Power Electric Company	To permit the use of the PE-1250 electronic meter
*PSC-22-04-00013-P	..... exempt	Major gas rate increase by Consolidated Edison Company of New York, Inc.	To increase annual gas revenues
*PSC-22-04-00016-P	..... exempt	Master metering of water by South Liberty Corporation	To waive the requirement for installation of separate water meters
*PSC-25-04-00012-P	..... exempt	Interconnection agreement between Frontier Communications of Ausable Valley, Inc., et al. and Sprint Communications Company, L.P.	To amend the agreement
*PSC-27-04-00008-P	..... exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-27-04-00009-P	..... exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-28-04-00006-P	..... exempt	Approval of loans by Dunkirk & Fredonia Telephone Company and Cassadaga Telephone Corporation	To authorize participation in the parent corporation's line of credit



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<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-31-04-00023-P	..... exempt	Distributed generation service by Consolidated Edison Company of New York, Inc.	To provide an application form
*PSC-34-04-00031-P	..... exempt	Flat rate residential service by Emerald Green Lake Louise Marie Water Company, Inc.	To set appropriate level of permanent rates
*PSC-35-04-00017-P	..... exempt	Application form for distributed generation by Orange and Rockland Utilities, Inc.	To establish a new supplementary application form for customers
*PSC-43-04-00016-P	..... exempt	Accounts receivable by Rochester Gas and Electric Corporation	To include in its tariff provisions for the purchase of ESCO accounts receivable
*PSC-46-04-00012-P	..... exempt	Service application form by Consolidated Edison Company of New York, Inc.	To revise the form and make housekeeping changes
*PSC-46-04-00013-P	..... exempt	Rules and guidelines governing installation of metering equipment	To establish uniform statewide business practices
*PSC-02-05-00006-P	..... exempt	Violation of the July 22, 2004 order by Dutchess Estates Water Company, Inc.	To consider imposing remedial actions against the company and its owners, officers and directors
*PSC-09-05-00009-P	..... exempt	Submetering of natural gas service by Hamlet on Olde Oyster Bay	To consider submetering of natural gas to a commercial customer
*PSC-14-05-00006-P	..... exempt	Request for deferred accounting authorization by Freeport Electric Inc.	To defer expenses beyond the end of the fiscal year
*PSC-18-05-00009-P	..... exempt	Marketer Assignment Program by Consolidated Edison Company of New York, Inc.	To implement the program
*PSC-20-05-00028-P	..... exempt	Delivery point aggregation fee by Allied Frozen Storage, Inc.	To review the calculation of the fee
*PSC-25-05-00011-P	..... exempt	Metering, balancing and cashout provisions by Central Hudson Gas & Electric Corporation	To establish provisions for gas customers taking service under Service Classification Nos. 8, 9 and 11
*PSC-27-05-00018-P	..... exempt	Annual reconciliation of gas costs by New York State Electric & Gas Corporation	To consider the manner in which the gas cost incentive mechanism has been applied
*PSC-41-05-00013-P	..... exempt	Annual reconciliation of gas expenses and gas cost recoveries by local distribution companies and municipalities	To consider the filings
*PSC-45-05-00011-P	..... exempt	Treatment of lost and unaccounted gas costs by Corning Natural Gas Corporation	To defer certain costs
*PSC-46-05-00015-P	..... exempt	Sale of real and personal property by the Brooklyn Union Gas Company d/b/a KeySpan Energy Delivery New York and Steel Arrow, LLC	To consider the sale
*PSC-47-05-00009-P	..... exempt	Transferral of gas supplies by Corning Natural Gas Corporation	To approve the transfer
*PSC-50-05-00008-P	..... exempt	Long-term debt by Saratoga Glen Hollow Water Supply Corp.	To obtain long-term debt

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-04-06-00024-P	..... exempt	Transfer of ownership interests by Mirant NY-Gen LLC and Orange and Rockland Utilities, Inc.	To approve of the transfer
*PSC-06-06-00015-P	..... exempt	Gas curtailment policies and procedures	To examine the manner and extent to which gas curtailment policies and procedures should be modified and/or established
*PSC-07-06-00009-P	..... exempt	Modification of the current Environmental Disclosure Program	To include an attributes accounting system
*PSC-22-06-00019-P	..... exempt	Hourly pricing by National Grid	To assess the impacts
*PSC-22-06-00020-P	..... exempt	Hourly pricing by New York State Electric & Gas Corporation	To assess the impacts
*PSC-22-06-00021-P	..... exempt	Hourly pricing by Rochester Gas & Electric Corporation	To assess the impacts
*PSC-22-06-00022-P	..... exempt	Hourly pricing by Consolidated Edison Company of New York, Inc.	To assess the impacts
*PSC-22-06-00023-P	..... exempt	Hourly pricing by Orange and Rockland Utilities, Inc.	To assess the impacts
*PSC-24-06-00005-EP	..... exempt	Supplemental home energy assistance benefits	To extend the deadline to Central Hudson's low-income customers
*PSC-25-06-00017-P	..... exempt	Purchased power adjustment by Massena Electric Department	To revise the method of calculating the purchased power adjustment and update the factor of adjustment
*PSC-34-06-00009-P	..... exempt	Inter-carrier telephone service quality standards and metrics by the Carrier Working Group	To incorporate appropriate modifications
*PSC-37-06-00015-P	..... exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-37-06-00017-P	..... exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-43-06-00014-P	..... exempt	Electric delivery services by Strategic Power Management, Inc.	To determine the proper mechanism for the rate-recovery of costs
*PSC-04-07-00012-P	..... exempt	Petition for rehearing by Orange and Rockland Utilities, Inc.	To clarify the order
*PSC-06-07-00015-P	..... exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for electric service
*PSC-06-07-00020-P	..... exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for gas service
*PSC-11-07-00010-P	..... exempt	Investigation of the electric power outages by the Consolidated Edison Company of New York, Inc.	To implement the recommendations in the staff's investigation
*PSC-11-07-00011-P	..... exempt	Storm-related power outages by Consolidated Edison Company of New York, Inc.	To modify the company's response to power outages, the timing for any such changes and other related matters

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-17-07-00008-P	..... exempt	Interconnection agreement between Verizon New York Inc. and BridgeCom International, Inc.	To amend the agreement
*PSC-18-07-00010-P	..... exempt	Existing electric generating stations by Independent Power Producers of New York, Inc.	To repower and upgrade existing electric generating stations owned by Rochester Gas and Electric Corporation
*PSC-20-07-00016-P	..... exempt	Tariff revisions and making rates permanent by New York State Electric & Gas Corporation	To seek rehearing
*PSC-21-07-00007-P	..... exempt	Natural Gas Supply and Acquisition Plan by Corning Natural Gas Corporation	To revise the rates, charges, rules and regulations for gas service
*PSC-22-07-00015-P	..... exempt	Demand Side Management Program by Consolidated Edison Company of New York, Inc.	To recover incremental program costs and lost revenue
*PSC-23-07-00022-P	..... exempt	Supplier, transportation, balancing and aggregation service by National Fuel Gas Distribution Corporation	To explicitly state in the company's tariff that the threshold level of elective upstream transmission capacity is a maximum of 112,600 Dth/day of marketer-provided upstream capacity
*PSC-24-07-00012-P	..... exempt	Gas Efficiency Program by the City of New York	To consider rehearing a decision establishing a Gas Efficiency Program
*PSC-39-07-00017-P	..... exempt	Gas bill issuance charge by New York State Electric & Gas Corporation	To create a gas bill issuance charge unbundled from delivery rates
*PSC-41-07-00009-P	..... exempt	Submetering of electricity rehearing	To seek reversal
*PSC-42-07-00012-P	..... exempt	Energy efficiency program by Orange and Rockland Utilities, Inc.	To consider any energy efficiency program for Orange and Rockland Utilities, Inc.'s electric service
*PSC-42-07-00013-P	..... exempt	Revenue decoupling by Orange and Rockland Utilities, Inc.	To consider a revenue decoupling mechanism for Orange and Rockland Utilities, Inc.
*PSC-45-07-00005-P	..... exempt	Customer incentive programs by Orange and Rockland Utilities, Inc.	To establish a tariff provision
*PSC-02-08-00006-P	..... exempt	Additional central office codes in the 315 area code region	To consider options for making additional codes
*PSC-03-08-00006-P	..... exempt	Rehearing of the accounting determinations	To grant or deny a petition for rehearing of the accounting determinations
*PSC-04-08-00010-P	..... exempt	Granting of easement rights on utility property by Central Hudson Gas & Electric Corporation	To grant easement rights to Millennium Pipeline Company, L.L.C.
*PSC-04-08-00012-P	..... exempt	Marketing practices of energy service companies by the Consumer Protection Board and New York City Department of Consumer Affairs	To consider modifying the commission's regulation over marketing practices of energy service companies
*PSC-08-08-00016-P	..... exempt	Transfer of ownership by Entergy Nuclear Fitzpatrick LLC, et al.	To consider the transfer

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<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-12-08-00019-P	..... exempt	Extend the provisions of the existing electric rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-12-08-00021-P	..... exempt	Extend the provisions of the existing gas rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-13-08-00011-P	..... exempt	Waiver of commission policy and NYSEG tariff by Turner Engineering, PC	To grant or deny Turner's petition
*PSC-13-08-00012-P	..... exempt	Voltage drops by New York State Electric & Gas Corporation	To grant or deny the petition
*PSC-23-08-00008-P	..... exempt	Petition requesting rehearing and clarification of the commission's April 25, 2008 order denying petition of public utility law project	To consider whether to grant or deny, in whole or in part, the May 7, 2008 Public Utility Law Project (PULP) petition for rehearing and clarification of the commission's April 25, 2008 order denying petition of Public Utility Law Project
*PSC-25-08-00007-P	..... exempt	Policies and procedures regarding the selection of regulatory proposals to meet reliability needs	To establish policies and procedures regarding the selection of regulatory proposals to meet reliability needs
*PSC-25-08-00008-P	..... exempt	Report on Callable Load Opportunities	Rider U report assessing callable load opportunities in New York City and Westchester County during the next 10 years
*PSC-28-08-00004-P	..... exempt	Con Edison's procedure for providing customers access to their account information	To consider Con Edison's implementation plan and timetable for providing customers access to their account information
*PSC-31-08-00025-P	..... exempt	Recovery of reasonable DRS costs from the cost mitigation reserve (CMR)	To authorize recovery of the DRS costs from the CMR
*PSC-32-08-00009-P	..... exempt	The ESCO referral program for KEDNY to be implemented by October 1, 2008	To approve, reject or modify, in whole or in part, KEDNY's recommended ESCO referral program
*PSC-33-08-00008-P	..... exempt	Noble Allegany's request for lightened regulation	To consider Noble Allegany's request for lightened regulation as an electric corporation
*PSC-36-08-00019-P	..... exempt	Land Transfer in the Borough of Manhattan, New York	To consider petition for transfer of real property to NYPH
*PSC-39-08-00010-P	..... exempt	RG&E's economic development plan and tariffs	Consideration of the approval of RG&E's economic development plan and tariffs
*PSC-40-08-00010-P	..... exempt	Loans from regulated company to its parent	To determine if the cash management program resulting in loans to the parent should be approved
*PSC-41-08-00009-P	..... exempt	Transfer of control of cable TV franchise	To determine if the transfer of control of Margaretville's cable TV subsidiary should be approved
*PSC-43-08-00014-P	..... exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-46-08-00008-P	..... exempt	Property transfer in the Village of Avon, New York	To consider a petition for the transfer of street lighting and attached equipment to the Village of Avon, New York
*PSC-46-08-00010-P	..... exempt	A transfer of indirect ownership interests in nuclear generation facilities	Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities
*PSC-46-08-00014-P	..... exempt	The attachment of cellular antennae to an electric transmission tower	To approve, reject or modify the request for permission to attach cellular antennae to an electric transmission tower
*PSC-48-08-00005-P	..... exempt	A National Grid high efficiency gas heating equipment rebate program	To expand eligibility to customers converting from oil to natural gas
*PSC-48-08-00008-P	..... exempt	Petition for the master metering and submetering of electricity	To consider the request of Bay City Metering, to master meter & submeter electricity at 345 E. 81st St., New York, New York
*PSC-48-08-00009-P	..... exempt	Petition for the submetering of electricity	To consider the request of PCV/ST to submeter electricity at Peter Cooper Village & Stuyvesant Town, New York, New York
*PSC-50-08-00018-P	..... exempt	Market Supply Charge	A study on the implementation of a revised Market Supply Charge
*PSC-51-08-00006-P	..... exempt	Commission's October 27, 2008 Order on Future of Retail Access Programs in Case 07-M-0458	To consider a Petition for rehearing of the Commission's October 27, 2008 Order in Case 07-M-0458
*PSC-51-08-00007-P	..... exempt	Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078	To consider Petitions for rehearing of the Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078
*PSC-53-08-00011-P	..... exempt	Use of deferred Rural Telephone Bank funds	To determine if the purchase of a softswitch by Hancock is an appropriate use of deferred Rural Telephone Bank funds
*PSC-53-08-00012-P	..... exempt	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY
*PSC-53-08-00013-P	..... exempt	To transfer common stock and ownership	To consider transfer of common stock and ownership
*PSC-01-09-00015-P	..... exempt	FCC decision to redefine service area of Citizens/Frontier	Review and consider FCC proposed redefinition of Citizens/Frontier service area
*PSC-02-09-00010-P	..... exempt	Competitive classification of independent local exchange company, and regulatory relief appropriate thereto	To determine if Chazy & Westport Telephone Corporation more appropriately belongs in scenario 1 rather than scenario 2
*PSC-05-09-00008-P	..... exempt	Revenue allocation, rate design, performance metrics, and other non-revenue requirement issues	To consider any remaining non-revenue requirement issues related to the Company's May 9, 2008 tariff filing
*PSC-05-09-00009-P	..... exempt	Numerous decisions involving the steam system including cost allocation, energy efficiency and capital projects	To consider the long term impacts on steam rates and on public policy of various options concerning the steam system



Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-06-09-00007-P	..... exempt	Interconnection of the networks between Frontier Comm. and WVT Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier Comm. and WVT Comm.
*PSC-07-09-00015-P	..... exempt	Transfer certain utility assets located in the Town of Montgomery from plant held for future use to non-utility property	To consider the request to transfer certain utility assets located in the Town of Montgomery to non-utility assets
*PSC-07-09-00017-P	..... exempt	Request for authorization to defer the incremental costs incurred in the restoration work resulting from the ice storm	To allow the company to defer the incremental costs incurred in the restoration work resulting from the ice storm
*PSC-07-09-00018-P	..... exempt	Whether to permit the submetering of natural gas service to an industrial and commercial customer at Cooper Union, New York, NY	To consider the request of Cooper Union, to submeter natural gas at 41 Cooper Square, New York, New York
*PSC-12-09-00010-P	..... exempt	Charges for commodity	To charge customers for commodity costs
*PSC-12-09-00012-P	..... exempt	Charges for commodity	To charge customers for commodity costs
*PSC-13-09-00008-P	..... exempt	Options for making additional central office codes available in the 718/347 numbering plan area	To consider options for making additional central office codes available in the 718/347 numbering plan area
*PSC-14-09-00014-P	..... exempt	The regulation of revenue requirements for municipal utilities by the Public Service Commission	To determine whether the regulation of revenue requirements for municipal utilities should be modified
*PSC-16-09-00010-P	..... exempt	Petition for the submetering of electricity	To consider the request of AMPS on behalf of Park Imperial to submeter electricity at 230 W. 56th Street, in New York, New York
*PSC-16-09-00020-P	..... exempt	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity
*PSC-17-09-00010-P	..... exempt	Whether to permit the use of Elster REX2 solid state electric meter for use in residential and commercial accounts	To permit electric utilities in New York State to use the Elster REX2
*PSC-17-09-00011-P	..... exempt	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes
*PSC-17-09-00012-P	..... exempt	Petition for the submetering of gas at commercial property	To consider the request of Turner Construction, to submeter natural gas at 550 Short Ave., & 10 South St., Governors Island, NY
*PSC-17-09-00014-P	..... exempt	Benefit-cost framework for evaluating AMI programs prepared by the DPS Staff	To consider a benefit-cost framework for evaluating AMI programs prepared by the DPS Staff
*PSC-17-09-00015-P	..... exempt	The construction of a tower for wireless antennas on land owned by National Grid	To approve, reject or modify the petition to build a tower for wireless antennas in the Town of Onondaga
*PSC-18-09-00012-P	..... exempt	Petition for rehearing of Order approving the submetering of electricity	To consider the request of Frank Signore to rehear petition to submeter electricity at One City Place in White Plains, New York

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-18-09-00013-P	..... exempt	Petition for the submetering of electricity	To consider the request of Living Opportunities of DePaul to submeter electricity at E. Main St. located in Batavia, New York
*PSC-18-09-00017-P	..... exempt	Approval of an arrangement for attachment of wireless antennas to the utility's transmission facilities in the City of Yonkers	To approve, reject or modify the petition for the existing wireless antenna attachment to the utility's transmission tower
*PSC-20-09-00016-P	..... exempt	The recovery of, and accounting for, costs associated with the Companies' advanced metering infrastructure (AMI) pilots etc	To consider a filing of the Companies as to the recovery of, and accounting for, costs associated with it's AMI pilots etc
*PSC-20-09-00017-P	..... exempt	The recovery of, and accounting for, costs associated with CHG&E's AMI pilot program	To consider a filing of CHG&E as to the recovery of, and accounting for, costs associated with it's AMI pilot program
*PSC-22-09-00011-P	..... exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-25-09-00005-P	..... exempt	Whether to grant, deny, or modify, in whole or in part, the petition	Whether to grant, deny, or modify, in whole or in part, the petition
*PSC-25-09-00006-P	..... exempt	Electric utility implementation plans for proposed web based SIR application process and project status database	To determine if the proposed web based SIR systems are adequate and meet requirements needed for implementation
*PSC-25-09-00007-P	..... exempt	Electric rates for Consolidated Edison Company of New York, Inc	Consider a Petition for Rehearing filed by Consolidated Edison Company of New York, Inc
*PSC-27-09-00011-P	..... exempt	Interconnection of the networks between Vernon and tw telecom of new york l.p. for local exchange service and exchange access.	To review the terms and conditions of the negotiated agreement between Vernon and tw telecom of new york l.p.
*PSC-27-09-00014-P	..... exempt	Billing and payment for energy efficiency measures through utility bill	To promote energy conservation
*PSC-27-09-00015-P	..... exempt	Interconnection of the networks between Oriskany and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Oriskany and tw telecom of new york l.p
*PSC-29-09-00011-P	..... exempt	Consideration of utility compliance filings	Consideration of utility compliance filings
*PSC-32-09-00009-P	..... exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-34-09-00016-P	..... exempt	Recommendations made in the Management Audit Final Report	To consider whether to take action or recommendations contained in the Management Audit Final Report
*PSC-34-09-00017-P	..... exempt	To consider the transfer of control of Plattsburgh Cablevision, Inc. d/b/a Charter Communications to CH Communications, LLC	To allow the Plattsburgh Cablevision, Inc. to distribute its equity interest in CH Communications, LLC
*PSC-36-09-00008-P	..... exempt	The increase in the non-bypassable charge implemented by RG&E on June 1, 2009	Considering exemptions from the increase in the non-bypassable charge implemented by RG&E on June 1, 2009

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-37-09-00015-P	..... exempt	Sale of customer-generated steam to the Con Edison steam system	To establish a mechanism for sale of customer-generated steam to the Con Edison steam system
*PSC-37-09-00016-P	..... exempt	Applicability of electronic signatures to Deferred Payment Agreements	To determine whether electronic signatures can be accepted for Deferred Payment Agreements
*PSC-39-09-00015-P	..... exempt	Modifications to the \$5 Bill Credit Program	Consideration of petition of National Grid to modify the Low Income \$5 Bill Credit Program
*PSC-39-09-00018-P	..... exempt	The offset of deferral balances with Positive Benefit Adjustments	To consider a petition to offset deferral balances with Positive Benefit Adjustments
*PSC-40-09-00013-P	..... exempt	Uniform System of Accounts - request for deferral and amortization of costs	To consider a petition to defer and amortize costs
*PSC-51-09-00029-P	..... exempt	Rules and guidelines for the exchange of retail access data between jurisdictional utilities and eligible ESCOs	To revise the uniform Electronic Data Interchange Standards and business practices to incorporate a contest period
*PSC-51-09-00030-P	..... exempt	Waiver or modification of Capital Expenditure condition of merger	To allow the companies to expend less funds for capital improvement than required by the merger
*PSC-52-09-00006-P	..... exempt	ACE's petition for rehearing for an order regarding generator-specific energy deliverability study methodology	To consider whether to change the Order Prescribing Study Methodology
*PSC-52-09-00008-P	..... exempt	Approval for the New York Independent System Operator, Inc. to incur indebtedness and borrow up to \$50,000,000	To finance the renovation and construction of the New York Independent System Operator, Inc.'s power control center facilities
*PSC-05-10-00008-P	..... exempt	Petition for the submetering of electricity	To consider the request of University Residences - Rochester, LLC to submeter electricity at 220 John Street, Henrietta, NY
*PSC-05-10-00015-P	..... exempt	Petition for the submetering of electricity	To consider the request of 243 West End Avenue Owners Corp. to submeter electricity at 243 West End Avenue, New York, NY
*PSC-06-10-00022-P	..... exempt	The Commission's Order of December 17, 2009 related to redevelopment of Consolidated Edison's Hudson Avenue generating facility	To reconsider the Commission's Order of December 17, 2009 related to redevelopment of the Hudson Avenue generating facility
*PSC-07-10-00009-P	..... exempt	Petition to revise the Uniform Business Practices	To consider the RESA petition to allow rescission of a customer request to return to full utility service
*PSC-08-10-00007-P	..... exempt	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847
*PSC-08-10-00009-P	..... exempt	Consolidated Edison of New York, Inc. energy efficiency programs	To modify approved energy efficiency programs
*PSC-12-10-00015-P	..... exempt	Recommendations made by Staff intended to enhance the safety of Con Edison's gas operations	To require that Con Edison implement the Staff recommendations intended to enhance the safety of Con Edison's gas operations

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<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-14-10-00010-P	..... exempt	Petition for the submetering of electricity	To consider the request of 61 Jane Street Owners Corporation to submeter Electricity at 61 Jane Street, Manhattan, NY
*PSC-16-10-00005-P	..... exempt	To consider adopting and expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-16-10-00007-P	..... exempt	Interconnection of the networks between TDS Telecom and PAETEC Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between TDS Telecom and PAETEC Communications
*PSC-16-10-00015-P	..... exempt	Interconnection of the networks between Frontier and Choice One Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier and Choice One Communications
*PSC-18-10-00009-P	..... exempt	Electric utility transmission right-of-way management practices	To consider electric utility transmission right-of-way management practices
*PSC-19-10-00022-P	..... exempt	Whether National Grid should be permitted to transfer a parcel of property located at 1 Eddy Street, Fort Edward, New York	To decide whether to approve National Grid's request to transfer a parcel of vacant property in Fort Edward, New York
*PSC-22-10-00006-P	..... exempt	Requirement that Noble demonstrate that its affiliated electric corporations operating in New York are providing safe service	Consider requiring that Noble demonstrate that its affiliated electric corporations in New York are providing safe service
*PSC-22-10-00008-P	..... exempt	Petition for the submetering of electricity	To consider the request of 48-52 Franklin Street to submeter electricity at 50 Franklin Street, New York, New York
*PSC-24-10-00009-P	..... exempt	Verizon New York Inc. tariff regulations relating to voice messaging service	To remove tariff regulations relating to retail voice messaging service from Verizon New York Inc.'s tariff
*PSC-25-10-00012-P	..... exempt	Reassignment of the 2-1-1 abbreviated dialing code	Consideration of petition to reassign the 2-1-1 abbreviated dialing code
*PSC-27-10-00016-P	..... exempt	Petition for the submetering of electricity	To consider the request of 9271 Group, LLC to submeter electricity at 960 Busti Avenue, Buffalo, New York
*PSC-34-10-00003-P	..... exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-34-10-00005-P	..... exempt	Approval of a contract for \$250,000 in tank repairs that may be a financing	To decide whether to approve a contract between the parties that may be a financing of \$250,000 for tank repairs
*PSC-34-10-00006-P	..... exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-36-10-00010-P	..... exempt	Central Hudson's procedures, terms and conditions for an economic development plan	Consideration of Central Hudson's procedures, terms and conditions for an economic development plan
*PSC-40-10-00014-P	..... exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by National Grid

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<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-40-10-00021-P	..... exempt	Whether to permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall	To permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall
*PSC-41-10-00018-P	..... exempt	Amount of hourly interval data provided to Hourly Pricing customers who have not installed a phone line to read meter	Allow Central Hudson to provide less than a years worth of interval data and charge for manual meter reading for some customers
*PSC-41-10-00022-P	..... exempt	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY
*PSC-42-10-00011-P	..... exempt	Petition for the submetering of electricity	To consider the request of 4858 Group, LLC to submeter electricity at 456 Main Street, Buffalo, New York
*PSC-43-10-00016-P	..... exempt	Utility Access to Ducts, Conduit Facilities and Utility Poles	To review the complaint from Optical Communications Group
*PSC-44-10-00003-P	..... exempt	Third and fourth stage gas rate increase by Corning Natural Gas Corporation	To consider Corning Natural Gas Corporation's request for a third and fourth stage gas rate increase
*PSC-51-10-00018-P	..... exempt	Commission proceeding concerning three-phase electric service by all major electric utilities	Investigate the consistency of the tariff provisions for three-phase electric service for all major electric utilities
*PSC-11-11-00003-P	..... exempt	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service
*PSC-13-11-00005-P	..... exempt	Exclude the minimum monthly bill component from the earnings test calculation	Exclude the minimum monthly bill component from the earnings test calculation
*PSC-14-11-00009-P	..... exempt	Petition for the submetering of electricity	To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York
*PSC-19-11-00007-P	..... exempt	Utility price reporting requirements related to the Commission's "Power to Choose" website	Modify the Commission's utility electric commodity price reporting requirements related to the "Power to Choose" website
*PSC-20-11-00012-P	..... exempt	Petition for the submetering of electricity	To consider the request of KMW Group LLC to submeter electricity at 122 West Street, Brooklyn, New York
*PSC-20-11-00013-P	..... exempt	Determining the reasonableness of Niagara Mohawk Power Corporation d/b/a National Grid 's make ready charges	To determine if the make ready charges of Niagara Mohawk Power Corporation d/b/a National Grid are reasonable
*PSC-22-11-00004-P	..... exempt	Whether to permit the use of the Sensus accWAVE for use in residential gas meter applications	To permit gas utilities in New York State to use the Sensus accWAVE diaphragm gas meter
*PSC-26-11-00007-P	..... exempt	Water rates and charges	To approve an increase in annual revenues by about \$25,266 or 50%
*PSC-26-11-00009-P	..... exempt	Petition for the submetering of electricity at commercial property	To consider the request of by Hoosick River Hardwoods, LLC to submeter electricity at 28 Taylor Avenue, in Berlin, New York



Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-26-11-00012-P	..... exempt	Waiver of generation retirement notice requirements	Consideration of waiver of generation retirement notice requirements
*PSC-29-11-00011-P	..... exempt	Petition requesting the Commission reconsider its May 19, 2011 Order and conduct a hearing, and petition to stay said Order.	To consider whether to grant or deny, in whole or in part, Windstream New York's Petition For Reconsideration and Rehearing.
*PSC-35-11-00011-P	..... exempt	Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8	Permit Consolidated Edison to conduct a inspection program in lieu of testing the accuracy of Category C meters
*PSC-36-11-00006-P	..... exempt	To consider expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-38-11-00002-P	..... exempt	Operation and maintenance procedures pertaining to steam trap caps	Adopt modified steam operation and maintenance procedures
*PSC-38-11-00003-P	..... exempt	Waiver of certain provisions of the electric service tariffs of Con Edison	Consideration of waiver of certain provisions of the electric service tariffs of Con Edison
*PSC-40-11-00010-P	..... exempt	Participation of regulated local exchange carriers in the New York Data Exchange, Inc. (NYDE)	Whether to partially modify its order requiring regulated local exchange carriers' participation NYDE
*PSC-40-11-00012-P	..... exempt	Granting of transfer of plant in-service to a regulatory asset	To approve transfer and recovery of unamortized plant investment
*PSC-42-11-00018-P	..... exempt	Availability of telecommunications services in New York State at just and reasonable rates	Providing funding support to help ensure availability of affordable telecommunications service throughout New York
*PSC-43-11-00012-P	..... exempt	Transfer of outstanding shares of stock	Transfer the issued outstanding shares of stock of The Meadows at Hyde Park Water-Works Corporation to HPWS, LLC
*PSC-47-11-00007-P	..... exempt	Remedying miscalculations of delivered gas as between two customer classes	Consideration of Con Edison's proposal to address inter-class delivery imbalances resulting from past Company miscalculations
*PSC-48-11-00007-P	..... exempt	Transfer of controlling interests in generation facilities from Dynegy to PSEG	Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
*PSC-48-11-00008-P	..... exempt	Petition for the submetering of electricity	To consider the request of To Better Days, LLC to submeter electricity at 37 East 4th Street, New York, New York
*PSC-01-12-00007-P	..... exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-01-12-00008-P	..... exempt	Transfer of real property and easements from NMPNS to NMP3	Consideration of the transfer of real property and easements from NMPNS to NMP3
*PSC-01-12-00009-P	..... exempt	Recovery of expenses related to the expansion of Con Edison's ESCO referral program, PowerMove	To determine how and to what extent expenses related to the Expansion of Con Edison's ESCO referral program should be recovered
*PSC-11-12-00002-P	..... exempt	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-11-12-00005-P	..... exempt	Transfer of land and water supply assets	Transfer the land and associated water supply assets of Groman Shores, LLC to Robert Groman
*PSC-13-12-00005-P	..... exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-19-12-00023-P	..... exempt	Petition for approval pursuant to Section 70 for the sale of goods with an original cost of less than \$100,000	To consider whether to grant, deny or modify, in whole or in part, the petition filed by Orange and Rockland Utilities, Inc.
*PSC-21-12-00006-P	..... exempt	Tariff filing requirements and refunds	To determine if certain agreements should be filed pursuant to the Public Service Law and if refunds are warranted
*PSC-21-12-00011-P	..... exempt	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47
*PSC-23-12-00007-P	..... exempt	The approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility	To consider the approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility
*PSC-23-12-00009-P	..... exempt	Over earnings sharing between rate payers and shareholders	To establish an Earnings Sharing Mechanism to be applied following the conclusion of Corning's rate plan
*PSC-27-12-00012-P	..... exempt	Implementation of recommendations made in a Management Audit Report	To consider implementation of recommendations made in a Management Audit Report
*PSC-28-12-00013-P	..... exempt	Exemption of reliability reporting statistics for the purpose of the 2012 Reliability Performance Mechanism	Consideration of Orange and Rockland Utilities request for exemption of the 2012 reliability reporting statistics
*PSC-29-12-00019-P	..... exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Hamden to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-30-12-00010-P	..... exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Andes to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-33-12-00009-P	..... exempt	Telecommunications companies ability to attach to utility company poles	Consideration of Tech Valley's ability to attach to Central Hudson poles
*PSC-37-12-00009-P	..... exempt	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers
*PSC-42-12-00009-P	..... exempt	Regulation of Gipsy Trail Club, Inc.'s long-term financing agreements	To exempt Gipsy Trail Club, Inc. from Commission regulation of its financing agreements
*PSC-45-12-00008-P	..... exempt	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff
*PSC-45-12-00010-P	..... exempt	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-50-12-00003-P	..... exempt	Affiliate standards for Corning Natural Gas Corporation	To resolve issues raised by Corning Natural Gas Corporation in its petition for rehearing
*PSC-04-13-00006-P	..... exempt	Expansion of mandatory day ahead hourly pricing for customers of Orange and Rockland Utilities with demands above 100 kW	To consider the expansion of mandatory day ahead hourly pricing for customers with demands above 100 kW
*PSC-04-13-00007-P	..... exempt	Authorization to transfer certain real property.	To decide whether to approve the transfer of certain real property.
*PSC-06-13-00008-P	..... exempt	Verizon New York Inc.'s retail service quality	To investigate Verizon New York Inc.'s retail service quality
*PSC-08-13-00012-P	..... exempt	Filing requirements for certain Article VII electric facilities	To ensure that applications for certain electric transmission facilities contain pertinent information
*PSC-08-13-00014-P	..... exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company to defer an item of expense or capital beyond the end of the year in which it was incurred
*PSC-12-13-00007-P	..... exempt	Protecting company water mains	To allow the company to require certain customers to make changes to the electrical grounding system at their homes
*PSC-13-13-00008-P	..... exempt	The potential waiver of 16 NYCRR 255.9221(d) completion of integrity assessments for certain gas transmission lines.	To determine whether a waiver of the timely completion of certain gas transmission line integrity assessments should be granted.
*PSC-18-13-00007-P	..... exempt	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes
*PSC-21-13-00003-P	..... exempt	To consider policies that may impact consumer acceptance and use of electric vehicles	To consider and further develop policies that may impact consumer acceptance and use of electric vehicles
*PSC-21-13-00005-P	..... exempt	To implement an abandonment of Windover's water system	To approve the implementation of abandonment of Windover's water system
*PSC-21-13-00008-P	..... exempt	Rates of National Fuel Gas Distribution Corporation	To make the rates of National Fuel Gas Distribution Corporation temporary, subject to refund, if they are found to be excessive
*PSC-21-13-00009-P	..... exempt	Reporting requirements for natural gas local distribution companies	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-22-13-00009-P	..... exempt	On remand from New York State court litigation, determine the recovery of certain deferred amounts owed NFG by ratepayers	On remand, to determine the recovery of certain deferral amounts owed NFG from ratepayers
*PSC-23-13-00005-P	..... exempt	Waiver of partial payment, directory database distribution, service quality reporting, and service termination regulations	Equalize regulatory treatment based on level of competition and practical considerations
*PSC-25-13-00008-P	..... exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-25-13-00009-P	..... exempt	Provision by utilities of natural gas main and service lines.	To help ensure efficient and economic expansion of the natural gas system as appropriate.
*PSC-25-13-00012-P	..... exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-27-13-00014-P	..... exempt	Columbia Gas Transmission Corporation Cost Refund	For approval for temporary waiver of tariff provisions regarding its Columbia Gas Transmission Corporation cost refund.
*PSC-28-13-00014-P	..... exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-28-13-00016-P	..... exempt	The request of NGT for lightened regulation as a gas corporation.	To consider whether to approve, reject, or modify the request of Niagara gas transport of Lockport, NY LLC.
*PSC-28-13-00017-P	..... exempt	The request by TE for waiver of regulations requiring that natural gas be odorized in certain gathering line segments	Consider the request by TE for waiver of regulations that gas be odorized in certain lines
*PSC-32-13-00009-P	..... exempt	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices
*PSC-32-13-00012-P	..... exempt	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion
*PSC-33-13-00027-P	..... exempt	Waive underground facility requirements for new construction in residential subdivisions to allow for overhead electric lines.	Determine whether Chapin Lumberland, LLC subdivision will be allowed overhead electric distribution and service lines.
*PSC-33-13-00029-P	..... exempt	Deferral of incremental costs associated with the restoration of steam service following Superstorm Sandy.	To consider a petition by Con Edison to defer certain incremental steam system restoration costs relating to Superstorm Sandy.
*PSC-34-13-00004-P	..... exempt	Escrow account and surcharge to fund extraordinary repairs	To approve the establishment of an escrow account and surcharge
*PSC-42-13-00013-P	..... exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-42-13-00015-P	..... exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-43-13-00015-P	..... exempt	Petition for submetering of electricity	To consider the request of 2701 Kingsbridge Terrace L.P. to submeter electricity at 2701 Kingsbridge Terrace, Bronx, N.Y.
*PSC-45-13-00021-P	..... exempt	Investigation into effect of bifurcation of gas and electric utility service on Long Island.	To consider a Petition for an investigation into effect of bifurcation of gas and electric utility service on Long Island.
*PSC-45-13-00022-P	..... exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00023-P	..... exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting

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<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-45-13-00024-P	..... exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4); waiver of filing deadlines.	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00025-P	..... exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-47-13-00009-P	..... exempt	Petition for submetering of electricity.	To consider the request of Hegeman Avenue Housing L.P. to submeter electricity at 39 Hegeman Avenue, Brooklyn, N.Y.
*PSC-47-13-00012-P	..... exempt	Conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.	Consideration of conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.
*PSC-49-13-00008-P	..... exempt	Authorization to transfer all of Crystal Water Supply Company, Inc. stocks to Essel Infra West Inc.	To allow Crystal Water Supply Company, Inc to transfer all of its issued and outstanding stocks to Essel Infra West Inc.
*PSC-51-13-00009-P	..... exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00010-P	..... exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00011-P	..... exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-52-13-00012-P	..... exempt	The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center (IPEC).	To address the petition for rehearing and reconsideration/motion for clarification of the IPEC reliability contingency plan(s).
*PSC-52-13-00015-P	..... exempt	To enter into a loan agreement with the banks for up to an amount of \$94,000.	To consider allowing Knolls Water Company to enter into a long-term loan agreement.
*PSC-05-14-00010-P	..... exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-07-14-00008-P	..... exempt	Petition for submetering of electricity	To consider the request of Greater Centennial Homes HDfC, Inc. to submeter electricity at 102, 103 and 106 W 5th Street, et al.
*PSC-07-14-00012-P	..... exempt	Water rates and charges	Implementation of Long-Term Water Supply Surcharge to recover costs associated with the Haverstraw Water Supply Project
*PSC-08-14-00015-P	..... exempt	Verizon New York Inc.'s service quality and Customer Trouble Report Rate (CTRR) levels at certain central office entities	To improve Verizon New York Inc.'s service quality andthe Customer Trouble Report Rate levels at certain central office entities
*PSC-10-14-00006-P	..... exempt	Actions to facilitate the availability of ESCO value-added offerings, ESCO eligibility and ESCO compliance	To facilitate ESCO value-added offerings and to make changes to ESCO eligibility and to ensure ESCO compliance
*PSC-11-14-00003-P	..... exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces



Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-16-14-00014-P	..... exempt	Whether to order NYSEG to provide gas service to customers when an expanded CPCN is approved and impose PSL 25-a penalties.	To order gas service to customers in the Town of Plattsburgh after approval of a town wide CPCN and to impose penalties.
*PSC-16-14-00015-P	..... exempt	Whether Central Hudson should be permitted to defer obligations of the Order issued on October 18, 2013 in Case 13-G-0336.	Consideration of the petition by Central Hudson to defer reporting obligations of the October 18, 2013 Order in Case 13-G-0336
*PSC-17-14-00003-P	..... exempt	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism
*PSC-17-14-00004-P	..... exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00007-P	..... exempt	To consider petitions for rehearing, reconsideration and/or clarification	To consider petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00008-P	..... exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-19-14-00014-P	..... exempt	Market Supply Charge	To make tariff revisions to the Market Supply Charge for capacity related costs
*PSC-19-14-00015-P	..... exempt	Whether to permit the use of the Sensus accuWAVE for use in residential and commercial gas meter applications	To permit gas utilities in New York State to use the Sensus accuWAVE 415TC gas meter
*PSC-22-14-00013-P	..... exempt	Petition to transfer and merge systems, franchises and assets.	To consider the Comcast and Time Warner Cable merger and transfer of systems, franchises and assets.
*PSC-23-14-00010-P	..... exempt	Whether to permit the use of the GE Dresser Series B3-HPC 11M-1480 rotary gas met for use in industrial gas meter applications	To permit gas utilities in New York State to use the GE Dresser Series B3-HPC 11M-1480 rotary gas meter
*PSC-23-14-00014-P	..... exempt	Waiver of the negative revenue adjustment associated with KEDLI's 2013 Customer Satisfaction Performance Metric	Consideration of KEDLI's waiver request pertaining to its 2013 performance under its Customer Satisfaction Metric
*PSC-24-14-00005-P	..... exempt	To examine LDC's performance and performance measures.	To improve gas safety performance.
*PSC-26-14-00013-P	..... exempt	Waiver of RG&E's tariffed definition of emergency generator.	To consider waiver of RG&E's tariffed definition of emergency generator.
*PSC-26-14-00020-P	..... exempt	New electric utility backup service tariffs and standards for interconnection may be adopted.	To encourage development of microgrids that enhance the efficiency, safety, reliability and resiliency of the electric grid.
*PSC-26-14-00021-P	..... exempt	Consumer protections, standards and protocols pertaining to access to customer data may be established.	To balance the need for the information necessary to support a robust market with customer privacy concerns.
*PSC-28-14-00014-P	..... exempt	Petition to transfer systems, franchises and assets.	To consider the Comcast and Charter transfer of systems, franchise and assets.
*PSC-30-14-00023-P	..... exempt	Whether to permit the use of the Sensus iPERL Fire Flow Meter.	Pursuant to 16 NYCRR Part 500.3 , it is necessary to permit the use of the Sensus iPERL Fire Flow Meter.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-30-14-00026-P	..... exempt	Petition for a waiver to master meter electricity.	Considering the request of Renaissance Corporation of to master meter electricity at 100 Union Drive, Albany, NY.
*PSC-31-14-00004-P	..... exempt	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross
*PSC-32-14-00012-P	..... exempt	Whether to grant or deny, in whole or in part, the Connect New York Coalition's petition	To consider the Connect New York Coalition's petition seeking a formal investigation and hearings
*PSC-35-14-00004-P	..... exempt	Regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY	To consider regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY
*PSC-35-14-00005-P	..... exempt	Whether to permit the use of the Sensus iConA electric meter	Pursuant to 16 NYCRR Parts 92 and 93, Commission approval is necessary to permit the use of the Sensus iConA electric meter
*PSC-36-14-00009-P	..... exempt	Modification to the Commission's Electric Safety Standards.	To consider revisions to the Commission's Electric Safety Standards.
*PSC-38-14-00003-P	..... exempt	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.
*PSC-38-14-00004-P	..... exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00005-P	..... exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-38-14-00007-P	..... exempt	Whether to expand Con Edison's low income program to include Medicaid recipients.	Whether to expand Con Edison's low income program to include Medicaid recipients.
*PSC-38-14-00008-P	..... exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00010-P	..... exempt	Inter-carrier telephone service quality standard and metrics and administrative changes.	To review recommendations from the Carrier Working Group and incorporate appropriate modifications to the existing Guidelines.
*PSC-38-14-00012-P	..... exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-39-14-00020-P	..... exempt	Whether to permit the use of the Mueller Systems 400 Series and 500 Series of water meters	Pursuant to 16 NYCRR section 500.3, whether to permit the use of the Mueller Systems 400, and 500 Series of water meters
*PSC-40-14-00008-P	..... exempt	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.
*PSC-40-14-00009-P	..... exempt	Whether to permit the use of the Itron Open Way Centron Meter with Hardware 3.1 for AMR and AMI functionality.	Pursuant to 16 NYCRR Parts 93, is necessary to permit the use of the Itron Open Way Centron Meter with Hardware 3.1.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-40-14-00011-P	..... exempt	Late Payment Charge.	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-40-14-00013-P	..... exempt	Regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.	To consider regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.
*PSC-40-14-00014-P	..... exempt	Waiver of 16 NYCRR Sections 894.1 through 894.4(b)(2)	To allow the Town of Goshen, NY, to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-40-14-00015-P	..... exempt	Late Payment Charge.	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-42-14-00003-P	..... exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-42-14-00004-P	..... exempt	Winter Bundled Sales Service Option	To modify SC-11 to remove language relating to fixed storage charges in the determination of the Winter Bundled Sales charge
*PSC-48-14-00014-P	..... exempt	Considering the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.	To consider the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.
*PSC-52-14-00019-P	..... exempt	Petition for a waiver to master meter electricity.	Considering the request of 614 South Crouse Avenue, LLC to master meter electricity at 614 South Crouse Avenue, Syracuse, NY..
*PSC-01-15-00014-P	..... exempt	State Universal Service Fund Disbursements	To consider Edwards Telephone Company's request for State Universal Service Fund disbursements
*PSC-08-15-00010-P	..... exempt	Request pertaining to the lawfulness of National Grid USA continuing its summary billing program.	To grant, deny, or modify URAC Rate Consultants' request that National Grid cease its summary billing program.
*PSC-10-15-00007-P	..... exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s partial rehearing or reconsideration request regarding retention of property tax refunds
*PSC-10-15-00008-P	..... exempt	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes
*PSC-13-15-00024-P	..... exempt	Whether Leatherstocking should be permitted to recover a shortfall in earnings	To decide whether to approve Leatherstocking's request to recover a shortfall in earnings
*PSC-13-15-00026-P	..... exempt	Whether to permit the use of the Sensus Smart Point Gas AMR/AMI product	To permit the use of the Sensus Smart Point Gas AMR/AMI product
*PSC-13-15-00027-P	..... exempt	Whether to permit the use of the Measurlogic DTS 310 electric submeter	To permit the use of the Measurlogic DTS 310 submeter
*PSC-13-15-00028-P	..... exempt	Whether to permit the use of the SATEC EM920 electric meter	To permit necessary to permit the use of the SATEC EM920 electric meter

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-13-15-00029-P	..... exempt	Whether to permit the use the Triacta Power Technologies 6103, 6112, 6303, and 6312 electric submeters	To permit the use of the Triacta submeters
*PSC-17-15-00007-P	..... exempt	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million
*PSC-18-15-00005-P	..... exempt	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism
*PSC-19-15-00011-P	..... exempt	Gas Safety Performance Measures and associated negative revenue adjustments	To update the performance measures applicable to KeySpan Gas East Corporation d/b/a National Grid
*PSC-22-15-00015-P	..... exempt	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)
*PSC-23-15-00005-P	..... exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-23-15-00006-P	..... exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-25-15-00008-P	..... exempt	Notice of Intent to Submeter electricity.	To consider the request of 165 E 66 Residences, LLC to submeter electricity at 165 East 66th Street, New York, New York.
*PSC-29-15-00025-P	..... exempt	Joint Petition for authority to transfer real property located at 624 West 132nd Street, New York, NY	Whether to authorize the proposed transfer of real property located at 624 West 132nd Street, New York, NY
*PSC-32-15-00006-P	..... exempt	Development of a Community Solar Demonstration Project.	To approve the development of a Community Solar Demonstration Project.
*PSC-33-15-00009-P	..... exempt	Remote net metering of a demonstration community net metering program.	To consider approval of remote net metering of a demonstration community net metering program.
*PSC-33-15-00012-P	..... exempt	Remote net metering of a Community Solar Demonstration Project.	To consider approval of remote net metering of a Community Solar Demonstration Project.
*PSC-34-15-00021-P	..... exempt	Petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs	To consider the petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs
*PSC-35-15-00014-P	..... exempt	Consideration of consequences against Light Power & Gas, LLC for violations of the UBP	To consider consequences against Light Power & Gas, LLC for violations of the UBP
*PSC-37-15-00007-P	..... exempt	Submetered electricity	To consider the request of 89 Murray Street Ass. LLC, for clarification of the submetering order issued December 20, 2007
*PSC-40-15-00014-P	..... exempt	Whether to permit the use of the Open Way 3.5 with cellular communications	To consider the use of the Open Way 3.5 electric meter, pursuant to 16 NYCRR Parts 92 and 93
*PSC-42-15-00006-P	..... exempt	Deferral of incremental expenses associated with NERC's new Bulk Electric System (BES) compliance requirements approved by FERC.	Consideration of Central Hudson's request to defer incremental expenses associated with new BES compliance requirements.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-44-15-00028-P	..... exempt	Deferral of incremental expenses associated with new compliance requirements	Consideration of Central Hudson's request to defer incremental expenses associated with new compliance requirements
*PSC-47-15-00013-P	..... exempt	Whitepaper on Implementing Lightened Ratemaking Regulation.	Consider Whitepaper on Implementing Lightened Ratemaking Regulation.
*PSC-48-15-00011-P	..... exempt	Proposal to retire Huntley Units 67 and 68 on March 1, 2016.	Consider the proposed retirement of Huntley Units 67 and 68.
*PSC-50-15-00006-P	..... exempt	The reduction of rates.	To consider the reduction of rates charged by Independent Water Works, Inc.
*PSC-50-15-00009-P	..... exempt	Notice of Intent to submeter electricity.	To consider the request to submeter electricity at 31-33 Lincoln Road and 510 Flatbush Avenue, Brooklyn, New York.
*PSC-51-15-00010-P	..... exempt	Modification of the EDP	To consider modifying the EDP
*PSC-01-16-00005-P	..... exempt	Proposed amendment to Section 5, Attachment 1.A of the Uniform Business Practices	To consider amendment to Section 5, Attachment 1.A of the Uniform Business Practices
*PSC-04-16-00007-P	..... exempt	Whether Hamilton Municipal Utilities should be permitted to construct and operate a municipal gas distribution facility.	Consideration of the petition by Hamilton Municipal Utilities to construct and operate a municipal gas distribution facility.
*PSC-04-16-00012-P	..... exempt	Proposal to mothball three gas turbines located at the Astoria Gas Turbine Generating Station.	Consider the proposed mothball of three gas turbines located at the Astoria Gas Turbine Generating Station.
*PSC-04-16-00013-P	..... exempt	Proposal to find that three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.	Consider whether three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.
*PSC-06-16-00013-P	..... exempt	Continued deferral of approximately \$16,000,000 in site investigation and remediation costs.	To consider the continued deferral of approximately \$16,000,000 in site investigation and remediation costs.
*PSC-06-16-00014-P	..... exempt	MEGA's proposed demonstration CCA program.	To consider MEGA's proposed demonstration CCA program.
*PSC-14-16-00008-P	..... exempt	Resetting retail markets for ESCO mass market customers.	To ensure consumer protections with respect to residential and small non-residential ESCO customers.
*PSC-18-16-00013-P	..... exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00014-P	..... exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00015-P	..... exempt	Petitions for rehearing of the Order Resetting Retail Energy Markets and Establishing Further Process.	To ensure consumer protections for ESCO customers.
*PSC-18-16-00016-P	..... exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00018-P	..... exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.



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<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-20-16-00008-P	..... exempt	Consideration of consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).	To consider consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).
*PSC-20-16-00010-P	..... exempt	Deferral and recovery of incremental expense.	To consider deferring costs of conducting leak survey and repairs for subsequent recovery.
*PSC-20-16-00011-P	..... exempt	Enetics LD-1120 Non-Intrusive Load Monitoring Device in the Statewide Residential Appliance Metering Study.	To consider the use of the Enetics LD-1120 Non-Intrusive Load Monitoring Device.
*PSC-24-16-00009-P	..... exempt	Petition to submeter gas service.	To consider the Petition of New York City Economic Development Corp. to submeter gas at Pier 17, 89 South Street, New York, NY.
*PSC-25-16-00009-P	..... exempt	To delay Companies' third-party assessments of customer personally identifiable information until 2018.	To extend the time period between the Companies' third-party assessments of customer personally identifiable information.
*PSC-25-16-00025-P	..... exempt	Acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.	To consider acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.
*PSC-25-16-00026-P	..... exempt	Use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter, in residential fire service applications.	To consider the use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter in fire service applications.
*PSC-28-16-00017-P	..... exempt	A petition for rehearing of the Order Adopting a Ratemaking and Utility Revenue Model Policy Framework.	To determine appropriate rules for and calculation of the distributed generation reliability credit.
*PSC-29-16-00024-P	..... exempt	Participation of NYPA customers in surcharge-funded clean energy programs.	To consider participation of NYPA customers in surcharge-funded clean energy programs.
*PSC-32-16-00012-P	..... exempt	Benefit-Cost Analysis Handbooks.	To evaluate proposed methodologies of benefit-cost evaluation.
*PSC-33-16-00001-EP	..... exempt	Use of escrow funds for repairs.	To authorize the use of escrow account funds for repairs.
*PSC-33-16-00005-P	..... exempt	Exemption from certain charges for delivery of electricity to its Niagara Falls, New York facility.	Application of System Benefits Charges, Renewable Portfolio Standard charges and Clean Energy Fund surcharges.
*PSC-35-16-00015-P	..... exempt	NYSRC's revisions to its rules and measurements	To consider revisions to various rules and measurements of the NYSRC
*PSC-36-16-00004-P	..... exempt	Recovery of costs for installation of electric service.	To consider the recovery of costs for installation of electric service.
*PSC-40-16-00025-P	..... exempt	Consequences pursuant to the Commission's Uniform Business Practices (UBP).	To consider whether to impose consequences on Smart One for its apparent non-compliance with Commission requirements.
*PSC-47-16-00009-P	..... exempt	Petition to use commercial electric meters	To consider the petition of Itron, Inc. to use the Itron CP2SO and CP2SOA in commercial electric meter applications
*PSC-47-16-00010-P	..... exempt	Standby Service rate design	To consider the report filed and the recommendations therein

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-47-16-00013-P	..... exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00014-P	..... exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00016-P	..... exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-02-17-00010-P	..... exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for RG&E.
*PSC-02-17-00012-P	..... exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for NYSEG.
*PSC-18-17-00024-P	..... exempt	A petition for rehearing or reconsideration of the Order Addressing Public Policy Transmission Need for AC Transmission Upgrades	To determine whether Public Policy Transmission Need/Public Policy Requirements continue to exist.
*PSC-18-17-00026-P	..... exempt	Revisions to the Dynamic Load Management surcharge.	To consider revisions to the Dynamic Load Management surcharge.
*PSC-19-17-00004-P	..... exempt	NYAW's request to defer and amortize, for future rate recognition, pension settlement payout losses incurred in 2016.	Consideration of NYAW's petition to defer and amortize, for future rate recognition, pension payout losses incurred in 2016.
*PSC-20-17-00008-P	..... exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid NY regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-20-17-00010-P	..... exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-21-17-00013-P	..... exempt	The establishment and implementation of Earnings Adjustment Mechanisms.	To consider the establishment and implementation of Earnings Adjustment Mechanisms.
*PSC-21-17-00018-P	..... exempt	Proposed agreement for the provision of water service by Saratoga Water Services, Inc.	To consider a waiver and approval of terms of a service agreement.
*PSC-22-17-00004-P	..... exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives	To consider the proposed Interconnection Survey Process and Earnings Adjustment Mechanisms
*PSC-24-17-00006-P	..... exempt	Development of the Utility Energy Registry.	Improved data access.
*PSC-26-17-00005-P	..... exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent to submeter electricity at 125 Waverly Street, Yonkers, New York.
*PSC-34-17-00011-P	..... exempt	Waiver to permit Energy Cooperative of America to serve low-income customers	To consider the petition for a waiver
*PSC-37-17-00005-P	..... exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives.	To consider the revised Interconnection Survey Process and Earnings Adjustment Mechanisms.

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<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-39-17-00011-P	..... exempt	Whether to direct New York State Electric & Gas to complete electric facility upgrades at no charge to Hanehan.	To determine financial responsibility between NYSEG and Hanehan for the electric service upgrades to Hanehan.
*PSC-42-17-00010-P	..... exempt	Petition for rehearing of negative revenue adjustment and contents of annual Performance Report.	To consider NFGD's petition for rehearing.
*PSC-48-17-00015-P	..... exempt	Low Income customer options for affordable water bills.	To consider the Low Income Bill Discount and/or Energy Efficiency Rebate Programs.
*PSC-50-17-00017-P	..... exempt	New Wave Energy Corp.'s petition for rehearing.	To consider the petition for rehearing filed by New Wave Energy Corp.
*PSC-50-17-00018-P	..... exempt	Application of the Public Service Law to DER suppliers.	To determine the appropriate regulatory framework for DER suppliers.
*PSC-50-17-00019-P	..... exempt	Transfer of utility property.	To consider the transfer of utility property.
*PSC-50-17-00021-P	..... exempt	Disposition of tax refunds and other related matters.	To consider the disposition of tax refunds and other related matters.
*PSC-51-17-00011-P	..... exempt	Petition for recovery of certain costs related to the implementation of a Non-Wires Alternative Project.	To consider Con Edison's petition for the recovery of costs for implementing the JFK Project.
*PSC-04-18-00005-P	..... exempt	Notice of intent to submeter electricity.	To consider the notice of intent of Montante/Morgan Gates Circle LLC to submeter electricity.
*PSC-05-18-00004-P	..... exempt	Lexington Power's ZEC compliance obligation.	To promote and maintain renewable and zero-emission electric energy resources.
*PSC-06-18-00012-P	..... exempt	To consider further proposed amendments to the original criteria to grandfathering established in the Transition Plan	To modify grandfathering criteria
*PSC-06-18-00017-P	..... exempt	Merger of NYAW and Whitlock Farms Water Corp.	To consider the merger of NYAW and Whitlock Farms Water Company into a single corporate entity
*PSC-07-18-00015-P	..... exempt	The accuracy and reasonableness of National Grid's billing for certain interconnection upgrades.	To consider AEC's petition requesting resolution of their billing dispute with National Grid.
*PSC-11-18-00004-P	..... exempt	New York State Lifeline Program.	To consider TracFone's petition seeking approval to participate in Lifeline.
*PSC-13-18-00015-P	..... exempt	Eligibility of an ESCO to market to and enroll residential customers.	To consider whether Astral should be allowed to market to and enroll residential customers following a suspension.
*PSC-13-18-00023-P	..... exempt	Reconciliation of property taxes.	To consider NYAW's request to reconcile property taxes.
*PSC-14-18-00006-P	..... exempt	Petition for abandonment	To consider the abandonment of Willsboro Bay Water Company's water system
*PSC-17-18-00010-P	..... exempt	Petition for use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-18-18-00009-P	..... exempt	Transfer of control of Keene Valley Video Inc.	To ensure performance in accordance with applicable cable laws, regulations and standards and the public interest
*PSC-23-18-00006-P	..... exempt	Whether to impose consequences on Aspiry for its non-compliance with Commission requirements.	To ensure the provision of safe and adequate energy service at just and reasonable rates.
*PSC-24-18-00013-P	..... exempt	Implementation of program rules for Renewable Energy Standard and ZEC requirements.	To promote and maintain renewable and zero-emission electric energy resources.
*PSC-28-18-00011-P	..... exempt	Storm Hardening Collaborative Report.	To ensure safe and adequate gas service.
*PSC-29-18-00008-P	..... exempt	Participation in Targeted Accessibility Fund	To encourage enhanced services for low-income consumers
*PSC-29-18-00009-P	..... exempt	Overvaluing real property tax expense recovery in water rates	To prevent unjust and unreasonable water rates
*PSC-34-18-00015-P	..... exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and energy efficiency protections are in place.
*PSC-34-18-00016-P	..... exempt	Deferral of pre-staging and mobilization storm costs.	To ensure just and reasonable rates for ratepayers and utility recovery of unexpected, prudently incurred costs.
*PSC-35-18-00003-P	..... exempt	Con Edison's 2018 DSIP and BCA Handbook Update.	To continue Con Edison's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00005-P	..... exempt	NYSEG and RG&E's 2018 DSIP and BCA Handbook Update.	To continue NYSEG and RG&E's transition to modern utilities acting as Distributed System Platform Providers.
*PSC-35-18-00006-P	..... exempt	National Grid's 2018 DSIP and BCA Handbook Update.	To continue National Grid's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00008-P	..... exempt	Central Hudson's 2018 DSIP and BCA Handbook Update.	To continue Central Hudson's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00010-P	..... exempt	O&R's 2018 DSIP and BCA Handbook Update.	To continue O&R's transition to a modern utility acting as a Distributed System Platform Provider.
*PSC-39-18-00005-P	..... exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
*PSC-40-18-00014-P	..... exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To review the gas utilities' reconciliation of Gas Expenses and Gas Cost Recoveries for 2018.
*PSC-42-18-00011-P	..... exempt	Voluntary residential beneficial electrification rate design.	To provide efficient rate design for beneficial technologies in New York State that is equitable for all residential customers.
*PSC-42-18-00013-P	..... exempt	Petition for clarification and rehearing of the Smart Solutions Program Order.	To address the increased demand for natural gas in the Con Edison's service territory and the limited pipeline capacity.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-44-18-00016-P	..... exempt	Petition for approval of gas metering equipment.	To ensure that customer bills are based on accurate measurements of gas usage.
*PSC-45-18-00005-P	..... exempt	Notice of intent to submeter electricity and waiver of energy audit	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
*PSC-47-18-00008-P	..... exempt	Proposed Public Policy Transmission Needs/ Public Policy Requirements, as defined under the NYISO tariff.	To identify any proposed Public Policy Transmission Needs/Public Policy Requirements for referral to the NYISO.
*PSC-01-19-00004-P	..... exempt	Advanced Metering Infrastructure.	To determine whether Niagara Mohawk Power Corporation d/b/a National Grid should implement advanced metering infrastructure.
*PSC-01-19-00013-P	..... exempt	Order of the Commission related to caller ID unblocking.	To require telephone companies to unblock caller ID on calls placed to the 311 municipal call center in Suffolk County.
*PSC-03-19-00002-P	..... exempt	DPS Staff White Paper for who must be trained in 16 NYCRR Part 753 requirements and how the Commission will approve trainings.	To reduce damage to underground utility facilities by requiring certain training and approving training curricula.
*PSC-04-19-00004-P	..... exempt	Con Edison's petition for the Gas Innovation Program and associated budget.	To pursue programs that continue service reliability and meet customer energy needs while aiding greenhouse gas reduction goals.
*PSC-04-19-00011-P	..... exempt	Update of revenue targets.	To ensure NYAW's rates are just and reasonable and accurately reflect the needed revenues.
*PSC-06-19-00005-P	..... exempt	Consideration of the Joint Utilities' proposed BDP Program.	To to expand opportunities for low-income households to participate in Community Distributed Generation (CDG) projects.
*PSC-07-19-00009-P	..... exempt	Whether to impose consequences on AAA for its non-compliance with Commission requirements.	To insure the provision of safe and adequate energy service at just and reasonable rates.
*PSC-07-19-00016-P	..... exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
*PSC-09-19-00010-P	..... exempt	Non-pipeline alternatives report recommendations.	To consider the terms and conditions applicable to gas service.
*PSC-12-19-00004-P	..... exempt	To test innovative pricing proposals on an opt-out basis.	To provide pricing structures that deliver benefits to customers and promote beneficial electrification technologies.
*PSC-13-19-00010-P	..... exempt	New Commission requirements for gas company operator qualification programs.	To make pipelines safer with improved training of workers who perform construction and repairs on natural gas facilities.
*PSC-19-19-00013-P	..... exempt	Proposed merger of three water utilities into one corporation.	To determine if the proposed merger is in the public interest.
*PSC-19-19-00014-P	..... exempt	Establishment of the regulatory regime applicable to an approximately 124 MW electric generating facility.	Consideration of a lightened regulatory regime for an approximately 124 MW electric generating facility.



Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-20-19-00008-P	..... exempt	Reporting on energy sources	To ensure accurate reporting and encourage clean energy purchases
*PSC-20-19-00010-P	..... exempt	Compensation policies for certain CHP projects	To consider appropriate rules for compensation of certain CHP resources
*PSC-20-19-00015-P	..... exempt	Establishment of the regulatory regime applicable to an approximately 105.8 MW electric generating facility	Consideration of a lightened regulatory regime for an approximately 105.8 MW electric generating facility
*PSC-31-19-00013-P	..... exempt	Implementation of Statewide Energy Benchmarking.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-31-19-00015-P	..... exempt	Proposed major rate increase in KEDNY's gas delivery revenues by \$236.8 million (13.6% increase in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-31-19-00016-P	..... exempt	Proposed major rate increase in KEDLI's gas delivery revenues of approximately \$49.4 million (or 4.1% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-32-19-00012-P	..... exempt	Standby Service Rates and Buyback Service Rates	To ensure just and reasonable rates, including compensation, for distributed energy resources
*PSC-34-19-00015-P	..... exempt	Major electric rate filing.	To consider a proposed increase in RG&E's electric delivery revenues of approximately \$31.7 million (or 4.1% in total revenues).
*PSC-34-19-00016-P	..... exempt	Major gas rate filing.	To consider a proposed increase in RG&E's gas delivery revenues of approximately \$5.8 million (or 1.4% in total revenues).
*PSC-34-19-00018-P	..... exempt	Major electric rate filing.	To consider a proposed increase in NYSEG's electric delivery revenues of approximately \$156.7 million (10.4% in total revenues).
*PSC-34-19-00020-P	..... exempt	Major gas rate filing.	To consider a proposed increase in NYSEG's gas delivery revenues of approximately \$6.3 million (or 1.4% in total revenues).
*PSC-38-19-00002-P	..... exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-39-19-00018-P	..... exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
*PSC-41-19-00003-P	..... exempt	A voluntary residential three-part rate that would include fixed, usage and demand charges.	To provide qualifying residential customers with an optional three-part rate.
PSC-44-19-00003-P	..... exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-44-19-00005-P	..... exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-44-19-00006-P	..... exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
PSC-44-19-00007-P	..... exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-44-19-00008-P	..... exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-44-19-00009-P	..... exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-45-19-00012-P	..... exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-46-19-00008-P	..... exempt	Wappingers Falls Hydroelectric LLC's facility located in Wappingers Falls, New York.	To promote and maintain renewable electric energy resources.
PSC-46-19-00010-P	..... exempt	To test innovative rate designs on an opt-out basis.	To implement alternative innovative rate designs intended to assess customer behaviors in response to price signals
PSC-48-19-00007-P	..... exempt	Extension of the State Universal Service Fund.	To continue to provide universal service at a reasonable rate in certain service territories.
PSC-50-19-00004-P	..... exempt	Petition to submeter electricity and waiver of energy audit.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-52-19-00001-P	..... exempt	SUEZ Water New York Inc.'s acquisition of 100% of Heritage Hills Water Works Corporation's assets.	To determine if the proposed acquisition is in the public interest.
PSC-52-19-00006-P	..... exempt	Authorization to defer pension settlement losses.	To address the ratemaking related to the pension settlement losses.
PSC-03-20-00009-P	..... exempt	Changes to the Utility Energy Registry	To determine appropriate rules for data availability
PSC-04-20-00014-P	..... exempt	Transfer of the Indian Point site, nuclear waste, and decommissioning and site restoration funds from Entergy to Holtec.	To protect the public interest.
PSC-06-20-00014-P	..... exempt	A program for the procurement of Renewable Energy Certificates from existing renewable resources.	To purchase Renewable Energy Certificates and maintain the State's baseline of existing renewable resources.
PSC-06-20-00016-P	..... exempt	Notice of intent to submeter electricity and waiver of energy audit.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-07-20-00008-P	..... exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-08-20-00003-P	..... exempt	PSC regulation 16 NYCRR § 86.3(a)(2) and 86.3(b)(2).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting.
PSC-09-20-00002-P	..... exempt	Request for waiver of 16 NYCRR 96.5(k)(3).	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
PSC-09-20-00006-P	..... exempt	Petition for the use of an electric meter in submetering applications.	Whether to permit the use of the GG electric meter in submetering applications in New York State.
PSC-10-20-00003-P	..... exempt	The Commission's statewide low-income discount policy.	To consider modifications to certain conditions regarding utility low-income discount programs.
PSC-10-20-00005-P	..... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Family Energy, Inc. should be granted a waiver to offer two "green gas" products to mass market customers.
PSC-11-20-00006-P	..... exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-11-20-00008-P	..... exempt	Revisions to the proration tariff language.	To consider revisions to the proration tariff language under Leaf 18.1, 18 61 to 64 and Leaf 69.
PSC-11-20-00011-P	..... exempt	Application of the Public Service Law to owners of a proposed 345 kilovolt (kV) transmission line providing wholesale services.	To determine whether to apply a lightened regulatory regime to the owners of a proposed 345 kV transmission line.
PSC-12-20-00008-P	..... exempt	Delivery rates of Corning Natural Gas Corporation.	Whether to postpone the implementation of a change in rates that would otherwise become effective on June 1, 2020.
PSC-12-20-00010-P	..... exempt	Direct Energy, LLC's Green Gas Products.	To consider whether Direct Energy, LLC should be allowed to offer two Green Gas Products to mass market customers.
PSC-13-20-00006-P	..... exempt	Utility capital expenditure proposal.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-15-20-00011-P	..... exempt	To modify the terms and conditions under which gas utilities provide service to electric generators.	To provide clarity and uniformity to the provision of gas service to electric generators.
PSC-15-20-00012-P	..... exempt	Tariff filing.	To ensure that the utility provides safe, adequate, and reliable service at just and reasonable rates.
PSC-15-20-00013-P	..... exempt	Ownership of New York American Water Company, Inc.	To consider whether a proposed transfer of ownership of New York American Water Company, Inc. is in the public interest.
PSC-15-20-00014-P	..... exempt	Tariff filing.	To ensure that the utility provides safe, adequate, and reliable service at just and reasonable rates.
PSC-16-20-00004-P	..... exempt	Disposition of a state sales tax refund.	To determine how much of a state sales tax refund should be retained by Central Hudson.
PSC-17-20-00008-P	..... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Alpha Gas & Electric, LLC should be permitted to offer its Green Gas Program to mass market customers.
PSC-18-20-00012-P	..... exempt	The purchase price of electric energy and capacity from customers with qualifying on-site generation facilities.	To revise the price to be paid by the Company under Service Classification No. 10. for qualifying purchases of unforced capacity

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
PSC-18-20-00014-P	..... exempt	Tariff modifications to reduce customer costs related to relocating customer owned equipment for back-lot service relocations.	To facilitate the relocation of service lines owned by customers from the back of their lots to the front.
PSC-18-20-00015-P	..... exempt	Participation of Eligible Telecommunications Carriers (ETCs) in New York State Lifeline Program.	Commission will consider each petition filed by an ETCs seeking approval to participate in the NYS Lifeline program.
PSC-19-20-00004-P	..... exempt	Clarification of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether energy service companies should be permitted to bank RECs to satisfy their renewable energy requirements.
PSC-19-20-00005-P	..... exempt	Cost recovery associated with Day-Ahead-DLM and Auto-DLM programs, and elimination of double compensation.	To provide cost recovery for new DLM programs and prevent double compensation to participating customers.
PSC-19-20-00009-P	..... exempt	Cost recovery associated with Day-Ahead-DLM and Auto-DLM programs, and elimination of double compensation.	To consider revisions to P.S.C. No. 10 - Electricity, and P.S.C. No. 12 - Electricity.
PSC-21-20-00005-P	..... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Kiwi Energy NY LLC should be permitted to offer its Green Gas Products to mass market customers.
PSC-21-20-00008-P	..... exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-21-20-00011-P	..... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether IGS Energy should be allowed to offer a Carbon-Neutral Gas Product and a Home Warranty Service Product.
PSC-23-20-00006-P	..... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether IDT Energy, Inc. and Residents Energy, LLC should be permitted to offer Green Gas Products in New York.
PSC-23-20-00007-P	..... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether American Power & Gas LLC should be permitted to offer its Green Gas Products to mass market customers.
PSC-23-20-00008-P	..... exempt	Disposition of sales tax refund and other related matters.	To consider the appropriate allocation of the sales tax refund proceeds while balancing ratepayer and shareholder interests.
PSC-23-20-00010-P	..... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether AmeriChoice Energy should be permitted to offer its Green Gas Products to mass market customers.
PSC-24-20-00016-P	..... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether the NRG Retail Companies should be permitted to offer Green Gas Products in New York.
PSC-24-20-00018-P	..... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Atlantic Energy, LLC should be permitted to offer Green Gas Products to mass market customers in New York.
PSC-24-20-00020-P	..... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether CenStar Energy, Major Energy Services, and Spark Energy Gas should be permitted to offer Green Gas Products.

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<b>PUBLIC SERVICE COMMISSION</b>			
PSC-25-20-00009-P	..... exempt	Petition for the use of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-25-20-00010-P	..... exempt	Whitepaper regarding energy service company financial assurance requirements.	To consider the form and amount of financial assurances to be included in the eligibility criteria for energy service companies.
PSC-25-20-00011-P	..... exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-25-20-00012-P	..... exempt	Petition to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-25-20-00014-P	..... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether South Bay Energy Corp. should be permitted to offer Green Gas Products to mass market customers in New York.
PSC-25-20-00015-P	..... exempt	Staff whitepaper on a Data Access Framework.	To standardize the necessary privacy and cybersecurity requirements for access to energy-related data.
PSC-25-20-00016-P	..... exempt	Modifications to the Low-Income Affordability program.	To address the economic impacts of the COVID-19 pandemic.
PSC-25-20-00017-P	..... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Marathon Energy should be permitted to offer Green Gas Products to mass market customers in New York.
PSC-25-20-00018-P	..... exempt	Staff's whitepaper proposing an IEDR.	To collect and integrate a large and diverse set of energy-related information and data on one statewide platform.
PSC-25-20-00019-P	..... exempt	A Clean Energy Resources Development and Incentives Program.	To identify and develop renewable energy project sites for competitive auction to private developers.
PSC-26-20-00011-P	..... exempt	NYSERDA and Staff whitepaper regarding a clean energy regulatory structure.	To develop a renewable energy program to meet Climate Leadership and Community Protection Act goals.
PSC-27-20-00003-P	..... exempt	To make the uniform statewide customer satisfaction survey permanent.	To encourage consumer protections and safe and adequate service.
PSC-28-20-00020-P	..... exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-28-20-00021-P	..... exempt	Waiver of tariff provisions.	To ensure just and reasonable rates charged to customers without undue preference.
PSC-28-20-00022-P	..... exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-28-20-00023-P	..... exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-28-20-00024-P	..... exempt	Notice of intent to submeter electricity and request for waiver of 16 NYCRR § 96.5(k)(3).	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.



Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
PSC-28-20-00025-P	..... exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-28-20-00026-P	..... exempt	Petition to implement Section 7(5) of the Accelerated Renewable Energy Growth and Community Benefit Act.	To develop the bulk transmission investments necessary to achieve the Climate Leadership and Community Protection Act goals.
PSC-28-20-00027-P	..... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether the petitioners should be permitted to offer Green Gas Products to mass market customers in New York.
PSC-28-20-00034-P	..... exempt	Petition to implement Section 7(5) of the Accelerated Renewable Energy Growth and Community Benefit Act	To develop the bulk transmission investments necessary to achieve the Climate Leadership and Community Protection Act goals
PSC-29-20-00007-P	..... exempt	Petition to transfer telephone and cable systems, franchises and assets.	Consider the proposed transfer of telephone and cable systems, franchises and assets.
PSC-29-20-00008-P	..... exempt	Modification to the Commission's Electric Safety Standards.	To consider revisions to the Commission's Electric Safety Standards.
PSC-29-20-00009-P	..... exempt	Niagara Mohawk Power Corporation d/b/a National Grid's economic development programs.	To consider modifications to Niagara Mohawk Power Corporation d/b/a National Grid's economic development assistance programs.
PSC-29-20-00011-P	..... exempt	Petition for waiver of the requirements of Opinion No. 76-17 and 16 NYCRR Part 96 regarding individual metering of living units.	To consider the petition of Opportunities for Broome, Inc for waiver of Opinion No. 76-17 and 16 NYCRR Part 96.
PSC-29-20-00012-P	..... exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-29-20-00013-P	..... exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.
PSC-29-20-00014-P	..... exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.
PSC-29-20-00015-P	..... exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.
PSC-30-20-00006-P	..... exempt	Petition to submeter electricity and request for waiver of 16 NYCRR § 96.5(k)(3).	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-30-20-00007-P	..... exempt	Tariff modifications to include provisions to enter into negotiated agreements for billing services.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-31-20-00003-P	..... exempt	Authority to issue and sell promissory notes.	To consider the petition of National Fuel Gas Distribution Corporation to issue up to \$300 million in promissory notes.
PSC-31-20-00004-P	..... exempt	Submetering of electricity.	To ensure adequate submetering equipment and consumer protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
PSC-31-20-00005-P	..... exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-31-20-00006-P	..... exempt	Authority to issue and sell Long-Term Indebtedness, Preferred Stock, Hybrid Securities and to enter into derivative instruments.	To consider RG&E's request for authority to issue and sell Long-Term Indebtedness
PSC-31-20-00007-P	..... exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-31-20-00008-P	..... exempt	Submetering of electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-31-20-00009-P	..... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Kiwi Energy NY LLC should be permitted to offer its Kiwi Guard product to mass market customers in New York.
PSC-31-20-00010-P	..... exempt	Submetering of electricity and waiver of energy audit.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-31-20-00011-P	..... exempt	Submetering of electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-32-20-00009-P	..... exempt	Transfer of street light facilities.	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.
PSC-32-20-00010-P	..... exempt	Procurement of Tier 1 RECs.	Management of renewable energy procurements to meet state goals and benefit ratepayers.
PSC-32-20-00011-P	..... exempt	Sale of transmission line and related property rights.	To determine whether to authorize the transfer of a 1.42 mile transmission line and the proper accounting for the transaction.
PSC-32-20-00012-P	..... exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.
PSC-32-20-00013-P	..... exempt	Authorization for RED-Rochester, LLC to incur indebtedness of up to \$200 million.	To ensure that the proposed debt financing is within the public interest.
PSC-32-20-00014-P	..... exempt	The term for retention of a monetary crediting methodology.	To provide sufficient revenues to support financing, realize promised benefits from the project, and repay necessary re-work.
PSC-32-20-00015-P	..... exempt	Petition for waiver of the requirements of 16 NYCRR Part 96 regarding individual metering of living units.	To consider the petition of St. Paul's Center to master meter and for waiver of 16 NYCRR Part 96.
PSC-32-20-00016-P	..... exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-32-20-00017-P	..... exempt	Transfer of street light facilities.	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
PSC-33-20-00003-P	..... exempt	Transfer of street lighting facilities	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction
PSC-33-20-00004-P	..... exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer of street of lighting facilities and the proper accounting for the transaction.
PSC-34-20-00004-P	..... exempt	Notice of intent to submeter electricity and waiver of energy audit requirement.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-34-20-00005-P	..... exempt	Petition to provide a renewable, carbon-free energy option to residential and small commercial full-service customers.	To increase customer access to renewable energy in the Consolidated Edison Company of New York, Inc. service territory.
PSC-34-20-00006-P	..... exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-34-20-00007-P	..... exempt	Transfer of street light facilities.	To consider the transfer of street lighting facilities to the Town of Bethel.
PSC-35-20-00015-P	..... exempt	Request for waiver of 16 NYCRR 96.5(k)(3).	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-35-20-00016-P	..... exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-35-20-00017-P	..... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether petitioner should be permitted to offer green gas products to mass market customers in New York.
PSC-36-20-00004-P	..... exempt	Transfer of street lighting facilities.	To consider whether the transfer of street lighting facilities is in the public interest.
PSC-36-20-00005-P	..... exempt	The petition relates to the proposed transfer of membership interests in companies providing gas transportation services.	To consider the requested transfer and, if approved, what regulatory conditions should apply.
PSC-36-20-00006-P	..... exempt	A debt financing arrangement with respect to a proposed 345 kilovolt (kV) transmission line providing wholesale services.	To consider the requested financing arrangement, and if approved, what regulatory conditions should apply.
PSC-37-20-00006-P	..... exempt	Con Edison's petition for a proposed Non-Pipeline Solutions portfolio and associated budget.	To provide for continued service reliability and to meet customer energy needs while addressing greenhouse gas reduction goals.
PSC-37-20-00014-EP	..... exempt	Postponement of delivery rate, System Improvement Charge (SIC) and RAC/PTR surcharge with make whole starting on April 1, 2021.	To assist customers in a time of hardship by delaying a rate increase and SIC increase and RAC/PTR surcharge implementation.
PSC-38-20-00003-P	..... exempt	Minor Rate Filing	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-38-20-00004-P	..... exempt	The annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To consider filings of LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
PSC-39-20-00014-P	..... exempt	Tariff filing.	To determine if New York State Electric & Gas Corporation's tariff filing is consistent with the law and in the public interest.
PSC-39-20-00015-P	..... exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-39-20-00016-P	..... exempt	Tariff filing.	To determine if Central Hudson Gas & Electric Corporation's tariff filing is consistent with the law and in the public interest.
PSC-39-20-00017-P	..... exempt	Tariff filing.	To determine if National Grid's tariff filing is consistent with the law and in the public interest.
PSC-39-20-00018-P	..... exempt	Tariff filing.	To determine if Rochester Gas and Electric Corporation's tariff filing is consistent with the law and in the public interest.
PSC-39-20-00019-P	..... exempt	Tariff filing.	To determine if Orange and Rockland Utilities, Inc.'s tariff filing is consistent with the law and in the public interest.
PSC-39-20-00020-P	..... exempt	Tariff filing.	To determine if Consolidated Edison Company of New York's tariff filing is consistent with the law and in the public interest.
PSC-39-20-00021-P	..... exempt	Authority to issue to long-term debt.	To consider Conring's request for authority to issue long-term debt.
PSC-39-20-00022-P	..... exempt	Notice of intent to submeter electricity and waiver of energy audit.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-40-20-00003-P	..... exempt	NYSEG's petition for a proposed Non-Pipeline Alternatives portfolio of projects and associated budget.	To provide for continued service reliability and to meet customer energy needs while addressing greenhouse gas reduction goals.
PSC-40-20-00004-P	..... exempt	Minor rate filing.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-40-20-00005-P	..... exempt	Electric Generation Facility Cessation Mitigation Program Funding	To develop a funding mechanism for the Electric Generation Facility Cessation Mitigation Program.
PSC-40-20-00006-P	..... exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-40-20-00007-P	..... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether the petitioner should be permitted to offer green gas and home warranty products to mass market customers.
PSC-40-20-00008-P	..... exempt	A benefit for electric utility customers in communities that host a major renewable energy facility.	To consider a just and reasonable benefit for electric utility customers in renewable host communities.
PSC-40-20-00009-P	..... exempt	Amendments clarifying the sharing of revenue from the Energy Storage Program.	To ensure that Con Edison's tariff is clear regarding sharing of revenue when annual wholesale revenues exceed contract costs.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
PSC-40-20-00010-P	..... exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether the petitioner should be permitted to offer green gas and home warranty products to mass market customers.
PSC-41-20-00010-P	..... exempt	Disposition of a \$50 million municipal tax refund.	To consider a disposition of a municipal tax refund for customer and company benefit.
PSC-41-20-00011-P	..... exempt	Major gas rate filing.	To consider a proposed increase in Conring's gas delivery revenues of approximately \$6.3 million (23.4% in total revenues).
PSC-41-20-00012-P	..... exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-41-20-00013-P	..... exempt	The proposed transfer of a Certificate of Environmental Compatibility and Public Need.	Consideration of whether the proposed transfer is in the public interest.
PSC-42-20-00006-P	..... exempt	Proposed major rate increase in National Grid's delivery revenues of approximately \$41.8 million (or 9.8% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-42-20-00007-P	..... exempt	Transfer of ownership interests and facilities associated with three nuclear generating units, funds, and storage facilities.	To ensure appropriate regulatory review, oversight, and action concerning the proposed transfer to serve the public interest.
PSC-42-20-00008-P	..... exempt	Availability of gas leak information to the public safety officials.	Facilitate availability of gas leak information to public safety officials by gas corporations.
PSC-42-20-00009-P	..... exempt	Proposed major rate increase in National Grid's delivery revenues of approximately \$100.4 million (or 3.2% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-43-20-00003-P	..... exempt	The use of \$50 million to support residential and commercial customers experiencing financial hardship.	To consider whether the proposed support of ratepayers is in the public interest.
<b>STATE, DEPARTMENT OF</b>			
DOS-34-20-00003-P	..... 08/26/21	Access to domestic violence and sexual assault awareness education courses	To provide access to domestic violence and sexual assault awareness education courses as mandated by the General Business Law
DOS-37-20-00015-P	..... 09/16/21	Siting of major renewable energy facilities	To establish procedural requirements for permits for siting, construction and operation of major renewable energy facilities
DOS-37-20-00016-P	..... 11/29/21	Siting permits for major renewable energy facilities	To establish uniform standards and conditions for siting, design, construction & operation of major renewable energy facilities
DOS-41-20-00001-P	..... 10/14/21	Public Playground Safety	Update public playground safety standards
<b>STATE UNIVERSITY OF NEW YORK</b>			
SUN-53-19-00005-P	..... 02/01/21	Proposed amendments to the traffic and parking regulations at State University Agricultural and Technical College at Morrisville	Amend existing regulations to update traffic and parking regulations
SUN-29-20-00004-EP	..... 07/22/21	State basic financial assistance for the operating expenses of community colleges under the program of SUNY and CUNY	To modify limitations formula for basic State financial assistance and remove an operating support "floor"



Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>STATE UNIVERSITY OF NEW YORK</b>			
SUN-29-20-00005-EP	07/22/21	Student Assembly Elections, Student Assembly Officers, Campus Government Elections, Student Activity Fees	To postpone voting on student activity fees and elections of Student Assembly representatives and officers until Fall 2020
SUN-37-20-00002-EP	09/16/21	Appointment of Employees; Eligibility	To allow for the addition of one year to the service limits for current faculty to attain continuing appointment
<b>STATEN ISLAND RAPID TRANSIT OPERATING AUTHORITY</b>			
SIR-39-20-00008-EP	09/30/21	Requiring mask wearing covering the nose and mouth when using terminals, stations and trains operated by SIRTOA.	To safeguard the public health and safety by amending rules to require the use of masks when using terminals and stations.
<b>TAXATION AND FINANCE, DEPARTMENT OF</b>			
TAF-02-20-00001-EP	02/01/21	Property tax levy limits for school districts in relation to certain costs resulting from capital local expenditures	To implement Education Law 2023-a relating to certain costs resulting from capital local expenditures of school districts
TAF-33-20-00002-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period October 1, 2020 through December 31, 2020
TAF-38-20-00005-P	09/23/21	New York State and City of Yonkers withholding tables and other methods	To provide current New York State and City of Yonkers withholding tables and other methods
<b>TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF</b>			
TDA-16-20-00012-P	04/22/21	New York State Combined Application Project (NYSCAP)	To implement the NYSCAP, a new combined application project for recipients of Supplemental Security Income benefits, who have been designated as Live-Alone by the Social Security Administration and the State-funded SSI State Supplement Program
TDA-26-20-00007-P	07/01/21	Supplemental Security Income (SSI) Additional State Payments	To clarify who participates, the intended uses for benefits, that benefits won't be issued once a death is verified, time frames to report and circumstances when underpayment/retroactive benefits will issue, and NYS operates SSP under State rules
TDA-39-20-00024-EP	09/30/21	Standard Utility Allowances (SUAs) for the Supplemental Nutrition Assistance Program (SNAP)	These regulatory amendments set forth the federally-approved SUAs as of 10/1/20
<b>THOROUGHbred BREEDING AND DEVELOPMENT FUND</b>			
TBD-34-20-00008-P	08/26/21	Residency requirement for dam of New York bred foal	To enable the Fund to improve agriculture and Thoroughbred horse breeding in New York
<b>THRUWAY AUTHORITY, NEW YORK STATE</b>			
THR-01-20-00003-P	02/01/21	Toll rate adjustments on the New York State Thruway system.	To provide for toll rate adjustments necessary to support the Authority's financial obligations.
THR-42-20-00013-P	10/21/21	Amend the Authority's rules in relation to Grand Island Bridges sidewalks and Governor Mario M Cuomo Bridge Shared Use Path	To regulate certain activities on the Grand Island Bridges sidewalks and Governor Mario M Cuomo Bridge Shared Use Path

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>WORKERS' COMPENSATION BOARD</b>			
WCB-23-20-00004-P .....	06/10/21	EDI system updates	To require carriers to report certain credits taken for payments to claimants; biannual reports; EDI 3.1 updates
WCB-28-20-00003-EP .....	07/15/21	Adding COVID-19 diagnosis by a health care provider as a serious health condition for purposes of Paid Family Leave	To clarify that employees may take PFL to care for a family member with COVID-19
WCB-42-20-00004-P .....	10/21/21	Medical Treatment Guidelines	To add PTSD and acute stress disorder, and major depressive disorder MTGs
WCB-42-20-00005-P .....	10/21/21	Medical Treatment Guidelines	To add PTSD and acute stress disorder, and major depressive disorder MTGs
WCB-42-20-00010-P .....	10/21/21	Requesting prior approval for medical treatment and care	To implement an internet portal-based submission and review process
WCB-42-20-00012-P .....	10/21/21	DME Fee Schedule	To replace DME fee schedule, update fees; create prior authorization process

# GUIDANCE DOCUMENTS

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Not less than once each year, every agency shall submit to the Secretary of State for publication in the *State Register* a list of all Guidance Documents on which the agency currently relies [SAPA, section 202-e(1)]. However, an agency may be exempted from compliance with the requirements of SAPA section 202-e(1) if the agency has published on its website the full text of all Guidance Documents on which it currently relies [SAPA, section 202-e(2)].

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## Rochester-Genesee Regional Transportation Authority

The agency seeks a one-year exemption from guidance document publication requirements.

The Rochester-Genesee Regional Transportation Authority does not currently rely on any Guidance Documents as that term is defined in section 102(14) of SAPA.



# MISCELLANEOUS NOTICES/HEARINGS

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## **Notice of Abandoned Property Received by the State Comptroller**

Pursuant to provisions of the Abandoned Property Law and related laws, the Office of the State Comptroller receives unclaimed monies and other property deemed abandoned. A list of the names and last known addresses of the entitled owners of this abandoned property is maintained by the office in accordance with Section 1401 of the Abandoned Property Law. Interested parties may inquire if they appear on the Abandoned Property Listing by contacting the Office of Unclaimed Funds, Monday through Friday from 8:00 a.m. to 4:30 p.m., at:

1-800-221-9311  
or visit our web site at:  
[www.osc.state.ny.us](http://www.osc.state.ny.us)

Claims for abandoned property must be filed with the New York State Comptroller's Office of Unclaimed Funds as provided in Section 1406 of the Abandoned Property Law. For further information contact: Office of the State Comptroller, Office of Unclaimed Funds, 110 State St., Albany, NY 12236.

## **NOTICE OF ANNULMENT OF DISSOLUTION OF CERTAIN BUSINESS CORPORATIONS**

Under the Provisions of Section 203-a of the Tax Law, As Amended  
The Secretary of State hereby provides notice that the following corporations, which were duly dissolved in the manner prescribed by Section 203-a of the Tax Law, have complied with the provisions of subdivision (7) of Section 203-a of the Tax Law, annulling all of the proceedings theretofore taken for the dissolution of each such corporation. The appropriate entries have been made on the records of the Department of State.

### **COUNTY: BRONX**

ENTITY NAME: BAILEY REALTY ENTERPRISES CORP.  
REINSTATE: 08/21/20  
DIS BY PROC: 01/27/10

ENTITY NAME: BRONX PEOPLE'S CHOICE FURNITURE INC.  
REINSTATE: 08/19/20  
DIS BY PROC: 08/31/16

ENTITY NAME: METRO STAR I CORP.  
REINSTATE: 08/06/20  
DIS BY PROC: 06/29/16

ENTITY NAME: PEDRO REALTY CORP.  
REINSTATE: 09/15/20  
DIS BY PROC: 01/25/12

ENTITY NAME: PIYAWAN THAI KITCHEN CORP  
REINSTATE: 07/01/20  
DIS BY PROC: 08/31/16

### **COUNTY: DUTCHESS**

ENTITY NAME: CG RACING, INC.  
REINSTATE: 09/11/20  
DIS BY PROC: 10/26/11

ENTITY NAME: MARSHALL PROPERTIES FISHKILL, INC.  
REINSTATE: 07/01/20  
DIS BY PROC: 06/29/16

### **COUNTY: KINGS**

ENTITY NAME: CLL HOLDING CORP.  
REINSTATE: 09/25/20  
DIS BY PROC: 10/28/09

ENTITY NAME: DAS REALTY & MANAGEMENT INC.  
REINSTATE: 08/17/20  
DIS BY PROC: 10/26/11

ENTITY NAME: EPIC AIR CONDITIONING INC.  
REINSTATE: 08/17/20  
DIS BY PROC: 10/26/16

ENTITY NAME: FAYETTENAM RECORDS CORP.  
REINSTATE: 09/02/20  
DIS BY PROC: 10/26/16

ENTITY NAME: FLATBUSH SOUL FOOD INC.  
REINSTATE: 09/17/20  
DIS BY PROC: 10/26/16

ENTITY NAME: FROMRUSSIA.COM, INC.  
REINSTATE: 07/30/20  
DIS BY PROC: 06/29/16

ENTITY NAME: G & M MOVING AND STORAGE CORP.  
REINSTATE: 07/13/20  
DIS BY PROC: 10/26/16

ENTITY NAME: GLOBAL CLASS IMAGING, INC.  
REINSTATE: 09/22/20  
DIS BY PROC: 06/29/16

ENTITY NAME: KAREEM TAYLOR COMMUNICATIONS, INC.  
REINSTATE: 07/15/20  
DIS BY PROC: 10/26/16

ENTITY NAME: LTZ TRUCKING CORP.  
REINSTATE: 09/24/20  
DIS BY PROC: 04/25/12

ENTITY NAME: NEC & AB COLLISION INC.  
REINSTATE: 07/22/20  
DIS BY PROC: 08/31/16



ENTITY NAME: PROSPECT-CAFE & RESTAURANT CORP.  
REINSTATE: 09/04/20  
DIS BY PROC: 08/31/16

ENTITY NAME: SLAVA CONSTRUCTION, INC.  
REINSTATE: 08/11/20  
DIS BY PROC: 04/25/12

ENTITY NAME: 1251 CONEY ISLAND AVE. REALTY CORP.  
REINSTATE: 09/29/20  
DIS BY PROC: 12/27/00

ENTITY NAME: 180 OLD NASSAU REALTY CORP.  
REINSTATE: 07/08/20  
DIS BY PROC: 10/28/09

ENTITY NAME: 313 CENTRAL AVE. CORP.  
REINSTATE: 09/29/20  
DIS BY PROC: 08/31/16

ENTITY NAME: 761 3RD AVE. LIQUOR STORE, LTD.  
REINSTATE: 08/28/20  
DIS BY PROC: 07/28/10

**COUNTY: MONROE**

ENTITY NAME: ARNOLD PARK ENTERPRISES, INC.  
REINSTATE: 07/22/20  
DIS BY PROC: 07/27/11

ENTITY NAME: ECOVIS INC.  
REINSTATE: 09/03/20  
DIS BY PROC: 06/29/16

**COUNTY: NASSAU**

ENTITY NAME: ACCURATE ELECTRIC CONSULTING INC.  
REINSTATE: 09/21/20  
DIS BY PROC: 10/26/11

ENTITY NAME: DRIVEN MEDIA INC.  
REINSTATE: 09/09/20  
DIS BY PROC: 10/26/16

ENTITY NAME: ED VILARDI ELECTRIC CORP.  
REINSTATE: 09/15/20  
DIS BY PROC: 01/26/11

ENTITY NAME: HOOK & LADDER ARTISAN INC.  
REINSTATE: 09/03/20  
DIS BY PROC: 10/26/16

ENTITY NAME: I & G LIMOUSINE CORP.  
REINSTATE: 09/23/20  
DIS BY PROC: 08/31/16

ENTITY NAME: MISTER PROMOTION, INC.  
REINSTATE: 07/03/20  
DIS BY PROC: 08/31/16

ENTITY NAME: NEW BLISS NAIL INC.  
REINSTATE: 07/23/20  
DIS BY PROC: 01/25/12

ENTITY NAME: SPERO LIGHTING EAST, INC.  
REINSTATE: 09/03/20  
DIS BY PROC: 09/23/98

ENTITY NAME: STUDIO TEN 31 INC.  
REINSTATE: 09/30/20  
DIS BY PROC: 10/26/16

ENTITY NAME: SURYA EXECUTIVE TRANSPORTATION INC  
REINSTATE: 07/09/20  
DIS BY PROC: 10/26/16

ENTITY NAME: THE NSS GROUP CORPORATION  
REINSTATE: 07/17/20  
DIS BY PROC: 10/26/16

ENTITY NAME: VALENCIA'S PUMPING IRON HEALTH CLUB, INC.  
REINSTATE: 07/15/20  
DIS BY PROC: 01/25/12

ENTITY NAME: YEMENICORNERSTORE.COM CORP  
REINSTATE: 07/01/20  
DIS BY PROC: 08/31/16

ENTITY NAME: 369 LEX MANAGER CORP.  
REINSTATE: 08/03/20  
DIS BY PROC: 01/25/12

**COUNTY: NEW YORK**

ENTITY NAME: ALTINO MUSIC, INC.  
REINSTATE: 09/15/20  
DIS BY PROC: 01/27/10

ENTITY NAME: ARETE BUILDING SERVICES CORPORATION  
REINSTATE: 08/12/20  
DIS BY PROC: 06/29/16

ENTITY NAME: BUNNY DELI INC.  
REINSTATE: 07/15/20  
DIS BY PROC: 07/27/11

ENTITY NAME: FOOD STORY INC.  
REINSTATE: 09/10/20  
DIS BY PROC: 08/31/16

ENTITY NAME: FRE INC.  
REINSTATE: 07/21/20  
DIS BY PROC: 06/29/16

ENTITY NAME: FREELAND LIQUOR, INC.  
REINSTATE: 07/13/20  
DIS BY PROC: 10/26/11

ENTITY NAME: GANDIA BLASCO USA, INC.  
REINSTATE: 09/30/20  
DIS BY PROC: 10/26/16

ENTITY NAME: GRAHAM COURT OWNERS CORP.  
REINSTATE: 09/14/20  
DIS BY PROC: 10/26/16

ENTITY NAME: HURAN CORP.  
REINSTATE: 09/15/20  
DIS BY PROC: 01/25/12

ENTITY NAME: LB GRAPH-X & PRINTING, INC.  
REINSTATE: 08/07/20  
DIS BY PROC: 06/29/16

ENTITY NAME: NOTTING HILL MUSIC INC.  
REINSTATE: 07/07/20  
DIS BY PROC: 07/28/10

ENTITY NAME: PREIT, INC.  
REINSTATE: 09/18/20  
DIS BY PROC: 01/25/12

ENTITY NAME: RICO JEANS INC.  
REINSTATE: 09/29/20  
DIS BY PROC: 06/29/16

ENTITY NAME: SMITHFIELD/MAGNOLIA, INC.  
REINSTATE: 09/16/20  
DIS BY PROC: 07/27/11

ENTITY NAME: 1600 BROADWAY PH6A CORP.  
REINSTATE: 07/14/20  
DIS BY PROC: 10/26/16

ENTITY NAME: 463 W. 57 ST. OWNERSHIP CORP.  
REINSTATE: 09/09/20  
DIS BY PROC: 01/25/12

**COUNTY: OSWEGO**

ENTITY NAME: ONTARIO REALTY, INCORPORATED  
REINSTATE: 07/28/20  
DIS BY PROC: 10/26/16

**COUNTY: QUEENS**

ENTITY NAME: A & J ACERO CONSTRUCTION INC.  
REINSTATE: 07/01/20  
DIS BY PROC: 06/29/16

ENTITY NAME: DFP MECHANICAL INC.  
REINSTATE: 07/08/20  
DIS BY PROC: 06/29/16

ENTITY NAME: GOBLIN MUSIC INC.  
REINSTATE: 08/04/20  
DIS BY PROC: 08/31/16

ENTITY NAME: HECTOR A. MARICHAL, P.C.  
REINSTATE: 08/03/20  
DIS BY PROC: 07/29/09

ENTITY NAME: JEEWANI INC.  
REINSTATE: 07/08/20  
DIS BY PROC: 07/27/11

ENTITY NAME: JOPP/N.Y. CORP.  
REINSTATE: 08/19/20  
DIS BY PROC: 07/29/09

ENTITY NAME: MATIACHUCK IMPORTS & EXPORTS INC.  
REINSTATE: 07/17/20  
DIS BY PROC: 06/29/16

ENTITY NAME: MAX 99 CENTS & UP INC.  
REINSTATE: 09/04/20  
DIS BY PROC: 10/26/16

ENTITY NAME: NIAFA INC.  
REINSTATE: 09/08/20  
DIS BY PROC: 08/31/16

ENTITY NAME: NORTH FLUSHING POOL CLUB, INC.  
REINSTATE: 08/21/20  
DIS BY PROC: 07/27/11

ENTITY NAME: OMICS DATA SERVICES, INC.  
REINSTATE: 09/21/20  
DIS BY PROC: 10/26/16

ENTITY NAME: QUICKSAVE DELI GROCERY CORP.  
REINSTATE: 09/22/20  
DIS BY PROC: 10/26/16

**COUNTY: ROCKLAND**

ENTITY NAME: C&D REALTY INC.  
REINSTATE: 09/03/20  
DIS BY PROC: 06/29/16

ENTITY NAME: CONGERS SPORT & BIKE, INC.  
REINSTATE: 07/28/20  
DIS BY PROC: 01/26/11

ENTITY NAME: DUNS ENTERPRISES, INC.  
REINSTATE: 07/22/20  
DIS BY PROC: 01/27/10

ENTITY NAME: WILD EQUITIES INC.  
REINSTATE: 08/28/20  
DIS BY PROC: 04/29/09

**COUNTY: SCHENECTADY**

ENTITY NAME: SCHENECTADY BOOKSTORE, INC.  
REINSTATE: 08/14/20  
DIS BY PROC: 04/29/09

**COUNTY: SUFFOLK**

ENTITY NAME: A.C.T. BAKING CORP.  
REINSTATE: 07/20/20  
DIS BY PROC: 06/29/16

ENTITY NAME: ACCOUNTANT ON THE GO INC.  
REINSTATE: 09/03/20  
DIS BY PROC: 07/29/09

ENTITY NAME: COSTUME AMERICA, INC.  
REINSTATE: 08/11/20  
DIS BY PROC: 06/29/16

ENTITY NAME: E.F. IRONWORKS & CONSTRUCTION CORP.  
REINSTATE: 09/23/20  
DIS BY PROC: 09/29/04

ENTITY NAME: ENGINETECH, INC.  
REINSTATE: 07/16/20  
DIS BY PROC: 10/26/11

ENTITY NAME: G. VIK & SON CONSTRUCTION CORPORATION  
REINSTATE: 09/18/20  
DIS BY PROC: 12/24/02

ENTITY NAME: GILES INK CORP.  
REINSTATE: 09/09/20  
DIS BY PROC: 08/31/16

ENTITY NAME: LMS CARRIERS CORP.  
REINSTATE: 09/29/20  
DIS BY PROC: 04/27/11

ENTITY NAME: MS. KARON ENTERPRISES INC.  
REINSTATE: 07/22/20  
DIS BY PROC: 06/29/16

**COUNTY: WESTCHESTER**

ENTITY NAME: ADVANCED OT INNOVATIONS P.C.  
 REINSTATE: 08/10/20  
 DIS BY PROC: 06/29/16

ENTITY NAME: LANDRIDGE PROPERTIES, INC.  
 REINSTATE: 07/17/20  
 DIS BY PROC: 08/31/16

ENTITY NAME: MAPLE FIELDS SEWAGE WORKS CORPORATION  
 REINSTATE: 07/01/20  
 DIS BY PROC: 10/26/16

ENTITY NAME: PLATES & LICENSES, INC.  
 REINSTATE: 09/16/20  
 DIS BY PROC: 07/29/09

ENTITY NAME: R & J FOOD CORP.  
 REINSTATE: 09/15/20  
 DIS BY PROC: 06/29/16

ENTITY NAME: STEPHEN E. HIRSCHBERG, M.D., AND  
 GADDY A. HAYMOV, M.D., P.C.  
 REINSTATE: 08/04/20  
 DIS BY PROC: 01/25/12

ENTITY NAME: WESTCHESTER WINDOW CORP.  
 REINSTATE: 09/18/20  
 DIS BY PROC: 06/29/16

**COUNTY: YATES**

ENTITY NAME: JJHART DEVELOPMENT, CORP.  
 REINSTATE: 09/11/20  
 DIS BY PROC: 10/26/11

**NOTICE OF ERRONEOUS INCLUSION  
 IN DISSOLUTION BY PROCLAMATION OF  
 CERTAIN BUSINESS CORPORATIONS**

Under the Provisions of Section 203-a of the Tax Law, As Amended

The Secretary of State hereby provides notice that the following corporations were erroneously included in proclamations declaring certain business corporations dissolved. The State Tax Commission has duly certified to the Secretary of State that the names of these corporations were erroneously included in such proclamations. The appropriate entries have been made on the records of the Department of State.

**COUNTY: BRONX**

ENTITY NAME: ACCESS ACCOUNTING SYSTEMS INC.  
 REINSTATE: 09/01/20  
 DIS BY PROC: 06/29/16

ENTITY NAME: DE LEON REFRIGERATION CONSTRUCTION  
 & ELECTRICAL SVCS CORP.  
 REINSTATE: 09/25/20  
 DIS BY PROC: 10/26/16

ENTITY NAME: 163 PROGESO HARDWARE INC.  
 REINSTATE: 08/21/20  
 DIS BY PROC: 10/26/16

**COUNTY: DUTCHESS**

ENTITY NAME: GREEN VALLEY MAINTANCE INC.  
 REINSTATE: 08/31/20  
 DIS BY PROC: 10/28/09

**COUNTY: KINGS**

ENTITY NAME: CUDDLEBEE INC.  
 REINSTATE: 08/05/20  
 DIS BY PROC: 08/31/16

ENTITY NAME: DELAHAYES HELM INC.  
 REINSTATE: 09/21/20  
 DIS BY PROC: 10/26/16

ENTITY NAME: INTERCONTINENTAL INT'L, LTD.  
 REINSTATE: 07/07/20  
 DIS BY PROC: 10/26/16

ENTITY NAME: STELLA MARIS BAIT & TACKLE INC.  
 REINSTATE: 08/25/20  
 DIS BY PROC: 08/31/16

**COUNTY: NEW YORK**

ENTITY NAME: EMMSON INTERNATIONAL INC.  
 REINSTATE: 08/18/20  
 DIS BY PROC: 08/31/16

ENTITY NAME: FS ENTERPRISES NY INC  
 REINSTATE: 09/25/20  
 DIS BY PROC: 08/31/16

ENTITY NAME: MARGARITA'S STYLE BEAUTY SALON, INC.  
 REINSTATE: 07/07/20  
 DIS BY PROC: 08/31/16

ENTITY NAME: RHINO CITRUS, INC.  
 REINSTATE: 09/01/20  
 DIS BY PROC: 01/27/10

ENTITY NAME: TELL ALL YOUR FRIENDS, INC.  
 REINSTATE: 07/08/20  
 DIS BY PROC: 08/31/16

**COUNTY: ONONDAGA**

ENTITY NAME: SITECH NORTHEAST, INC.  
 REINSTATE: 07/09/20  
 DIS BY PROC: 08/31/16

**COUNTY: QUEENS**

ENTITY NAME: C.O.B. CARPET CLEANERS, INC.  
 REINSTATE: 09/09/20  
 DIS BY PROC: 06/27/01

ENTITY NAME: MICHELANGELO REALTY INC.  
 REINSTATE: 09/01/20  
 DIS BY PROC: 01/26/11

**COUNTY: SUFFOLK**

ENTITY NAME: TECSELL CONSULTING, INC.  
 REINSTATE: 07/27/20  
 DIS BY PROC: 10/26/11

ENTITY NAME: TRM CUSTOM BUILDERS CORP.  
 REINSTATE: 08/07/20  
 DIS BY PROC: 10/26/16

**NOTICE OF ERRONEOUS INCLUSION  
 IN ANNULMENT OF AUTHORITY OF  
 CERTAIN FOREIGN CORPORATIONS**

Under the Provisions of Section 203-b of the Tax Law, As Amended

The Secretary of State hereby provides notice that the following foreign corporations were erroneously included in proclamations declaring their authority to do business in this state annulled. The State Tax Commission has duly certified to the Secretary of State that the names of the following foreign corporations were erroneously included in such proclamations. The appropriate entries have been made on the records of the Department of State.

**COUNTY: BRONX**

ENTITY NAME: J P RESTORATION CORP.  
JURIS: NEW JERSEY  
REINSTATE: 07/09/20  
ANNUL OF AUTH: 10/26/11

**COUNTY: NEW YORK**

ENTITY NAME: JINTI, INC.  
JURIS: DELAWARE  
REINSTATE: 09/18/20  
ANNUL OF AUTH: 06/29/16

**NOTICE OF CANCELLATION  
OF ANNULMENT OF AUTHORITY OF  
CERTAIN FOREIGN CORPORATIONS**

Under the Provisions of Section 203-b of the Tax Law, As Amended

The Secretary of State hereby provides notice that the following foreign corporations, which had their authority to do business in this state annulled in the manner prescribed by Section 203-b of the Tax Law, have complied with the provisions of subdivision (7) of Section 203-b of the Tax Law, annulling all of the proceedings theretofore taken for the annulment of authority of each such corporation. The appropriate entries have been made on the records of the Department of State.

**COUNTY: ALBANY**

ENTITY NAME: NBIS CONSTRUCTION & TRANSPORT INSURANCE SERVICES, INC.  
FICT NAME: NBIS CONSTRUCTION & TRANSPORT UNDERWRITERS SERVICES  
JURIS: DELAWARE  
REINSTATE: 09/28/20 ANNUL OF AUTH: 07/27/11

**COUNTY: NEW YORK**

ENTITY NAME: DEQUE SYSTEMS INC.  
JURIS: VIRGINIA  
REINSTATE: 08/10/20  
ANNUL OF AUTH: 07/28/10

ENTITY NAME: GEORGIA SURETY COMPANY, INC.  
FICT NAME: GEORGIA SURETY AGENCY  
JURIS: GEORGIA  
REINSTATE: 09/18/20  
ANNUL OF AUTH: 10/26/16

ENTITY NAME: GUCCI GROUP WATCHES, INC.  
JURIS: DELAWARE  
REINSTATE: 09/14/20  
ANNUL OF AUTH: 01/26/11

ENTITY NAME: LINCARE PHARMACY SERVICES INC.  
JURIS: DELAWARE  
REINSTATE: 07/17/20  
ANNUL OF AUTH: 01/26/11

ENTITY NAME: MESLEE INSURANCE SERVICES, INC.  
JURIS: CALIFORNIA  
REINSTATE: 08/12/20  
ANNUL OF AUTH: 10/26/16

ENTITY NAME: OUTDOOR UNDERWRITERS, INC.  
FICT NAME: OUTDOOR UNDERWRITERS AGENCY  
JURIS: GEORGIA  
REINSTATE: 09/03/20  
ANNUL OF AUTH: 10/26/16

ENTITY NAME: SDL INC.  
FICT NAME: SDL ENTERPRISE TECHNOLOGIES  
JURIS: DELAWARE  
REINSTATE: 08/13/20  
ANNUL OF AUTH: 10/26/16

**COUNTY: ORANGE**

ENTITY NAME: HANSON & RYAN, INC.  
JURIS: NEW JERSEY  
REINSTATE: 09/04/20  
ANNUL OF AUTH: 06/27/01

**COUNTY: RICHMOND**

ENTITY NAME: CONTRACT HARDWARE, INC.  
JURIS: GEORGIA  
REINSTATE: 08/31/20  
ANNUL OF AUTH: 10/26/16

**COUNTY: SUFFOLK**

ENTITY NAME: ALL POINTS BROKERAGE, INC.  
JURIS: NEVADA  
REINSTATE: 08/27/20  
ANNUL OF AUTH: 10/26/16

**PUBLIC NOTICE**

## Department of Civil Service

PURSUANT to the Open Meetings Law, the New York State Civil Service Commission hereby gives public notice of the following:

Please take notice that the regular monthly meeting of the State Civil Service Commission for November 2020 will be conducted on November 18 and November 19 commencing at 10:00 a.m. This meeting will be conducted at NYS Media Services Center, Suite 146, South Concourse, Empire State Plaza, Albany, NY with live coverage available at <https://www.cs.ny.gov/commission/>

*For further information, contact:* Office of Commission Operations, Department of Civil Service, Empire State Plaza, Agency Bldg. One, Albany, NY 12239, (518) 473-6598

**PUBLIC NOTICE**

## Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan for long term care services. The following changes are proposed:

## Long-Term Care Services

Effective on or after November 1, 2020, this amendment provides for a supplemental payment to nursing home facilities for full restoration of the alternative methods of cost containment associated with the across the board two percent annual uniform reduction of Medicaid payments.

The estimated annual increase in gross Medicaid expenditures attributable to this initiative contained in the budget for State Fiscal Year 2020/2021 is \$70 million.



The public is invited to review and comment on this proposed State Plan Amendment, a copy of which will be available for public review on the Department's website at [http://www.health.ny.gov/regulations/state\\_plans/status](http://www.health.ny.gov/regulations/state_plans/status). Individuals without Internet access may view the State Plan Amendments at any local (county) social services district.

For the New York City district, copies will be available at the following places:

New York County  
250 Church Street  
New York, New York 10018

Queens County, Queens Center  
3220 Northern Boulevard  
Long Island City, New York 11101

Kings County, Fulton Center  
114 Willoughby Street  
Brooklyn, New York 11201

Bronx County, Tremont Center  
1916 Monterey Avenue  
Bronx, New York 10457

Richmond County, Richmond Center  
95 Central Avenue, St. George  
Staten Island, New York 10301

*For further information and to review and comment, please contact:*  
Department of Health, Division of Finance and Rate Setting, 99 Washington Ave., One Commerce Plaza, Suite 1432, Albany, NY 12210, [spa\\_inquiries@health.ny.gov](mailto:spa_inquiries@health.ny.gov)

## PUBLIC NOTICE

### Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan for non-institutional services to comply with Title 14 NYCRR Parts 822 and 841 and 42 CFR 440.130(d). The following changes are proposed:

#### Non-Institutional Services

The COVID emergency SPA covering the NYS Office of Addiction Services and Supports (OASAS) Opioid Treatment Programs (OTPs) ends on January 21, 2021. That SPA permitted billing weekly OTP (Opioid Treatment Programs) bundles under a methodology similar to that of Medicare. Effective on or after January 1, 2021, OASAS proposed to establish those bundled rates as a permanent alternative to the OTP Ambulatory Patient Group (APG) methodology. Each week, for any given patient, the provider must choose to bill under either the APG methodology or the bundled weekly rates, generally based on the amount of face-to-face contact with the patient during that week and the specific services provided.

There is no additional estimated annual change to gross Medicaid expenditures as a result of this proposed amendment.

The public is invited to review and comment on this proposed State Plan Amendment, a copy of which will be available for public review on the Department's website at [http://www.health.ny.gov/regulations/state\\_plans/status](http://www.health.ny.gov/regulations/state_plans/status). Individuals without Internet access may view the State Plan Amendments at any local (county) social services district.

For the New York City district, copies will be available at the following places:

New York County  
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New York, New York 10018  
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Long Island City, New York 11101

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114 Willoughby Street  
Brooklyn, New York 11201

Bronx County, Tremont Center  
1916 Monterey Avenue  
Bronx, New York 10457

Richmond County, Richmond Center  
95 Central Avenue, St. George  
Staten Island, New York 10301

*For further information and to review and comment, please contact:*  
Department of Health, Division of Finance and Rate Setting, 99 Washington Ave., One Commerce Plaza, Suite 1432, Albany, NY 12210, [spa\\_inquiries@health.ny.gov](mailto:spa_inquiries@health.ny.gov)

## PUBLIC NOTICE

### Town of North Hempstead Solid Waste Management Authority

Pursuant to Section 120-w of the New York General Municipal Law, the Town of North Hempstead Solid Waste Management Authority hereby gives notice of the following:

On October 8, 2020, the Town of North Hempstead Solid Waste Management Authority awarded a contract to Covanta Sustainable Solutions LLC pursuant to section one hundred twenty-w of the General Municipal Law for the transportation and disposal of solid waste from the North Hempstead Transfer Station, Port Washington, New York. The validity of this contract or the procedures which led to its award may be hereafter contested only by action, suit or proceeding commenced within sixty days after the date of this notice and only upon the ground or grounds that: (1) such award or procedure was not authorized pursuant to that section, or (2) any of the provisions of that section which should be complied with at the date of this publication have not been substantially complied with, or (3) a conflict of interest can be shown in the manner in which the contract was awarded; or by action, suit or proceeding commenced on the grounds that such contract was awarded in violation of the provisions of the Constitution.

## PUBLIC NOTICE

### Office of Parks, Recreation and Historic Preservation

Pursuant to section 14.07 of the Parks, Recreation and Historic Preservation Law, the Office of Parks, Recreation and Historic Preservation hereby gives notice of the following:

In accordance with subdivision (c) of section 427.4 of title 9 NYCRR notice is hereby given that the New York State Board for Historic Preservation will be considering nomination proposals for listing of properties in the State and National Register of Historic Places at a meeting to be held on Thursday, December 3rd, 2020 at Peebles Island State Park, 1 Delaware Avenue, Cohoes, NY 12047.

The following properties will be considered:

1. Main Street Historic District, Niagara Falls, Niagara County
2. Nassau County Courthouse, Mineola, Nassau County
3. Eagle's Nest, William K. Vanderbilt II Estate Boundary Expansion, Centerport, Suffolk County
4. Brockport West Side Historic District, Brockport, Monroe County
5. St. Stephen's Chapel, Morris, Otsego County
6. Sperry Rand, Ilion, Herkimer County
7. A.M.E. Zion Church of Kingston, Ulster County
8. New York Central & Hudson River Railroad Power Station, Westchester County
9. Wethersfield, Dutchess County
10. Harder Mill, Rensselaer, Rensselaer County

11. Cossackie Village Cemetery, Cossackie, Greene County
12. Malone Downtown Historic District, Malone, Franklin County
13. Tahawus Masonic Lodge, Au Sable Forks, Essex County
14. Rowhouses at 854-858 West End Avenue and 254 West 102nd Street, New York County
15. The Church of the Heavenly Rest and the Chapel of the Beloved Disciple, New York County
16. Nichols Park, Spencer, Tioga County

To be considered by the board, comments may be submitted to Daniel Mackay, Deputy Commissioner for Historic Preservation and Deputy State Historic Preservation Officer, Division for Historic Preservation, Peebles Island, P.O. Box 189, Waterford, New York 12188-0189, no later than Wednesday, December 2nd or may be submitted in person at the meeting by contacting Daniel Mackay at the same address no later than December 2nd.

*For further information, contact:* Daniel Mackay, Deputy Commissioner for Historic Preservation and Deputy State Historic Preservation Officer, Division for Historic Preservation, Peebles Island, P.O. Box 189, Waterford, NY 12188-0189, (518) 268-2171

## PUBLIC NOTICE

Department of State  
 Notice of Review of Request for  
 Brownfield Opportunity Area  
 Conformance Determination  
 2424 Hamburg Turnpike Site Redevelopment  
 Located in the City of Lackawanna  
 First Ward Brownfield Opportunity Area  
 in City of Lackawanna

In accordance with General Municipal Law, Article 18 - C, Section 970-r, the Secretary of State designated the City of Lackawanna First Ward Brownfield Opportunity Area, in the City of Lackawanna, on June 25, 2019. The designation of the City of Lackawanna First Ward Brownfield Opportunity Area was supported by a Nomination or a comprehensive planning tool that identifies strategies to revitalize the area which is affected by one or more known or suspected brownfield sites.

Pursuant to New York State Tax Law, Article 1, Section 21, the eligible taxpayer(s) of a project site located in a designated Brownfield Opportunity Area may apply for an increase in the allowable tangible property tax credit component of the brownfield redevelopment tax credit if the Secretary of State determines that the project conforms to the goals and priorities established in the Nomination for a designated Brownfield Opportunity Area.

On November 19, 2019, 2424 Hamburg Turnpike, LLC submitted a request for the Secretary of State to determine whether the 2424 Hamburg Turnpike Site Redevelopment project, which will be located within the designated City of Lackawanna First Ward Brownfield Opportunity Area, conforms to the goals and priorities identified in the Nomination document.

The public is provided with the opportunity to review and comment on the request for conformance. For this purpose, the full application for a conformance determination is available online at: [https://www.dos.ny.gov/opd/programs/pdfs/BOA/BOA Program Determination of Conformance Application \(C915296\).pdf](https://www.dos.ny.gov/opd/programs/pdfs/BOA/BOA Program Determination of Conformance Application (C915296).pdf)

*Comments should be submitted by November 30, 2020 to:* Christopher Bauer, Department of State, Office of Planning and Development, 65 Court St., Suite 208, Buffalo, NY 14202, or by email to: [chris.bauer@dos.ny.gov](mailto:chris.bauer@dos.ny.gov)

## PUBLIC NOTICE

Department of State  
 Notice of Review of Request for  
 Brownfield Opportunity Area  
 Conformance Determination  
 2424 Hamburg Turnpike Site Redevelopment  
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In accordance with General Municipal Law, Article 18 - C, Section 970-r, the Secretary of State designated the City of Lackawanna First Ward Brownfield Opportunity Area, in the City of Lackawanna, on June 25, 2019. The designation of the City of Lackawanna First Ward Brownfield Opportunity Area was supported by a Nomination or a comprehensive planning tool that identifies strategies to revitalize the area which is affected by one or more known or suspected brownfield sites.

Pursuant to New York State Tax Law, Article 1, Section 21, the eligible taxpayer(s) of a project site located in a designated Brownfield Opportunity Area may apply for an increase in the allowable tangible property tax credit component of the brownfield redevelopment tax credit if the Secretary of State determines that the project conforms to the goals and priorities established in the Nomination for a designated Brownfield Opportunity Area.

On October 11, 2019, Time Release Properties, LLC submitted a request for the Secretary of State to determine whether the Construction of Manufacturing Facility for Time Release Properties, LLC project, which will be located within the designated City of Lackawanna First Ward Brownfield Opportunity Area, conforms to the goals and priorities identified in the Nomination document.

The public is provided with the opportunity to review and comment on the request for conformance. For this purpose, the full application for a conformance determination is available online at:

[https://www.dos.ny.gov/opd/programs/pdfs/BOA/2019-10-11; TRP LLC Executed BOA Application and Attachments \(002\).pdf](https://www.dos.ny.gov/opd/programs/pdfs/BOA/2019-10-11; TRP LLC Executed BOA Application and Attachments (002).pdf)

*Comments should be submitted by November 30, 2020 to:* Christopher Bauer, Department of State, Office of Planning and Development, 65 Court St., Suite 208, Buffalo, NY 14202, or by email to: [chris.bauer@dos.ny.gov](mailto:chris.bauer@dos.ny.gov)

## PUBLIC NOTICE

Department of State  
 Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2020-0469 Matter of Hugh W. Schaefer, 174 W Merrick Road, Merrick, NY 11566, for a variance concerning safety requirements, including the ceiling height and the height under a girder/soffit. Involved is an existing one-family dwelling located at 17 Wellington Street, Village of Hempstead, NY 11550 County of Nassau, State of New York.





# COURT NOTICES

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## AMENDMENT OF RULE

### Rules of Practice for the Commercial Division

Pursuant to the authority vested in me, and with the advice and consent of the Administrative Board of the Courts, I hereby amend Rule 6 of section 202.70(g) of the Uniform Rules for the Supreme and County Courts (Rules of Practice for the Commercial Division), effective November 16, 2020, to read as follows:

#### Rule 6. Form of Papers.

(a) All papers submitted to the Commercial Division shall [comply] *not be inconsistent* with CPLR 2101 and section 202.5(a). Papers shall be double-spaced and contain print no smaller than twelve-point, or 8½ x 11 inch paper, bearing margins no smaller than one inch. *Unless otherwise directed by the Court or provided in the Court's individual rules, all text in briefs and affidavits, including footnotes, shall use proportionally spaced 12-point serif typeface* The print size of footnotes shall be no smaller than ten-point. Papers also shall comply with Part 130 of the Rules of the Chief Administrator. Each electronically-submitted memorandum of law and, where appropriate, affidavit and affirmation shall include bookmarks providing a listing of the document's contents and facilitating easy navigation by the reader within the document.

(b) *For purposes of this Rule, a hyperlink means an electronic link between one document and another, and a bookmark means an electronic link permitting navigation among different parts of a single document. Material made accessible by hyperlinking does not thereby become part of the record, and citations to authorities shall appear in standard citation form, even if also hyperlinked.*

(c) *Each electronically submitted memorandum of law or other document that cites to another document previously filed with NYSCEF shall include a hyperlink to the NYSCEF docket entry for the cited document enabling access to the cited document through the hyperlink. Hyperlinks may not provide access to documents filed under seal or otherwise not in the public record. Cited documents filed with NYSCEF that are accessible through bookmarks in the electronically submitted document need not also be hyperlinked.*

(1) *The Court may require that electronically submitted memoranda of law include hyperlinks to cited court decisions, statutes, rules, regulations, treatises, and other legal authorities in either legal research databases to which the Court has access or in state or federal government websites. If the Court does not require such hyperlinking, parties are nonetheless encouraged to hyperlink such citations unless otherwise directed by the Court.*

(2) *If a party certifies in good faith that it cannot include hyperlinks as required by this Rule or the Court without undue burden, due to limitations in its office technology or other showing of good cause, the Court may excuse the party from any otherwise applicable hyperlinking requirement.*



# FINANCIAL REPORTS

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**Department of  
Taxation and Finance**

## **Depositories for the Funds of the State of New York**

**Month End: September 30, 2020**

Prepared by the Division of the Treasury  
Investments, Cash Management and Accounting Operations

A handwritten signature in black ink, appearing to read "M R Schmidt".

Michael R Schmidt  
Commissioner

ACCOUNT DESCRIPTION	DEPOSITORY	BALANCE AS OF 09/30/2020
<b>ACCOUNTS HELD IN JOINT CUSTODY BY THE COMMISSIONER OF TAXATION FINANCE AND THE NEW YORK STATE COMPTROLLER</b>		
Unemployment Insurance Funding Account	Key Bank	10,195,866.58
Occupational Training Act Funding Account	Key Bank	2,737.50
Unemployment Insurance Exchange Account	Key Bank	0.00
PIT Special Refund Account	JPMorgan Chase Bank, N.A.	(221,477,649.01)
General Checking	Key Bank	(1,100,766,325.64)
Direct Deposit Account	Wells Fargo	55,512.44
<b>TOTAL</b>		<b>(240,815,216.87)</b>
<b>01000 - EXECUTIVE CHAMBER</b>		
Executive Chamber Advance Account	Key Bank	No report received
<b>01010 - DIVISION OF BUDGET</b>		
Advance Account	Bank of America, N.A.	5,000.00
<b>01030 - DIVISION OF ALCOHOLIC BEVERAGE CONTROL</b>		
Albany		
SLA Investigations Account	Key Bank	1,741.45
<b>01050 - OFFICE OF GENERAL SERVICES</b>		
Exec Mansion Official Function	Key Bank	11,963.71
NY ISO Account	Key Bank	9,000,000.00
OGS Binghamton Office Bldg	Key Bank	48.15
SNY Office of General Services	JPMorgan Chase Bank, N.A.	537.12
State of New York OGS Escrow II	Key Bank	2,817,894.73
State of New York OGS Petty Cash	Key Bank	315,216.93
State of New York OGS Escrow	Key Bank	105,153.74
State of NY Office Of General Services	Key Bank	2,412.41
<b>01060 - DIVISION OF STATE POLICE</b>		
CNET Confidential Account	Key Bank	0.00
Div Headquarters - Petty Cash	Key Bank	1,992.75
Key Advantage Account	Key Bank	92,502.90
Manhattan Office-confidential	JPMorgan Chase Bank, N.A.	500.00
NYS Police Special Account	Key Bank	1,166,260.91
NYSP CTIU Confidential Fund	Key Bank	3,750.00
SIU Confidential Fund Account	Key Bank	7,923.81
Special Fund	Key Bank	50,107.83
State Police Receipts Account	Bank of America, N.A.	343,826.22
Troop A Batavia - Petty Cash	Bank of America, N.A.	1,000.00
Troop A Batavia-Confidential	Bank of America, N.A.	2,676.76
Troop B Confidential	Key Bank	5,303.20
Troop B Petty Cash	Community Bank	1,000.00
Troop C Confidential Fund	NBT Bank	332.57
Troop C Petty Cash	NBT Bank	1,000.00
Troop D Oneida - Confidential	Alliance Bank	2,123.06
Troop D Oneida Petty Cash	Alliance Bank	1,000.00
Troop E Canandaigua Confidential	Canandaigua National Bank	2,000.00
Troop E Petty Cash	Canandaigua National Bank	1,000.00
Troop F Confidential	JPMorgan Chase Bank, N.A.	2,500.00
Troop F Petty Cash	JPMorgan Chase Bank, N.A.	1,000.00
Troop G Loudonville Conf	Bank of America, N.A.	7,468.60
Troop G Petty Cash	Bank of America, N.A.	1,000.00
Troop K Petty Cash	Bank of Millbrook	1,000.00
Troop K Poughkeepsie-Confidential	Bank of Millbrook	3,895.87
Troop L Confidential Fund	Bank of America, N.A.	7,224.33
<b>01070 - DIVISION OF MILITARY &amp; NAVAL AFFAIRS</b>		
Advance For Travel	Key Bank	11,771.82
SNY Camp Smith Billeting Fund	JPMorgan Chase Bank, N.A.	6,368.14
<b>01077 - OFFICE OF HOMELAND SECURITY</b>		
Academy Of Fire Science	Chemung Canal Trust	172,317.21
<b>01080 - DIVISION OF HOUSING &amp; COMMUNITY RENEWAL</b>		
Albany Office Of Financial Administration		
Maximum Base Rent Fee Account	JPMorgan Chase Bank, N.A.	18,584.53
Revenue Account	JPMorgan Chase Bank, N.A.	189,500.00
<b>01090 - DIVISION OF HUMAN RIGHTS</b>		
Petty Cash Fund Account	JPMorgan Chase Bank, N.A.	No report received
<b>01150 - OFFICE OF EMPLOYEE RELATIONS</b>		
GOER Panel Administration Escrow Account	Key Bank	7,630.07
NYS Flex Spending	Key Bank	530,057.79
State of New York LMC Petty Cash Account	Key Bank	1,940.00
<b>01160 - JUSTICE CENTER FOR THE PROTECTION OF PEOPLE WITH SPECIAL NEEDS</b>		
Agency Advance Account	Key Bank	No report received
<b>01300 - ADIRONDACK PARK AGENCY</b>		

General Fund	Community Bank	2.00
Petty Cash	Community Bank	3,593.00
<b>01400 - CRIME VICTIMS COMPENSATION BOARD</b>		
Crime Victims	JPMorgan Chase Bank, N.A.	149,680.00
Emergency Award Account	M&T Bank	0.00
Emergency Claims	Key Bank	(9,301.25)
Petty Cash Account	Key Bank	1,550.00
REST/SUBROG Escrow Account	Key Bank	389.66
<b>01490 - DIVISION OF CRIMINAL JUSTICE SERVICES</b>		
Advance Account	Bank of America, N.A.	2,683.50
FBI Fee Account	Bank of America, N.A.	3,173.71
Fingerprint Fee Account	Bank of America, N.A.	2,363,521.35
<b>01530 - STATE COMMISSION OF CORRECTION</b>		
Advance Account	Bank of America, N.A.	2,000.00
<b>01540 - STATE BOARD OF ELECTIONS</b>		
Revenue Account	Key Bank	No report received
<b>01620 - OFFICE FOR PREVENTION OF DOMESTIC VIOLENCE</b>		
NYS Prevention Domestic Violence	Bank of America, N.A.	No report received
<b>02000 - OFFICE OF THE STATE COMPTROLLER</b>		
Admissions	Bank of America, N.A.	2,363.72
Advance for Travel Account	Key Bank	No report received
Alcohol Beverage	Bank of America, N.A.	62,661.78
Alcoholic Bev Control License	Wells Fargo Bank	113,919.02
Alcoholic Beverage Control License	M&T Bank	73,378.39
Assessments Bulk	JPMorgan Chase Bank, N.A.	110,170.05
Assessments Receivable	JPMorgan Chase Bank, N.A.	4,589,935.88
Assessments Receivable (EFT)	Wells Fargo Bank	417,810.09
Boxing And Wrestling Tax	Bank of America, N.A.	64,522.09
Check 21 Corporation Tax	JPMorgan Chase Bank, N.A.	354,813.27
Check 21 Estate Tax	JPMorgan Chase Bank, N.A.	17,666,230.21
Check 21 Highway Use	JPMorgan Chase Bank, N.A.	196,580.43
Check 21 PIT	JPMorgan Chase Bank, N.A.	808,447.13
Check 21 Real Estate Transfer	JPMorgan Chase Bank, N.A.	692,381.32
Check Sales Tax	JPMorgan Chase Bank, N.A.	792,575.67
Cigarette Stamp Tax	JPMorgan Chase Bank, N.A.	1,000.00
Cigarette Stamp Tax (EFT)	Wells Fargo Bank	2,772,983.25
Cigarette Stamp Tax Split	JPMorgan Chase Bank, N.A.	249,078.05
Cigarette Tax Tobacco Products	Bank of America, N.A.	124,715.87
Congestion Surcharge	Wells Fargo Bank	93,160.81
Corporation Tax	Wells Fargo Bank	155.57
Corporation Tax - Coupon Acct.	JPMorgan Chase Bank, N.A.	271,023.56
Educational Chartable Account	Wells Fargo Bank	0.00
Employer Compensation Expense	Wells Fargo Bank	9,159.52
Encon Beverage Container Deposit/Bottle Bill (EFT)	Wells Fargo Bank	206,387.26
ERS Petty Cash Acct	Key Bank	No report received
Estimated Tax	JPMorgan Chase Bank, N.A.	4,232,264.93
Gift Tax	Bank of America, N.A.	0.00
Hazardous Waste	Key Bank	1,397.20
Highway Use - Permits & Reg.	Bank of America, N.A.	24,542.48
Highway Use Truck Mileage Tax (EFT)	Wells Fargo Bank	556,351.51
Hudson River-Black River	Bank of America, N.A.	407,980.17
Hudson River-Black River	Community Bank	14,869.29
Hut/Oscar Registrations & Renewals (EFT)	Wells Fargo Bank	47,238.50
IFTA Fuel Use Tax (EFT)	Wells Fargo Bank	106,655.54
IFTA/Oscar Renewals (EFT)	Wells Fargo Bank	0.00
IFTA-Decal/Permit Fee Acct.	Bank of America, N.A.	42,929.00
IFTA-Fuel Use	Bank of America, N.A.	22,350.11
Income Tax - LLC	JPMorgan Chase Bank, N.A.	181,879.15
Justice Court	Key Bank	355,519.29
Mac #847 NYS T&F Pari Mutuel	Key Bank	970.05
Mac #848 NYS T&F Off Track	Key Bank	1,721,038.99
MCTD Medallion Taxicab Trip	JPMorgan Chase Bank, N.A.	0.00
Medallion Taxicab Trip Tax (EFT)	Wells Fargo Bank	2,103.50
Medical Marijuana Tax Collections	Bank of America, N.A.	62,266.87
Metro Commuter Trans. Mobility	JPMorgan Chase Bank, N.A.	31,546.89
Ogdensburg Bridge & Port	Community Bank	8,305.95
Opioid Excise Tax	Wells Fargo Bank	50,596.70
Personal Income Tax	Wells Fargo Bank	105,699.00
Petroleum Business Tax (EFT)	Wells Fargo Bank	302,185.18
Petroleum Products Tax	Bank of America, N.A.	3,133,590.74
Petty Cash Account	Key Bank	No report received
PIT Bulk	JPMorgan Chase Bank, N.A.	2,670.00
Port Of Oswego	Key Bank	8,281.20
Promptax - MCTMT	Wells Fargo Bank	7,246,514.45



Promptax - Petroleum Business Tax	Wells Fargo Bank	87.00
Promptax - Sales Tax/Sales Tax Prepaid Fuel	Wells Fargo Bank	771.62
Promptax - Withholding	Wells Fargo Bank	139,681,688.82
Promptax - Withholding Checks	JPMorgan Chase Bank, N.A.	79,997.30
Real Estate Transfer Tax	Key Bank	2,619.62
Revenue Holding	First Niagara Bank	2,789,000.00
Sales Tax	JPMorgan Chase Bank, N.A.	0.00
Sales Tax	Wells Fargo Bank	514,367.90
SUNY Concentration	First Niagara Bank	343,000.00
TNC Assessment (EFT)	Wells Fargo Bank	1,086.14
Troy Debt Service Reserve Fund	Bank of America, N.A.	5,560,342.22
Uncashed Winning Tickets	Bank of America, N.A.	103,373.01
Unclaimed Funds	JPMorgan Chase Bank, N.A.	124,920.92
Unclaimed Funds - 2	JPMorgan Chase Bank, N.A.	1,370.98
Withholding	Wells Fargo Bank	1,080.22
Withholding Tax	JPMorgan Chase Bank, N.A.	3,262,540.63
Cash Advance Accounts		
Advance For Travel Account	Key Bank	950.00
ERS Petty Cash Acct	Key Bank	5,100.00
Petty Cash Account	Key Bank	3,700.00
Common Retirement Fund		
Common Retirement Fund - Depository	JPMorgan Chase Bank, N.A.	(1,475.57)
NYS Common Retirement Fund	JPMorgan Chase Bank, N.A.	948.65
Employees Retirement System		
Employees Retirement System - EFT	JPMorgan Chase Bank, N.A.	13.75
Employees Retirement System - General	JPMorgan Chase Bank, N.A.	0.00
Employees Retirement System - Pension	JPMorgan Chase Bank, N.A.	0.00
Group Term Life		
Group Term Life - General	JPMorgan Chase Bank, N.A.	0.00
Municipal Assistance Corporation Accounts		
City Of Troy - MAC	JPMorgan Chase Bank, N.A.	575,384.80
Police and Fire		
Retirement Police & Firemen's - EFT	JPMorgan Chase Bank, N.A.	0.45
Retirement Police & Firemen's - General	JPMorgan Chase Bank, N.A.	0.00
Retirement Police & Firemen's - Pension	JPMorgan Chase Bank, N.A.	0.00
<b>03000 - DEPARTMENT OF LAW</b>		
Albany Filing Fees Account	Key Bank	No report received
Albany Petty Cash	Bank of America, N.A.	No report received
Albany Revenue Account	Key Bank	No report received
Assessment Account	JPMorgan Chase Bank, N.A.	No report received
Attorney General Account	Key Bank	No report received
Civil Recoveries Account	Key Bank	No report received
Dept Of Law Controlled Disb	M&T Bank	No report received
Marie Roberts	JPMorgan Chase Bank, N.A.	No report received
NYC Filing Fees Account	JPMorgan Chase Bank, N.A.	No report received
NYC Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
NYC Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Restitution Account	M&T Bank	No report received
Special Account	Key Bank	No report received
US Justice Dept - Shared Forfeiture	Key Bank	No report received
US Treas Dept - Shared Forfeiture	Key Bank	No report received
<b>03010 - OFFICE OF THE ATTORNEY GENERAL</b>		
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
<b>03020 - MEDICAID FRAUD CONTROL</b>		
dept atty gen vs john doe	Key Bank	10,269,074.24
National Global Settlement	JPMorgan Chase Bank, N.A.	1,930,730.15
NYS Department Of Law Confidential Account	JPMorgan Chase Bank, N.A.	40,000.00
NYS Department of Law Petty Cash Account	JPMorgan Chase Bank, N.A.	5,214.91
<b>04020 - NYS ASSEMBLY</b>		
Advance For Travel	Bank of America, N.A.	3,645.00
Petty Cash Account Dist Off	Bank of America, N.A.	21,233.42
Petty Cash New York City	JPMorgan Chase Bank, N.A.	722.56
Public Information Office	Key Bank	0.25
<b>04030 - ASSEMBLY WAYS &amp; MEANS COMMITTEE</b>		
Advance For Travel	Key Bank	No report received
Petty Cash	Key Bank	No report received
<b>04040 - LEGISLATIVE BILL DRAFTING COMMISSION</b>		
NY LBDC - Legislative Computer Services Fund	Key Bank	49,989.24
NYS Leg Bill Drafting Comm-Petty Cash Acct	Key Bank	2,000.00
<b>04250 - REAPPORTIONMENT</b>		
NYS Taskforce On Demo Res & Reapp	JPMorgan Chase Bank, N.A.	125.00
<b>05000 - OFFICE OF COURT ADMINISTRATION</b>		
Attorney Registration Fees - Revenue	JPMorgan Chase Bank, N.A.	650,270.00

Criminal Records Search Acct - Revenue	JPMorgan Chase Bank, N.A.	4,286,435.00
Finger Print Account	JPMorgan Chase Bank, N.A.	2,425.00
Petty Cash Acct	JPMorgan Chase Bank, N.A.	0.00
<b>05005 - OCA OFFICE OF BUDGET &amp; FINANCE</b>		
Petty Cash Account	Key Bank	2,500.00
<b>05008 - LAWYERS FUND FOR CLIENT PROTECTION</b>		
Client Security Fund - Bail	Key Bank	1,836,528.89
Lawyers Fund For Client Protection - Bail	Key Bank	702.08
Petty Cash	Key Bank	4,901.00
<b>05071 - COURT OF APPEALS</b>		
Chief Judge Advance	Key Bank	65.07
Clerk Of The Court Of Appeals	Key Bank	1,800.00
<b>05072 - STATE BOARD OF LAW EXAMINERS</b>		
State Board Of Law Examiners Fee	Key Bank	40,700.00
<b>05081 - APPELLATE DIVISION - 1ST JUDICIAL DEPARTMENT</b>		
1st Appellate Division Supreme Ct	JPMorgan Chase Bank, N.A.	44,820.00
<b>05082 - APPELLATE DIVISION - 2ND JUDICIAL DEPARTMENT</b>		
Appellate Div 2nd Dept Revenue	JPMorgan Chase Bank, N.A.	191,217.50
<b>05083 - APPELLATE DIVISION - 3RD JUDICIAL DEPARTMENT</b>		
Third Dept Civil Fees Acct - Revenue	Key Bank	22,085.00
<b>05084 - APPELLATE DIVISION - 4TH JUDICIAL DEPARTMENT</b>		
4th Dept Appellate Div Civil Fees - Revenue	JPMorgan Chase Bank, N.A.	12,776.50
<b>05090 - COURT OF CLAIMS</b>		
Court Of Claims Revenue Account	Key Bank	6,975.03
<b>05111 - 10TH JUDICIAL DISTRICT NASSAU COUNTY ADMINISTRATION</b>		
Glen Cove City Court		
Glen Cove City Court Bail	Wells Fargo Bank	3,000.59
Glen Cove City Court Revenue	Wells Fargo Bank	24,248.00
Long Beach City Court		
Long Beach City Court Revenue	Wells Fargo Bank	102,844.00
Long Beach Court Bail	Wells Fargo Bank	22,330.87
Nassau County Court		
Nassau County Assessment	Wells Fargo Bank	92,736.85
Nassau District Court - Criminal		
Nassau Dist Ct Criminal Revenue	Wells Fargo Bank	121,583.15
Nassau District Court-Civil		
Nassau County Dist Ct- Civil Revenue	Wells Fargo Bank	46,713.65
Nassau Surrogate		
Nassau County Surrogate Court-Revenue	Wells Fargo Bank	158,911.50
<b>05112 - 10TH JUDICIAL DISTRICT SUFFOLK COUNTY ADMINISTRATION</b>		
10th Judicial District Suffolk County Admin		
Suffolk County Court -Court Fund	People's United Bank	36,063.10
Suffolk County Surrogate		
Surrogate Court Of Suffolk County	People's United Bank	218,744.75
Suffolk District Court Civil Fees		
Suffolk County District Court Civil Fees	Citibank	177,832.81
Suffolk District Court Criminal Fines		
Suffolk County District Court Criminal Fines	Citibank	224,055.58
Suffolk District Court Trust Acct		
Suffolk County District Court Trust Account	Citibank	1,000.00
<b>05210 - NYC-CIVIL COURT</b>		
Bronx Civil Court - Civil		
Bronx Civil Court - Revenue	JPMorgan Chase Bank, N.A.	262,303.30
Harlem Community Justice Court		
Harlem Community Justice - Revenue Account	JPMorgan Chase Bank, N.A.	1,173.85
Kings Civil Court- Civil		
Kings Civil Court- Civil Revenue	JPMorgan Chase Bank, N.A.	408,922.40
New York Civil Court - Civil		
New York Civil Court Revenue Acct	JPMorgan Chase Bank, N.A.	208,948.00
Queens Civil Court - Civil		
Queens Civil - Revenue	JPMorgan Chase Bank, N.A.	301,516.00
Richmond Civil Court - Civil		
Richmond Civil Ct Revenue Acct	JPMorgan Chase Bank, N.A.	118,116.00
<b>05215 - NYC-CRIMINAL COURT</b>		
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Bail	JPMorgan Chase Bank, N.A.	0.00
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Revenue	JPMorgan Chase Bank, N.A.	1,198.00
Kings County Criminal Court		
Kings Criminal Court	Citibank	3,876.00
New York County Criminal Court		
New York Criminal Court	JPMorgan Chase Bank, N.A.	0.00
New York Criminal Court- State Funds	JPMorgan Chase Bank, N.A.	3,588.00
Queens County Criminal Court		

Queens Criminal Court	JPMorgan Chase Bank, N.A.	0.00
Queens Criminal Court - State Funds	JPMorgan Chase Bank, N.A.	4,938.00
Richmond County Criminal Court		
Richard Criminal Court-City Funds	JPMorgan Chase Bank, N.A.	0.00
Richmond Criminal Court-State Funds	JPMorgan Chase Bank, N.A.	3,373.00
<b>05231 - SUPREME COURT - BRONX COUNTY</b>		
Bronx County Supreme - NYS OCA	JPMorgan Chase Bank, N.A.	1,545.00
<b>05235 - SUPREME COURT - KINGS COUNTY</b>		
Kings Co Supreme		
Supreme Court Kings County-Revenue	JPMorgan Chase Bank, N.A.	5,467.48
<b>05240 - SUPREME COURT - QUEENS COUNTY</b>		
Queens Co Supreme		
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	0.00
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	18,378.00
<b>05250 - NEW YORK COUNTY CLERK</b>		
New York Co Clerk Revenue Account	JPMorgan Chase Bank, N.A.	1,836,666.25
<b>05255 - BRONX COUNTY CLERK</b>		
Bronx County Clerk		
Bronx Cnty Clerk Revenue Acct	JPMorgan Chase Bank, N.A.	301,516.00
<b>05260 - KINGS COUNTY CLERK</b>		
Kings County Clerk		
Kings County Clerk Revenue Account	Flushing Commercial Bank	1,365,204.30
<b>05265 - QUEENS COUNTY CLERK</b>		
Queens County Clerk-Revenue Acct	Sterling Bank	1,254,911.21
<b>05270 - RICHMOND COUNTY CLERK</b>		
Richmond Co Clerk State Fees Account	JPMorgan Chase Bank, N.A.	7,263,529.38
Richmond County Clerk DEC	JPMorgan Chase Bank, N.A.	78.93
<b>05275 - NEW YORK COUNTY SURROGATES COURT</b>		
New York Surrogate		
New York Surrogate Court	JPMorgan Chase Bank, N.A.	80,419.00
<b>05280 - BRONX COUNTY SURROGATES COURT</b>		
Bronx Surrogate		
Bronx Surrogate Court Revenue Acct	JPMorgan Chase Bank, N.A.	87,487.00
<b>05285 - KINGS COUNTY SURROGATES COURT</b>		
Kings County Surrogate		
Kings Co. Surrogate Revenue Acct	Bank of America, N.A.	289,673.00
<b>05290 - QUEENS COUNTY SURROGATES COURT</b>		
Queens surrogate		
Queens Co Revenue Acct Surrogate	Signature Bank	79,893.00
<b>05295 - RICHMOND COUNTY SURROGATES COURT</b>		
Richmond County Surrogate Court Revenue Account	Victory State Bank	30,019.65
<b>05360 - 3RD JUDICIAL DISTRICT ADMINISTRATION</b>		
Albany City Court - (Civil)		
Albany City Civil - Revenue	Wells Fargo Bank	1,432.50
Albany City Court - (Crim-Bail)		
Albany City Criminal - Bail	Wells Fargo Bank	11,147.13
Albany City Court - (Traffic)		
Albany City Traffic-Revenue	Wells Fargo Bank	53,829.00
Albany Traffic Court - Bail	Bank of America, N.A.	0.00
Albany City Court - Civil Part		
Albany City Court Civil - Revenue	Trustco Bank	0.00
Albany City Court - Crim		
Albany City Court-Crim	Wells Fargo Bank	10,932.00
Albany City Court - Traffic-Bail		
Albany City Traffic - Bail	Wells Fargo Bank	1,300.17
Albany County Surrogate		
Albany Cty Surrogates Court - Revenue	Bank of America, N.A.	12,804.50
Albany Police Court		
Albany Police Court Bail Account	Key Bank	0.00
Cohoes City Court		
Cohoes City Court Bail	Key Bank	1,949.00
Cohoes City Court Fees/Fines Account	Key Bank	8,477.50
Columbia County Surrogate		
Columbia Co Surrogate Ct Fees - Revenue	Key Bank	1,432.75
Greene Surrogate		
Greene Surrogate-Revenue	Wells Fargo Bank	821.00
Hudson City Court		
Hudson City Bail	Wells Fargo Bank	30,166.52
Hudson City Revenue	Wells Fargo Bank	5,379.00
Kingston City Court		
Kingston City Court Bail	Wells Fargo Bank	4,502.00
Kingston City Court Revenue	Wells Fargo Bank	39,295.79
Rensselaer City Court		
Rensselaer City Court - Bail	Wells Fargo Bank	101.00

Rensselaer City Court - Revenue	Wells Fargo Bank	3,986.00
Rensselaer City Court Bail Acct	Key Bank	0.00
Rensselaer County Surrogate		
Rensselaer Co Surrogate Ct Fees - Revenue	Key Bank	2,410.00
Schoharie County Surrogate		
Schoharie Co Surrogates Court - Revenue	Bank of America, N.A.	474.00
Sullivan Surrogate		
Sullivan Surrogate-Revenue	Wells Fargo Bank	1,911.45
Troy City Court		
Troy City Court- Revenue Acct - Revenue	Bank of America, N.A.	41,056.70
Troy Police Court Bail Account	Bank of America, N.A.	18,187.17
Ulster County Surrogate		
Ulster County Surrogate Court - Revenue	Key Bank	13,926.25
Watervliet City Court		
Watervliet City Court - Bail	Wells Fargo Bank	44,650.00
Watervliet City Court - Revenue	Wells Fargo Bank	20,901.39
<b>05460 - 4TH JUDICIAL DISTRICT ADMINISTRATION</b>		
Amsterdam City Court		
Amsterdam City Court - Bail	Key Bank	54,018.51
Amsterdam City Court - Revenue	Key Bank	11,946.24
Clinton County Surrogates		
Clinton County Surrogates - Revenue	Key Bank	1,229.00
Essex County Surrogate		
Essex Co Surrogate Clerk - Revenue	Champlain National	484.50
Franklin County Surrogate		
Franklin Co Surrogate Court - Revenue	Key Bank	388.00
Fulton County Surrogate		
Fulton County Surrogate's Court	Key Bank	1,930.50
Glens Falls City Court		
Glens Falls City Court Account - Revenue	Glens Falls National	11,944.00
Glens Falls City Court Bail Acct	Glens Falls National	17,060.76
Gloversville City Court		
Gloversville City Court Bail	NBT Bank	14,641.26
Gloversville City Court Revenue	NBT Bank	4,827.00
Hamilton Surrogate		
Hamilton Surrogate - Revenue	Community Bank	421.00
Johnstown City Court		
City Of Johnstown Bail Account - Bail	Key Bank	4,075.04
Johnstown City Court Fines/Fees - Revenue	Key Bank	6,574.00
Mechanicville City Court		
Mechanicville City Court Bail	TD Bank	0.06
Mechanicville City Ct Revenue Acct	TD Bank	7,769.40
Montgomery County Surrogate		
Montgomery County Surrogates Court - Revenue	NBT Bank	1,925.00
Ogdensburg City Court		
Ogdensburg City Court Int Bail	Community Bank	2,347.00
Ogdensburg City Court Revenue	Community Bank	3,212.00
Plattsburgh City Court		
Plattsburgh City Court - Bail	Glens Falls National	13,350.75
State Of NY Plattsburgh City Court - Revenue	Glens Falls National	12,763.43
Saratoga County Surrogate		
Saratoga County Surrogate - Revenue	Ballston Spa National Bank	3,498.50
Saratoga Springs City Court		
Saratoga Springs Bail Account	The Adirondack Trust Company	8,280.65
Saratoga Springs City Revenue Acct	The Adirondack Trust Company	8,287.60
Schenectady City Court		
Schenectady City Court- Bail	Bank of America, N.A.	39,143.45
Schenectady City Court Revenue	Bank of America, N.A.	28,230.60
Schenectady Surrogate		
Schenectady Surrogate Court - Revenue	Key Bank	11,254.50
St. Lawrence Co Surrogate		
St. Lawrence County Surrogate - Revenue	Community Bank	5,427.00
Warren County Surrogate		
Warren County Surrogate Court - Revenue	TD Bank	1,698.00
Washington Surrogates		
Washington Surrogate Revenue	TD Bank	740.25
<b>05560 - 5TH JUDICIAL DISTRICT ADMINISTRATION</b>		
Fulton City Court		
Fulton City Court Bail Acct	Key Bank	8,349.00
Fulton City Court Revenue	Key Bank	7,921.06
Herkimer Surrogate		
Herkimer Surrogate - Revenue	Partners Trust	123.00
Jefferson Surrogates		
Jefferson Co Surrogate Revenue	Key Bank	4,756.00

Lewis County		
Lewis County Clerk	Community Bank	18,649.00
Lewis County Surrogates		
Lewis County Surrogate Court - Revenue	Key Bank	800.50
Little Falls City Court		
Little Falls City Court Bail	Bank of America, N.A.	0.00
Little Falls City Court Revenue	M&T Bank	4,264.00
Oneida County Combined		
Oneida County Combined Court	Adirondack Bank	5,905.00
Oneida County Surrogates		
Oneida County Surrogate Court Revenue	The Adirondack Trust Company	22,878.50
Onondaga County Surrogates		
Onondaga Surrogate Court - Revenue	Alliance Bank	17,447.50
Oswego City Court		
Oswego City Court Bail Acct	JPMorgan Chase Bank, N.A.	12,846.68
Oswego City Court Revenue	JPMorgan Chase Bank, N.A.	16,313.75
Oswego Surrogate Court		
Oswego County Surrogate Court - Revenue	Key Bank	1,644.00
Rome City Court		
City Court Of Rome Bail Account - Bail	Bank of America, N.A.	1,745.00
Rome City Court - Revenue	Bank of America, N.A.	21,171.70
Sherrill City Court		
Sherrill City Court 5th Jud Dist - Bail	Alliance Bank	534.55
Sherrill City Court Fees - Revenue	Alliance Bank	0.00
Syracuse City Court		
Syracuse City Court - Bail	Alliance Bank	35,873.43
Syracuse City Court - Fees - Revenue	Alliance Bank	182,474.01
Utica City Court		
Utica City Court Criminal Bail	Bank of Utica	60,664.28
Utica City Court Revenue Account	Key Bank	34,388.10
Watertown City Court		
Watertown City Court Bail	Key Bank	81,450.68
Watertown City Court Fees & Fines - Revenue	Key Bank	12,351.19
<b>05661 - 6TH JUDICIAL DISTRICT ADMINISTRATION</b>		
Binghamton City Court		
Binghamton City Court Bail	M&T Bank	58,791.00
Binghamton City Court Revenue	M&T Bank	22,883.54
Broome Surrogates		
SNY UCS Broome County Surrogates Court	Wells Fargo Bank	6,144.25
Chemung County Surrogates		
SNY UCS Chemung County Surrogates Court	Wells Fargo Bank	1,681.50
Chenango County Surrogates		
SNY UCS Chenango County Surrogates Court	Wells Fargo Bank	439.00
Cortland City Court		
Court City Court Bail	NBT Bank	14,065.24
Court City Court- Revenue	NBT Bank	7,216.00
Cortland County Surrogates		
SNY UCS Cortland County Surrogates Court	Wells Fargo Bank	551.00
Delaware County Surrogates		
Delaware County Surrogate - Revenue	Delaware National Bank	1,887.25
Elmira City Court		
Elmira City Court - Revenue Account	Chemung Canal Trust	12,821.58
Elmira City Court Bail	Chemung Canal Trust	31,776.74
Ithaca City Court		
Ithaca City Court	Tompkins County Trust	13,738.00
Ithaca City Court Revenue	Tompkins County Trust	3,605.30
Madison County Surrogates		
SNY UCS Madison County Surrogates Court	Wells Fargo Bank	777.00
Norwich City Court		
Norwich City Court Bail Acct	NBT Bank	8,702.00
Norwich City Court Revenue Acct	NBT Bank	2,911.50
Oneida City Court		
Oneida City Court Bail Account	JPMorgan Chase Bank, N.A.	5,900.00
Oneida City Court Fee & Fine - Revenue	JPMorgan Chase Bank, N.A.	11,102.06
Oneonta City Court		
Oneonta City Court - Revenue	Community Bank	4,392.00
Oneonta City Court Bail Account	Community Bank	10,750.00
Otsego County Surrogates		
Otsego County Surrogates Court - Revenue	Key Bank	659.50
Schuyler County Surrogates		
Schuyler County Surrogates Court	Community Bank	379.00
Tioga County Surrogates		
Tioga Surrogates Court - Revenue	M&T Bank	1,381.75
Tompkins County Surrogates		

SNY UCS Tompkins County Surrogates Court	Wells Fargo Bank	8,995.50
<b>05761 - 7TH JUDICIAL DISTRICT ADMINISTRATION</b>		
Auburn City Court		
Auburn City Court Bail Acct	Key Bank	18,034.55
Auburn City Court Fees & Fines - Revenue	Key Bank	23,419.50
Canandaigua City Court		
Canandaigua City Court Bail Acct	Canandaigua National Bank	21,923.57
Canandaigua City Court Revenue	Canandaigua National Bank	9,952.50
Cayuga County Surrogates		
Cayuga Surrogate Court	Wells Fargo Bank	1,115.00
Corning City Court		
Corning City Court - Bail	Wells Fargo Bank	5,710.77
Corning City Court - Revenue	Wells Fargo Bank	6,879.00
Geneva City Court		
Geneva City Court Bail Account	Wells Fargo Bank	22,529.73
Geneva City Court Revenue Account	Wells Fargo Bank	4,320.50
Hornell City Court		
Hornell City Court Bail Account	Community Bank	3,400.03
Hornell City Court Revenue	Community Bank	8,959.30
Livingston County Surrogates		
Livingston Surrogate Court	Wells Fargo Bank	2,012.00
Monroe County Surrogates		
7th District Monroe Surrogate	Wells Fargo Bank	20,660.00
Ontario County Surrogates		
Ontario Surrogate Court	Wells Fargo Bank	2,720.00
Rochester City Court		
Rochester City Court Bail Account	M&T Bank	425,869.45
Rochester City Revenue	M&T Bank	28,876.65
Seneca County Surrogates		
Seneca Surrogate Court	Wells Fargo Bank	631.50
Steuben County Surrogates		
7th District Steuben Surrogate	Wells Fargo Bank	6,824.00
Wayne County Surrogates		
Wayne Surrogate Court	Wells Fargo Bank	1,743.00
Yates County Surrogates		
Yates Surrogate Court	Wells Fargo Bank	2,004.00
<b>05860 - 8TH JUDICIAL DISTRICT ADMINISTRATION</b>		
ALLEGANY COUNTY SURROGATES COURT		
ST of NY Office of The State Comptroller State of New York Unified Courts Allegany Surrogate Court	Wells Fargo Bank	No report received
Batavia City Court		
ST of NY Office of The State Comptroller Batavia City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Batavia City Court REVENUE	Wells Fargo Bank	No report received
BUFFALO CITY COURT		
ST of NY Office of The State Comptroller Buffalo City Court Bail Account	Wells Fargo Bank	No report received
ST of NY OFFICE OF THE STATE COMPTROLLER BUFFALO CITY COURT REVENUE ACCOUNT	Wells Fargo Bank	No report received
Cattaraugus County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Cattaraugus Surrogate Court	Wells Fargo Bank	No report received
Chautauqua County Surrogates Court		
ST of NY Office of the State Comptroller State of New York Unified Courts Chautauqua Surrogate Court	Wells Fargo Bank	No report received
Dunkirk City Court		
ST of NY Office of The State Comptroller Dunkirk Bail Account	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Dunkirk Revenue Account	Wells Fargo Bank	No report received
Erie - Buffalo County Law Library		
Sur Ct Lib At Buffalo - Revenue	M&T Bank	No report received
Erie County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Erie Surrogate Court	Wells Fargo Bank	No report received
Genesee County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Genesee Surrogate Court	Wells Fargo Bank	No report received
Jamestown City Court		
State of New York Office of Court Administration Jamestown City Court Bail	Key Bank	No report received
State of New York Office of Court Administration Jamestown City Court Revenue	Key Bank	No report received
Lackawanna City Court		
Lackawanna City Court Bail Account	Key Bank	No report received
Lackawanna City Court Revenue Account	Key Bank	No report received
Lockport City Court		
Lockport City - Bail	Key Bank	No report received
Lockport City HESC EFT Account - Revenue	Key Bank	No report received
Niagara City Court		
Niagara Falls Bail Bond Account	M&T Bank	No report received
Niagara County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Niagara Surrogate Court	Wells Fargo Bank	No report received
Niagara Falls City Court		
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS BAIL ACCOUNT	Wells Fargo Bank	No report received
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS REVENUE ACCOUNT	Wells Fargo Bank	No report received



No. Tonawanda City Court		
N. Tonawanda City Court Revenue	M&T Bank	No report received
ST of NY Office of The State Comptroller No. Tonawanda City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller No. Tonawanda Revenue	Wells Fargo Bank	No report received
Olean City Court		
ST of NY Office of The State Comptroller Olean City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Olean City Court Revenue	Wells Fargo Bank	No report received
Orleans County Surrogates		
ST of NY Office of The State Comptroller State of New York Unified Courts Orleans Surrogate Court	Wells Fargo Bank	No report received
Salamanca City Court		
Salamanca City Court Bail	Community Bank	No report received
Salamanca City Court City Judge - Revenue	Community Bank	No report received
Tonawanda City Court		
ST of NY Office of The State Comptroller Tonawanda City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Tonawanda City Court Revenue	Wells Fargo Bank	No report received
Tonawanda City Court Bail	M&T Bank	No report received
Wyoming County Surrogates		
ST of NY Office of The State Comptroller State of New York Unified Courts Wyoming Surrogate Court	Wells Fargo Bank	No report received
<b>05960 - 9TH JUDICIAL DISTRICT ADMINISTRATION</b>		
Beacon City Court		
Beacon City Court Bail Account - Bail	JPMorgan Chase Bank, N.A.	32,105.59
Beacon City Fines Account - Revenue	JPMorgan Chase Bank, N.A.	2,755.00
Dutchess County Surrogates Court		
Dutchess County Surrogate Court - Revenue	JPMorgan Chase Bank, N.A.	40,805.25
Middletown City Court		
Middletown City Bail Escrow - Bail	Wells Fargo Bank	89,607.98
Middletown City Court Revenue	JPMorgan Chase Bank, N.A.	0.00
Middletown City Court Revenue	Wells Fargo Bank	27,724.60
Mt Vernon City Court		
Mt Vernon City Court State Bail	Wells Fargo Bank	115,470.22
Mt Vernon City Court State Revenue	Wells Fargo Bank	72,069.35
New Rochelle City Court		
New Rochelle City Court Bail	JPMorgan Chase Bank, N.A.	287,400.38
New Rochelle City Court Revenue	JPMorgan Chase Bank, N.A.	76,203.08
Newburgh City Court		
Newburgh Bail Account	Wells Fargo Bank	25,111.83
Newburgh City Court Revenue	Wells Fargo Bank	35,081.73
Orange County Surrogates Court		
Orange Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	14,640.50
Peekskill City Court		
Peekskill City Court Revenue	JPMorgan Chase Bank, N.A.	5,725.00
Peekskill City Court		
Peekskill City Court - Bail	JPMorgan Chase Bank, N.A.	37,131.10
Port Jervis City Court		
Port Jervis Bail Account - Bail	JPMorgan Chase Bank, N.A.	13,610.45
Port Jervis Revenue Account - Revenue	JPMorgan Chase Bank, N.A.	9,334.50
Poughkeepsie		
Poughkeepsie City Court -Bail	Wells Fargo Bank	51,222.32
Poughkeepsie City Court -Revenue	Wells Fargo Bank	30,229.71
Putnam Co Surrogate's Court		
Putnam Co Surrogates Court	Putnam County National Bank	18,647.75
Rockland County Surrogates Court		
Rockland Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	24,281.75
Rye City Court		
City Of Rye Bail Account	JPMorgan Chase Bank, N.A.	1,539.22
City Of Rye Fines And Fees - Revenue	JPMorgan Chase Bank, N.A.	7,449.25
Westchester County Surrogates Court		
Westchester Co Surrogates Fees - Revenue	Wells Fargo Bank	77,373.75
White Plains City Court		
White Plains City Court Bail Account	Sterling Bank	3,389.09
White Plains City Court Bail Account	Wells Fargo Bank	70,591.20
White Plains City Court Vehicle And Traffic Acct - Revenue	Sterling Bank	0.00
White Plains City Court Vehicle And Traffic Acct - Revenue	Wells Fargo Bank	38,405.76
Yonkers City Court		
Yonkers City Bail Account - Bail	Wells Fargo Bank	292,939.32
Yonkers City Revenue Account - Revenue	Wells Fargo Bank	57,930.25
<b>06000 - AGRICULTURE &amp; MARKETS</b>		
Administration Account	Key Bank	7,337.91
Agency Advance Account	Key Bank	10,000.00
Agriculture Producers Sec Fund	Key Bank	19,362.68
Animal Population Control Account	Key Bank	34,547.17
Apple Marketing Order Fund	Key Bank	0.00
Consumer Food Industry Account	Key Bank	37,278.80
Dairy Industry Services Account	Key Bank	16,990.80

Dairy Promotion Order Fund	Key Bank	0.00
Milk Producers Security Fund	Key Bank	20,311.28
NYS Farmers Market Program	Key Bank	432,692.24
NYS WNY Milk Mktg Area Administration Fund	M&T Bank	62.61
NYS WNY Milk Mktg Area Equalization Fund	M&T Bank	10,918.87
NYS WNY Milk Mktg Area Equalization Fund Savings	M&T Bank	319.34
Onion Marketing Order	Key Bank	0.00
Plants Industry Account	Key Bank	13,422.16
Pride of NY	Key Bank	6,266.98
Sour Cherry Marketing Fund	Key Bank	0.00
Weights & Measures Account	Key Bank	25,901.93
NYS Dept Agriculture & Markets		
Apple Marketing Order Fund	Key Bank	0.00
Dairy Promotion Order Fund	Key Bank	0.00
Farm Products	Key Bank	49,441.80
State Fair		
NYS Fair Operating Account	Solvay Bank	22,824.23
NYS Fair Special Account	Solvay Bank	231.20
<b>08000 - DEPARTMENT OF CIVIL SERVICE</b>		
Agency Advance Account	Bank of America, N.A.	3,000.00
Examination Application Fees Account	Bank of America, N.A.	978.00
Examination Application Fees Account	Key Bank	792.00
NYS Affirmative Action Advisory Account	Bank of America, N.A.	5,141.90
NYS Department of Civil Service	US Bank	6,994,768.74
<b>08010 - PUBLIC EMPLOYEE RELATIONS BOARD</b>		
Petty Cash And Travel Advance Account	Key Bank	1,608.45
<b>09000 - DEPARTMENT OF ENVIRONMENTAL CONSERVATION</b>		
Albany		
Bayville Feasibility Study	JPMorgan Chase Bank, N.A.	494,018.51
Conservation Petty Cash Account	M&T Bank	8,931.00
DEC/Exchange Account	M&T Bank	14,580.53
ENCON License Issuing Office	M&T Bank	3,548.22
ENCON/Montauk Point Feasibility Study	JPMorgan Chase Bank, N.A.	7,205.20
ENCON/South Shore Of Staten Island	JPMorgan Chase Bank, N.A.	22,920.27
Hunting Trapping & Fishing Account	M&T Bank	22,091.60
Lake Montauk Harbor	JPMorgan Chase Bank, N.A.	156,238.94
Lockbox Account	Wells Fargo Bank	332,047.54
Mattituck Inlet	JPMorgan Chase Bank, N.A.	1,329.11
NY Conservationist	Bank of America, N.A.	7,750.71
Program Fee	JPMorgan Chase Bank, N.A.	16,454.58
Revenue Account	Bank of America, N.A.	492,386.01
Rockaway Beach Study & Project	JPMorgan Chase Bank, N.A.	1,265,718.12
State of New York	Key Bank	327,458.40
Region 1		
Marine Permit Account	Bank of Smithtown	4,495.83
Region 3		
Beaverkill & Mongaup Pond	Jeff Bank	0.00
Revenue Region 3 Account	Bank of America, N.A.	6,329.00
Region 4		
Bear Spring Revenue Account	Wayne Bank	78.00
Region 4	Greene County Commercial Bank	0.00
Region 4 Camping	NBT Bank	512.00
Region 5		
Campsite Revenue Account	Glens Falls National	19,781.90
Land & Forest Region SW	TD Bank	272,802.98
NYS Conservation	Glens Falls National	6,639.09
Recreation (Warrensburg)	City National Bank & Trust	45,878.41
Region 5	Citizens Bank	72,273.37
Region 5	NBT Bank	99,507.31
Tree Nursery	Bank of America, N.A.	1,082.50
Region 6		
Fish & Wildlife Watertown	Key Bank	126.92
Lands & Forest District #7	Community Bank	0.00
Lands & Forests District #6	Community Bank	85.00
Lands And Forests District 10	M&T Bank	4,570.67
SNY Dept Of Environmental Conserv	Community Bank	9,876.29
<b>10000 - ATTICA CORRECTIONAL FACILITY</b>		
Agency Advance Account	Five Star Bank	1,700.00
CD Spendable	Five Star Bank	220,000.00
Employee Benefit Fund	Five Star Bank	7,120.53
General Cash Fund	Five Star Bank	0.00
Inmate Occupational Therapy Fund	Five Star Bank	59,875.79
Inmate Savings Account	Five Star Bank	255,164.80
Spendable Fund	Five Star Bank	367,360.36

**10010 - AUBURN CORRECTIONAL FACILITY**

Advance Account	Key Bank	2,774.60
Certificate of Deposit	Bank of America, N.A.	90,000.00
Certificate of Deposit	Bank of America, N.A.	15,000.00
Inmate Occupational Therapy Fund	Key Bank	17,794.68
Inmate Spendable Account	Key Bank	304,298.29
Inmate Spendable Savings CD	Key Bank	102,648.62
Misc Revenue	Key Bank	57,038.39

**10020 - CLINTON CORRECTIONAL FACILITY**

Advance Account	Key Bank	13,043.06
Employee Benefit Fund	Key Bank	11,445.01
General Fund	Key Bank	1,021.64
Inmate Funds	Key Bank	105,572.46
Inmate Funds Money Market Account	Key Bank	919,412.17
Inmate Occupational Therapy Acct	Key Bank	64,289.34

**10030 - WATERTOWN CORRECTIONAL FACILITY**

Agency Advance Account	Key Bank	2,235.00
Inmate Occupational Therapy Fund	Key Bank	18,599.66
Inmate Spendable Funds	Key Bank	42,843.69
Inmate Spendable Savings Account	Key Bank	60,673.22
Miscellaneous Receipts	Key Bank	13,732.08

**10040 - GREAT MEADOW CORRECTIONAL FACILITY**

Certificate of Deposit	Glens Falls National	100,000.00
Certificate of Deposit	Glens Falls National	150,000.00
Certificate of Deposit	Glens Falls National	25,000.00
Facility Advance	Key Bank	6,250.72
General Fund	Key Bank	0.00
Inmate Fund	Key Bank	190,809.99
Inmate Fund Savings Account	Glens Falls National	52,500.00
Miscellaneous Account	Key Bank	9,857.20
Occupational Therapy	Key Bank	41,170.01

**10050 - FISHKILL CORRECTIONAL FACILITY**

Agency Advance	M&T Bank	No report received
Employee Benefits	M&T Bank	No report received
Inmate Spending Account	M&T Bank	No report received
Inmates Account	M&T Bank	No report received
Inmates Benefit	M&T Bank	No report received
Misc Receipts	M&T Bank	No report received
Occupational Therapy Account	M&T Bank	No report received

**10060 - WALLKILL CORRECTIONAL FACILITY**

Advance Account	Key Bank	1,329.30
Employee Benefit	Key Bank	1,938.36
Inmate Occupational Therapy Fund	Key Bank	29,465.33
Inmate Savings Account	Key Bank	88,189.10
Inmates Fund Account	Key Bank	97,699.78
Misc. Receipts	Key Bank	16,008.42

**10070 - SING SING CORRECTIONAL FACILITY**

Cash Advance	JPMorgan Chase Bank, N.A.	No report received
Inmate Funds	JPMorgan Chase Bank, N.A.	No report received
Inmate Interest Funds	JPMorgan Chase Bank, N.A.	No report received
Misc Receipts	JPMorgan Chase Bank, N.A.	No report received
Occupational Therapy	JPMorgan Chase Bank, N.A.	No report received
Quality Work Life	JPMorgan Chase Bank, N.A.	No report received

**10080 - GREEN HAVEN CORRECTIONAL FACILITY**

Advance Account	Key Bank	No report received
General Fund	Key Bank	No report received
Inmates Money Market	Key Bank	No report received
Inmates Now Checking	Key Bank	No report received
Occupational Therapy Fund	Key Bank	No report received

**10090 - ALBION CORRECTIONAL FACILITY**

Albion Advance Account	Bank of America, N.A.	4,100.50
Employee Benefit Fund	Bank of America, N.A.	11,392.75
Inmate Funds	Bank of America, N.A.	66,723.66
Inmate Funds Savings	Bank of America, N.A.	154,251.70
Misc Receipts	Bank of America, N.A.	7,448.49
Occupational Therapy	Bank of America, N.A.	26,244.10

**10100 - EASTERN NEW YORK CORRECTIONAL FACILITY**

Agency Advance Account	Sterling Bank	1,701.77
Employee Benefit Fund	Sterling Bank	16,176.95
ID Now 3 Month CD	M&T Bank	50,102.55
Inmate Deposit Now Account	Sterling Bank	450,575.32
Inmate Occupational Therapy Account	Sterling Bank	36,409.90
Misc. Receipts	Sterling Bank	5,716.37

**10110 - ELMIRA CORRECTIONAL & RECEPTION CENTER**

Agency Advance Account	Chemung Canal Trust	1,265.64
Employee Benefit Fund	Chemung Canal Trust	26,940.82
Inmate CD Account	M&T Bank	213,837.36
Inmates Fund	Chemung Canal Trust	514,998.59
Miscellaneous Receipts	Chemung Canal Trust	431.56
Occupational Therapy Fund	Chemung Canal Trust	29,962.76
<b>10120 - BEDFORD HILLS CORRECTIONAL FACILITY</b>		
Advance Account	JPMorgan Chase Bank, N.A.	5,285.34
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	8,276.09
Inmate Funds	JPMorgan Chase Bank, N.A.	97,082.68
Inmate Funds CD	JPMorgan Chase Bank, N.A.	90,000.00
Inmate Funds Money Market	JPMorgan Chase Bank, N.A.	23,261.93
Misc. Receipts	JPMorgan Chase Bank, N.A.	1,015.75
Occupational Therapy	JPMorgan Chase Bank, N.A.	65,134.20
<b>10130 - COXSACKIE CORRECTIONAL FACILITY</b>		
Agency Advance Account	National Bank of Cocksackie	2,141.84
Employee Benefits Fund	National Bank of Cocksackie	27,253.95
Inmates Fund	National Bank of Cocksackie	90,270.74
Inmates Fund Savings Acct	National Bank of Cocksackie	185,324.08
Misc. Revenue	National Bank of Cocksackie	0.00
Money Market Acct	National Bank of Cocksackie	No report received
Occupational Therapy Acct	National Bank of Cocksackie	59,080.11
<b>10140 - WOODBOURNE CORRECTIONAL FACILITY</b>		
CD - Inmate Funds	First National Bank of Jeffersonville	190,000.00
CD - Inmate Funds	First National Bank of Jeffersonville	50,000.00
WCF Agency Advance	Jeff Bank	1,223.61
WCF General Fund	Jeff Bank	18,212.70
WCF Inmate Fund	Jeff Bank	154,760.21
WCF Occupational Therapy Fund	Jeff Bank	37,065.62
<b>10160 - DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION</b>		
Agency Advance Account	Key Bank	No report received
Employee Benefit Fund	Key Bank	No report received
Inmate Escrow Account	Key Bank	No report received
Misc. Receipts Account	Key Bank	No report received
Special Account	Key Bank	No report received
<b>10170 - QUEENSBORO CORRECTIONAL FACILITY</b>		
Agency Advance Account	JPMorgan Chase Bank, N.A.	4,211.63
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	7,362.64
Inmate Funds Account	JPMorgan Chase Bank, N.A.	128,742.71
Miscellaneous Receipts Account	JPMorgan Chase Bank, N.A.	548.04
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	1,858.93
Savings Account	JPMorgan Chase Bank, N.A.	15,646.30
<b>10230 - ADIRONDACK CORRECTIONAL FACILITY</b>		
ADK QWL	Community Bank	7,780.67
Agency Advance	Community Bank	790.00
Diversity Management	Community Bank	493.07
Employee Benefit Fund	Community Bank	3,491.01
General Fund	Community Bank	122.25
Inmate Funds	Community Bank	42,819.20
Inmate Occupational Therapy Fund	Community Bank	1,484.03
<b>10240 - DOWNSTATE CORRECTIONAL FACILITY</b>		
Agency Advance	JPMorgan Chase Bank, N.A.	1,850.64
Employee Recreational Funds	JPMorgan Chase Bank, N.A.	15,538.10
Inmate Fund	JPMorgan Chase Bank, N.A.	441,416.75
Inmates Funds Savings	JPMorgan Chase Bank, N.A.	80,459.98
Misc. Receipts	JPMorgan Chase Bank, N.A.	17,075.83
Occupational Therapy	JPMorgan Chase Bank, N.A.	70,667.46
<b>10250 - TACONIC CORRECTIONAL FACILITY</b>		
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	1,404.23
Inmate Funds	JPMorgan Chase Bank, N.A.	66,962.37
Misc. Revenue	JPMorgan Chase Bank, N.A.	841.23
Money Market	JPMorgan Chase Bank, N.A.	30,987.89
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	15,258.22
Taconic Advance Account	JPMorgan Chase Bank, N.A.	3,200.00
<b>10270 - HUDSON CORRECTIONAL FACILITY</b>		
Advance Account	Key Bank	5,450.42
Employee Benefit Fund Account	Key Bank	5,347.94
Inmate Funds Account	Key Bank	28,650.11
Inmate Key Advantage Account	Key Bank	50,358.35
Inmate Occupational Therapy Account	Key Bank	7,865.72
Miscellaneous Receipts Account	Key Bank	2,755.63
<b>10290 - OTISVILLE CORRECTIONAL FACILITY</b>		
Cash Advance	Jeff Bank	163.11
General Fund	Jeff Bank	2,724.02

Inmate Funds	Jeff Bank	220,505.82
Inmate Occupational Therapy	Jeff Bank	37,639.52
Inmate Savings	Jeff Bank	51,357.24
Inmate Savings CD	Hornetown Bank	37,639.52
<b>10300 - ROCHESTER CORRECTIONAL FACILITY</b>		
Consolidated Advance Account	M&T Bank	1,540.00
Employee Recreation Fund	M&T Bank	1,243.42
Inmate Deposit Account	M&T Bank	53,025.81
Misc Fees	M&T Bank	2,131.27
Work Release Advance Account	M&T Bank	5,719.00
<b>10320 - EDGEcombe CORRECTIONAL FACILITY</b>		
Agency Advance	JPMorgan Chase Bank, N.A.	1,051.96
Employee Benefit Account	JPMorgan Chase Bank, N.A.	3,229.85
Inmate Cash Account	JPMorgan Chase Bank, N.A.	45,297.07
Misc. Receipts Account	JPMorgan Chase Bank, N.A.	0.00
Occupational Therapy Acct	JPMorgan Chase Bank, N.A.	1,582.19
Work Release Account	JPMorgan Chase Bank, N.A.	No report received
<b>10350 - OGDENSBURG CORRECTIONAL FACILITY</b>		
Agency Advance Account	Community Bank	3,000.00
EBF	Community Bank	7,070.59
Inmate Fund	Community Bank	64,157.72
Inmate Savings	Community Bank	45,241.01
Misc. Receipts	Community Bank	90.21
Occupational Therapy	Community Bank	14,243.93
<b>10370 - FIVE POINTS CORRECTIONAL FACILITY</b>		
Consolidated Advance	Five Star Bank	1,063.01
EBF Checking	Five Star Bank	39,410.33
EBF Savings	Five Star Bank	30,843.88
Inmate Savings	Five Star Bank	257,944.15
Inmate Spendable	Five Star Bank	119,807.91
Misc Receipts	Five Star Bank	794.76
Occupational Therapy	Five Star Bank	34,935.68
<b>10390 - MOHAWK CORRECTIONAL FACILITY</b>		
Agency Advance	Key Bank	No report received
Employee Benefit Fund Checking	Bank of America, N.A.	No report received
Inmate Funds Checking	Key Bank	No report received
Inmate Funds Savings	Key Bank	No report received
Miscellaneous Revenue	Key Bank	No report received
Occupational Therapy	Key Bank	No report received
<b>10430 - WENDE CORRECTIONAL FACILITY</b>		
Consolidated Advance	Alden State Bank	3,776.59
Employee Benefit Account	Alden State Bank	18,628.60
Inmate Savings	Alden State Bank	197,210.79
Inmates Funds	Alden State Bank	140,740.07
Misc. Receipts	Alden State Bank	14,288.01
Occupational Therapy	Alden State Bank	37,180.54
<b>10441 - DEPARTMENT OF CORRECTIONAL SERVICES-FOOD PRODUCTION CENTER</b>		
Office of Nutritional Services		
Miscellaneous Receipts	Key Bank	15,165.30
<b>10450 - GOWANDA CORRECTIONAL FACILITY</b>		
Advance Account	Community Bank	No report received
Employee Benefit Fund	Community Bank	No report received
Inmate Funds	Community Bank	No report received
Inmate Funds Savings	Community Bank	No report received
Miscellaneous Revenue	Community Bank	No report received
Occupational Therapy	Community Bank	No report received
<b>10460 - GROVELAND CORRECTIONAL FACILITY</b>		
Agency Advance Account	Five Star Bank	1,633.20
Employee Commission Account	Five Star Bank	12,590.06
Inmate Funds Account	Five Star Bank	123,255.78
Inmate Funds Account - Savings	Five Star Bank	108,059.90
Miscellaneous Receipts Account	Five Star Bank	2,151.84
Occupational Therapy Account	Five Star Bank	14,057.86
<b>10470 - COLLINS CORRECTIONAL FACILITY</b>		
Agency Advance	Community Bank	3,945.00
Employee Activities	Community Bank	41,428.92
Inmate Fund Checking	Community Bank	213,384.31
Inmate Savings	Community Bank	130,556.41
Miscellaneous Revenue	Community Bank	4,662.36
Occupational Therapy	Community Bank	10,910.51
<b>10480 - MID-STATE CORRECTIONAL FACILITY</b>		
Agency Advance	Key Bank	No report received
Employee Benefit Fund	Bank of America, N.A.	No report received
Inmate Funds	Key Bank	No report received

Inmate Savings	Key Bank	No report received
Misc. Revenue	Key Bank	No report received
Occupational Therapy	Key Bank	No report received
<b>10490 - MARCY CORRECTIONAL FACILITY</b>		
Agency Advance Account	Key Bank	3,918.36
Employee Benefit Fund Account	Bank of America, N.A.	14,886.89
Inmate Fund Account	Key Bank	169,995.41
Misc receipts Acct	Key Bank	29.00
NYS DOCS Marcy CORR Facility	Key Bank	206,813.91
Occupational Therapy fund Acct	Key Bank	40,808.42
<b>10500 - NYC CENTRAL ADMINISTRATION</b>		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	0.00
Misc Receipts	JPMorgan Chase Bank, N.A.	0.00
<b>10501 - CENTRAL PHARMACY</b>		
NYS Docs Central Pharmacy Advance Acct	Bank of America, N.A.	1,000.00
<b>10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY</b>		
Moriah Shock Incarceration Advance Acct	Glens Falls National	1,800.00
Moriah Shock Incarceration Employee Benefit Fund	Glens Falls National	3,191.58
Moriah Shock Incarceration Occ Therapy	Glens Falls National	2,947.81
Moriah Shock Misc Receipts	Glens Falls National	0.00
NYS Moriah Shock Incarceration Inmate Checking	Glens Falls National	25,080.01
<b>10530 - FRANKLIN CORRECTIONAL FACILITY</b>		
Advance Account	Key Bank	3,931.20
Employee Benefit Account	Key Bank	14,510.59
Inmate Funds	Key Bank	138,656.26
Inmate Occupational Therapy	Key Bank	12,119.66
Inmate Savings	Key Bank	217,718.32
Misc. Receipts	Key Bank	2,695.75
<b>10540 - ALTONA CORRECTIONAL FACILITY</b>		
Cons Adv Travel Petty Cash	NBT Bank	3,620.66
Employees Vending Benefit	NBT Bank	9,480.22
Inmates Funds	NBT Bank	91,860.95
Misc Revenues General Fund	NBT Bank	428.26
Occupational Therapy	NBT Bank	4,715.00
<b>10550 - CAYUGA CORRECTIONAL FACILITY</b>		
Agency Advance	First National Bank of Groton	1,368.18
Cert Of Deposit	First National Bank of Groton	87,400.92
Employee Benefit Fund	First National Bank of Groton	4,952.94
Inmate Occupational Therapy Account	First National Bank of Groton	32,381.88
Inmate Spendable	First National Bank of Groton	184,756.28
Misc Receipts	First National Bank of Groton	1,840.15
<b>10560 - BARE HILL CORRECTIONAL FACILITY</b>		
Agency Advance	Key Bank	1,840.68
Employee Benefit Fund	Key Bank	7,912.58
Inmate Spendable Funds	Key Bank	108,106.74
Key Public Money Market Checking	Key Bank	259,007.40
Miscellaneous Receipts	Key Bank	1,356.97
Occupational Therapy	Key Bank	15,293.88
<b>10570 - RIVERVIEW CORRECTIONAL FACILITY</b>		
Agency Advance Account	Key Bank	1,347.00
Inmate Accounts	Key Bank	84,272.07
Inmate Savings Account	Key Bank	105,644.80
Miscellaneous Receipts Account	Key Bank	8,139.46
Miscellaneous Receipts Account	Key Bank	0.00
Occupational Therapy	Key Bank	13,936.29
<b>10580 - CAPE VINCENT CORRECTIONAL FACILITY</b>		
Advance Account	Community Bank	1,900.00
Employee Benefit Fund	Community Bank	42,014.84
Inmate Occupation Therapy Acct	Community Bank	32,540.33
Inmate Savings	Community Bank	151,740.24
Inmate Spendable Account	Community Bank	93,308.11
Miscellaneous Receipts Account	Community Bank	3,664.39
<b>10600 - LAKEVIEW SHOCK INCARCERATION CORRECTIONAL FACILITY</b>		
Agency Advance	Community Bank	3,700.00
Employee Benefit Fund	Community Bank	10,971.92
Inmate Funds	Community Bank	18,241.53
Inmate Funds - Sav	Community Bank	94,662.03
Miscellaneous Revenue	Community Bank	1,974.71
Occupational Therapy	Community Bank	174.48
<b>10610 - ULSTER CORRECTIONAL FACILITY</b>		
Agency Advance	Bank of America, N.A.	0.00
Agency Advance	M&T Bank	1,213.66
Employee Benefit Fund	Bank of America, N.A.	0.00
Employee Benefit Fund	M&T Bank	6,974.26



Inmate Fund	Bank of America, N.A.	0.00
Inmate Fund	M&T Bank	119,606.54
Inmate Funds Savings	Bank of America, N.A.	0.00
Inmate Funds Savings	M&T Bank	12,305.16
Misc Receipts	M&T Bank	219.88
Misc. Receipts	Bank of America, N.A.	0.00
Occupational Therapy	Bank of America, N.A.	0.00
Occupational Therapy	M&T Bank	2,801.82
<b>10630 - SOUTHPORT CORRECTIONAL FACILITY</b>		
Advance Account	Chemung Canal Trust	451.92
Employee Benefit Fund	Chemung Canal Trust	13,756.38
Inmate Funds	Chemung Canal Trust	121,755.38
Inmate Funds Account	Chemung Canal Trust	59,284.45
Misc. Receipts Account	Chemung Canal Trust	741.65
Occupational Therapy Account	Chemung Canal Trust	26,370.00
<b>10640 - ORLEANS CORRECTIONAL FACILITY</b>		
Agency Advance	Bank of America, N.A.	2,118.39
Employee Benefit Fund	Bank of America, N.A.	10,584.50
Inmate Funds	Bank of America, N.A.	115,084.34
Inmate Savings	Bank of America, N.A.	108,216.92
Miscellaneous Receipts	Bank of America, N.A.	15,943.48
Occupational Therapy	Bank of America, N.A.	16,275.20
<b>10650 - WASHINGTON CORRECTIONAL FACILITY</b>		
Advance Account	Key Bank	No report received
EBF Account	Key Bank	No report received
General Account	Key Bank	No report received
Inmate Account	Key Bank	No report received
Inmate Funds Account Certificate Of Deposit	Glens Falls National	No report received
Inmate Savings Account	Key Bank	No report received
Occupational Therapy Account	Key Bank	No report received
<b>10660 - WYOMING CORRECTIONAL FACILITY</b>		
Agency Advance	Five Star Bank	6,300.00
Employee Benefit Fund	Five Star Bank	11,068.39
Inmate Occupational Therapy	Five Star Bank	33,433.90
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings Account	Five Star Bank	51,964.54
Inmate Savings-Certificate of Deposit	Five Star Bank	25,000.00
Inmate Spendable	Five Star Bank	199,059.01
Misc. Receipts Account	Five Star Bank	6,871.74
<b>10670 - GREENE CORRECTIONAL FACILITY</b>		
Consolidated Advance	National Bank of Coxsackie	2,000.00
Employee Benefit Fund	National Bank of Coxsackie	20,364.72
Inmate Accounts	National Bank of Coxsackie	176,290.29
Inmate Savings	National Bank of Coxsackie	248,774.40
Misc. Receipts	National Bank of Coxsackie	118.00
Occupational Therapy	National Bank of Coxsackie	58,460.16
<b>10680 - SHAWANGUNK CORRECTIONAL FACILITY</b>		
Consolidated Advance Account	Key Bank	No report received
Inmate Funds	Key Bank	No report received
Inmates Funds Account	Key Bank	No report received
Misc. Receipts Account	Key Bank	No report received
Occupational Therapy Acct	Key Bank	No report received
<b>10690 - SULLIVAN CORRECTIONAL FACILITY</b>		
Consolidated Advance	Key Bank	2,570.20
Inmate Checking	Key Bank	123,452.57
Inmate Savings	Key Bank	100,500.17
Miscellaneous	Key Bank	7,433.68
Occupational Therapy	Key Bank	30,911.32
<b>10810 - GOUVERNEUR CORRECTIONAL FACILITY</b>		
Agency Advance	Community Bank	1,427.68
Inmate Occupational Therapy	Community Bank	18,491.69
Inmate Savings	Community Bank	174,996.50
Inmate Spendable Fund	Community Bank	118,754.48
Misc Receipts	Community Bank	14,834.01
<b>10820 - WILLARD DRUG TREATMENT CENTER</b>		
Consolidated Advance	Community Bank	973.59
Employee Benefit Fund	Community Bank	14,139.82
Inmate Funds	Community Bank	73,059.21
Inmate Occupational Therapy	Community Bank	10,628.76
Misc Receipts	Community Bank	184.95
<b>10840 - UPSTATE CORRECTIONAL FACILITY-AUDIT 1</b>		
Advance Account	Key Bank	1,900.00
Facility Committees	Key Bank	19,081.56

Inmate Fund	Key Bank	178,921.49
Inmate Occupational Therapy Fund	Key Bank	5,513.34
Miscellaneous Account	Key Bank	0.00
<b>10850 - HALE CREEK ASACTC</b>		
Consolidated Advance	Key Bank	675.00
Employee Benefit Fund	Bank of America, N.A.	10,710.09
Inmate Funds	Key Bank	107,946.34
Inmate Interest Bearing Account	Key Bank	15,000.38
Misc Receipts	Key Bank	30.25
Occupational Therapy	Key Bank	29,821.88
<b>10890 - CORRECTIONS AND COMMUNITY SUPERVISION</b>		
Asset Forfeiture Special Rev Acct	Bank of America, N.A.	No report received
Parole Supervision Fee	Wells Fargo Bank	No report received
<b>10916 - CENTRAL OFFICE - INDUSTRIES</b>		
Div of Ind Petty Cash Acct	Key Bank	5,780.82
Div of Ind Revenue Acct	Key Bank	170,611.77
<b>11000 - EDUCATION DEPARTMENT</b>		
Consolidated Advance Account	Key Bank	No report received
Consolidated Advance Account (Control Disbursement)	Key Bank	No report received
Revenue Account	Key Bank	No report received
<b>11100 - NYS HIGHER EDUCATION SERVICES CORPORATION</b>		
NYS HESC-Federal Student Loan Suspense	Key Bank	74,220.39
Operating	Key Bank	4,958,438.53
Retail Lockbox	US Bank	152,581.79
TAP	Key Bank	1,392.26
Wholesale Lockbox	US Bank	1,645,726.28
<b>11260 - BATAVIA SCHOOL FOR THE BLIND</b>		
Misc. Receipts	M&T Bank	3,848.79
Petty Cash	M&T Bank	3,536.64
Student Spending Account	Bank of America, N.A.	8,438.23
<b>11270 - ROME SCHOOL FOR THE DEAF</b>		
Miscellaneous Receipts	NBT Bank	No report received
Petty Cash	NBT Bank	No report received
Student Activity Fund	NBT Bank	No report received
<b>11280 - ARCHIVES PARTNERSHIP TRUST</b>		
Endowment	Janney Montgomery Scott LLC	4,908,678.03
Endowment - Special Account	Janney Montgomery Scott LLC	No report received
Trust's Board Project Account	Key Bank	264,025.23
<b>12000 - DEPARTMENT OF HEALTH CENTRAL ADMINISTRATION</b>		
CSA Rebate Account	Bank of America, N.A.	9,580,515.37
DOH EPIC Lockbox Acct	Wells Fargo Bank	26,320.57
Early Intervention - Municipal Deposits for Provider Pymts	Key Bank	0.00
Early Intervention - Provider Payments Escrow	Key Bank	8,352,141.72
Early Intervention - State Funds	Key Bank	19,716.12
EPIC Co Pay Account	Bank of America, N.A.	0.00
Epic Drug Manufacturer Rebate Account	Bank of America, N.A.	466,517.14
EPIC EFT Acct	Bank of America, N.A.	0.00
EPIC Master Funding Acct	Bank of America, N.A.	105,786.89
EPIC Provider Receipt Account	Bank of America, N.A.	0.00
EPIC Refund Acct	Bank of America, N.A.	0.00
eVIC	Wells Fargo Bank	26,401.57
General Account	M&T Bank	79,771.50
ICR Audit Fees Account	Bank of America, N.A.	10,996.08
Indian Health Disbursement Account	Bank of America, N.A.	0.00
Indian Health-Master Acct	Bank of America, N.A.	11,746.23
Medicaid	Key Bank	41.41
Medicaid Audit Recoveries Acct	Key Bank	0.00
Medicaid Insurance Recoveries Acct	Bank of America, N.A.	265,496.12
Nurses Aide Fees (Prometric)	Bank of America, N.A.	44,011.37
Nursing Home Fees Account	Bank of America, N.A.	43,525.63
NYS DOH CLEP Revenue	Key Bank	61,184.24
OBRA Drug Rebate Program Acct	Bank of America, N.A.	12,482,655.37
SPARCS	Key Bank	43,686.26
<b>12010 - ROSWELL PARK MEMORIAL INSTITUTE</b>		
Office Of Patient Accounts	M&T Bank	2,684,162.96
<b>12030 - HELEN HAYES HOSPITAL</b>		
Misc. Receipts	JPMorgan Chase Bank, N.A.	1,294,394.55
Petty Cash Account	JPMorgan Chase Bank, N.A.	12,091.59
Rental Deposit Acct	JPMorgan Chase Bank, N.A.	5,646.19
<b>12120 - NYS VETERANS HOME-OXFORD</b>		
Agency Advance	NBT Bank	10,600.30
Exchange Account	NBT Bank	29,109.29
Maintenance Fund	NBT Bank	695,095.40
NYS Veterans Home-Oxford (Resident Account, Custodial Account)	NBT Bank	263,175.09

Resident Custodial Account	NBT Bank	47,448.48
Resident Custodial Account	NBT Bank	187.02
Resident Custodial Account	NBT Bank	3,817.64
Resident Custodial Account	NBT Bank	4,366.72
Resident Custodial Account	NBT Bank	8,211.35
Resident Custodial Account	NBT Bank	7,928.99
Resident Custodial Account	NBT Bank	1,234.97
Resident Custodial Account	NBT Bank	119.83
Resident Custodial Account	NBT Bank	5,072.89
Resident Custodial Account	NBT Bank	3,160.98
Resident Custodial Account	NBT Bank	1,085.63
<b>12150 - NYS VETERANS HOME-ST ALBANS</b>		
NYC Veteran Home Agency Advance	JPMorgan Chase Bank, N.A.	28,552.35
St Albans NYC Vet Home Resid Funds	JPMorgan Chase Bank, N.A.	852,277.48
St Albans Vet Home Maintenance Acct	NBT Bank	488,400.07
<b>12180 - WESTERN NEW YORK VETERANS HOME</b>		
Advance Account	Bank of America, N.A.	5,399.30
Exchange Account	Bank of America, N.A.	4,775.39
Maintenance Account	NBT Bank	940,740.49
Resident Funds	Bank of America, N.A.	63,692.82
<b>12190 - VETERANS HOME AT MONTROSE</b>		
Agency Advance Account	Bank of America, N.A.	6,361.06
Maintenance Acct	NBT Bank	451,826.76
Residence Account	Bank of America, N.A.	585,325.33
<b>12200 - OFFICE OF MEDICAID INSPECTOR GENERAL</b>		
Albany Confidential Account	Key Bank	229.59
Albany Petty Cash Account	Key Bank	442.00
NYC Confidential Account	JPMorgan Chase Bank, N.A.	166.00
<b>14000 - DEPARTMENT OF LABOR</b>		
Agency Advance Account	Key Bank	27,560.00
Exchange Account	Bank of America, N.A.	19,660.59
Fee And Permit Account	Key Bank	1,208,367.33
Min Wage & Claim Funding Acct	Key Bank	141,279.88
Minimum Wage & Wage Claim Acct	Key Bank	1,007,600.12
Misc Receipts	Bank of America, N.A.	135,041.70
U.I. Fund Clearing Account	JPMorgan Chase Bank, N.A.	11,074,509.54
UI Fund ACH Transactions	Wells Fargo Bank	1,000,000.00
<b>14010 - WORKERS COMPENSATION BOARD</b>		
DTF/WCB MAC 14	JPMorgan Chase Bank, N.A.	140,195,244.52
<b>16000 - PUBLIC SERVICE COMMISSION</b>		
Cable Account	Key Bank	5,557.35
Petty Cash Account	Key Bank	3,653.32
Special Fee Account	Key Bank	169,122.83
<b>17000 - NYS DEPARTMENT OF TRANSPORTATION</b>		
Contractors Bid And Guarantee	Key Bank	80,134.28
Driver Improvement Program (DIP)	Key Bank	17,064.31
Main Office Advance For Travel	Key Bank	52,901.30
PARTNERS DOT -HOOCs	Key Bank	3,912,993.62
Revenue Unit	Key Bank	190,368.76
Republic Airport, Long Island		
Republic Airport Revenue Acct	JPMorgan Chase Bank, N.A.	259,018.34
<b>19000 - DEPARTMENT OF STATE</b>		
Atheltic	M&T Bank	5,320.00
Licensing Revenue Account	JPMorgan Chase Bank, N.A.	465,779.72
Main	M&T Bank	71,505.13
Petty Cash Account	Key Bank	18,655.52
Summons	M&T Bank	72,130.00
<b>19001 - TUG HILL COMMISSION</b>		
Agency Advance Account	Key Bank	No report received
<b>19002 - LAKE GEORGE PARK COMMISSION</b>		
Petty Cash Account	Glens Falls National	No report received
Revenue Transfer Account	Glens Falls National	No report received
<b>19005 - COMMISSION ON PUBLIC INTEGRITY</b>		
JCOPE Petty Cash Account	Bank of America, N.A.	No report received
JCOPE Revenue Account	Bank of America, N.A.	No report received
<b>20000 - DEPARTMENT OF TAXATION &amp; FINANCE</b>		
IFTA Funding	JPMorgan Chase Bank, N.A.	1,394.96
Misc Tax Account - Exchange	Bank of America, N.A.	141,130.73
Off Track Betting Tax (MAC 848)	Key Bank	1,721,038.99
Pari Mutuel Betting Tax (MAC 847)	Key Bank	970.05
Petty Cash	Bank of America, N.A.	15,000.00
Tax Preparer Registration Fee (EFT)	Wells Fargo Bank	26,400.00
Waste Tire Fee (EFT)	Wells Fargo Bank	1,924,077.32
Waste Tire Tax	JPMorgan Chase Bank, N.A.	77,066.56

**20050 - NEW YORK STATE GAMING COMMISSION**

Charitable Gaming Account  
 Commercial Gaming Revenue Account  
 Custody Account  
 Fingerprint Concentration Account  
 License Revenue Account  
 Lottery Concentration Account  
 Lottery Prize Payment Account  
 Lottery Subscriptions Account  
 Petty Cash Account  
 Racing Refund Account  
 Video Gaming Revenue Account

Key Bank 28,981.29  
 Key Bank 0.00  
 US Bank 12,687.48  
 Key Bank 17,375.86  
 Bank of America, N.A. 23,604.31  
 Key Bank 0.00  
 Key Bank (0.50)  
 Key Bank 660,139.90  
 Key Bank 921.17  
 Key Bank 598,511.42  
 Key Bank 5,275,218.85

**21012 - WELFARE INSPECTOR GENERAL**

Confidential Fund  
 Confidential Fund  
 Petty Cash

Bank of America, N.A. 15,000.00  
 JPMorgan Chase Bank, N.A. No report received  
 JPMorgan Chase Bank, N.A. No report received

**21110 - OFFICE OF REGULATORY REFORM**

Petty Cash

Key Bank No report received

**21190 - NYS ENERGY RESEARCH & DEVELOPMENT AUTHORITY**

NYSERDA Greenbank MAC 26  
 NYSERDA Main Checking MAC 30

JPMorgan Chase Bank, N.A. No report received  
 JPMorgan Chase Bank, N.A. No report received

**21290 - HUDSON RIVER-BLACK RIVER REGULATING DISTRICT**

Checking- General Fund Acct.  
 Checking- Petty Cash Fund  
 Hudson River General Acct  
 Money Market  
 Petty Cash Fund

Community Bank 14,869.29  
 Community Bank 5,000.00  
 Bank of America, N.A. 228,064.72  
 Bank of America, N.A. 0.30  
 Bank of America, N.A. 6,500.00

**21700 - OFFICE OF THE STATE INSPECTOR GENERAL**

Office Of The State Inspector General Pass Thru Account  
 OSIG Petty Cash Account

Key Bank 56,204.23  
 Key Bank 1,474.13

Albany

Office of the Inspector General Confidential

Bank of America, N.A. 27,700.00

**21820 - STATE COMMISSION ON JUDICIAL CONDUCT**

Petty Cash Account  
 Petty Cash Account  
 Petty Cash Fund

JPMorgan Chase Bank, N.A. 608.85  
 Key Bank 902.00  
 JPMorgan Chase Bank, N.A. 1,371.91

**21940 - NYS FINANCIAL CONTROL BOARD**

Agency Advance Acct

JPMorgan Chase Bank, N.A. No report received

**23000 - DEPARTMENT OF MOTOR VEHICLES**

Albany Central Main Acct  
 Albany Central Main Acct  
 Albany Central Main Exchange  
 Exchange  
 Albany Central Office  
 Title Escrow Exchange (Albany Central Office)  
 Albany TVB Sub (Albany Central Office)  
 Adjudication Account  
 Administrative Adj  
 Albany-Region 3  
 Confidential Inv Subpoena - Albany  
 Allegany-Belmont  
 County Clerk Fee Allegany  
 Andirondack Mountains  
 County Fee Account  
 Buffalo-Region 5  
 MV- Buffalo Investigator & Subpoena  
 Capital Saratoga Revenue  
 County Fee Account  
 Catskill Mountains  
 County Fee Account  
 Central Leatherstocking  
 County Fee Account  
 Chautauqua-Steuben  
 County Fee Acct  
 Chautauqua County  
 Holding Acct-Chautauqua County  
 Holding Acct-Chautauqua County  
 Holding Acct-Chautauqua County  
 Concentration (CTY)(OSC)  
 Concentration (CTY)(OSC)  
 Concentration (DO)(OSC)  
 Concentration (DO)(OSC)  
 Confidential Fund (Albany Central Office)  
 Confidential Fund

Wells Fargo Bank 955,471.19  
 Wells Fargo Bank 60,742.80  
 Wells Fargo Bank 23,865.46  
 Wells Fargo Bank 20,913.56  
 M&T Bank No report received  
 Key Bank 1,500.00  
 Steuben Trust Co. No report received  
 JPMorgan Chase Bank, N.A. 102,740.26  
 M&T Bank 1,500.00  
 JPMorgan Chase Bank, N.A. 385,220.82  
 JPMorgan Chase Bank, N.A. 142,851.20  
 JPMorgan Chase Bank, N.A. 105,980.21  
 JPMorgan Chase Bank, N.A. 69,834.81  
 Community Bank No report received  
 Key Bank No report received  
 M&T Bank No report received  
 Key Bank 321,000.00  
 Key Bank 4,310,146.95  
 Bank of America, N.A. 9,001.00

CTY Credit Card (Albany Central Office)	JPMorgan Chase Bank, N.A.	943,478.89
County Office Credit Card Account		
Customer Service Counter (Albany)		
NYS DMV CSC	Wells Fargo Bank	62,239.47
D.O. Credit Card (Albany Central Office)		
District Office Credit Card Account	JPMorgan Chase Bank, N.A.	3,951,442.95
DMV Division Of Field Investigations - Albany Central Office		
Field Investigation	M&T Bank	No report received
Downstate		
Revenue Account - Downstate	Wells Fargo Bank	428,121.24
Eric County Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	50,000.00
Finger Lakes First		
County Fee Acct	JPMorgan Chase Bank, N.A.	70,371.69
Finger Lakes Second		
County Fee Acct	JPMorgan Chase Bank, N.A.	63,884.58
Genesee County		
Genesee County Clerk - DMV	Bank of Castile	No report received
Greene County		
Fee Account - Greene	Greene County Commercial Bank	No report received
Hudson Valley		
County Fee Acct	JPMorgan Chase Bank, N.A.	65,483.26
IRP (Albany Central Office)		
International Registration	M&T Bank	666,074.24
International Registration	Wells Fargo Bank	361,494.87
IRP Exchange (Albany Central Office)		
Irp Internet Office - Dept. MV	M&T Bank	244,690.85
Kiosk		
Kiosk Account	JPMorgan Chase Bank, N.A.	69,649.75
Long Island/Staten Island DO		
Long Island/Staten Island DO	Wells Fargo Bank	877,795.79
Long Island/Staten Island JP		
Long Island/Staten Island (Mass/Med)	JPMorgan Chase Bank, N.A.	50,725.25
Nassau Region 1		
Div. of Vehicle Safety	Citibank	No report received
Niagara Frontier		
County Fee Acct	JPMorgan Chase Bank, N.A.	71,476.36
Oneida County		
DMV Oneida County Fee Account	Bank of Utica	174,025.83
Oneida County Fee Account	NBT Bank	64,077.24
Petty Cash (Albany Central Office)		
Petty Cash	Bank of America, N.A.	13,035.69
Queens-Region 6		
Confidential - Queens	JPMorgan Chase Bank, N.A.	4,500.00
Rockland/Westchester DO		
Rockland/Westchester DO	Wells Fargo Bank	55,928.72
Search Exchange (Albany Central Office)		
MV Search	Key Bank	5,355,612.00
Search Exchange (Albany Central Office)		
MV Search	Wells Fargo Bank	35,877.22
Syracuse-Region 4		
Confidential - Syracuse	Key Bank	1,500.00
Thousand Island Seaway		
County Fee Acct	JPMorgan Chase Bank, N.A.	353,651.67
TLC/DOCCS		
TLC/DOCCS	JPMorgan Chase Bank, N.A.	756,337.26
Travel Advance (Albany Central Office)		
Travel Advance	Bank of America, N.A.	1,000.00
TVB Acct		
TVB Acct	Wells Fargo Bank	108,862.42
TVB Credit Card (Albany Central Office)		
TVB Credit Card Receipts	JPMorgan Chase Bank, N.A.	547,017.87
Upstate DO		
Upstate District Offices (ALB, SYD, SYS, UTD)	Wells Fargo Bank	80,742.39
Utica D.O.		
Exchange Account	Bank of Utica	No report received
Revenue Utica	Bank of Utica	2,940,984.95
Yonkers-Region 2		
Safety Sup Automotive FAC INSP	JPMorgan Chase Bank, N.A.	No report received
<b>25000 - OFFICE OF CHILDREN &amp; FAMILY SERVICES</b>		
Brentwood Resid Center Cash Advance	JPMorgan Chase Bank, N.A.	950.00
Brentwood Residents' Account	JPMorgan Chase Bank, N.A.	517.29
Brookwood Cash Advance	Key Bank	1,702.58
Brookwood Resid Residential Cash	Key Bank	9,494.62

Check Exchange	Bank of America, N.A.	64.95
CO Independent Living Acct	Bank of America, N.A.	2,000.00
Co. Training Employment Dev (Youth Stipend)	Bank of America, N.A.	25,000.00
Columbia Girls Secure Center-Advance Acct	Key Bank	873.36
Columbia Girls Secure Center-Youth Savings	Key Bank	978.12
Finger Lakes Res Ctr Residents Cash	Tompkins County Trust	7,345.81
Fingerlakes Res Ctr Cash Advance	Tompkins County Trust	3,300.00
Goshen Cash Advance	Bank of America, N.A.	2,600.00
Goshen Residents Account	Bank of America, N.A.	35,137.30
Harriet Tubman Advance Account	Key Bank	500.00
Harriet Tubman Residents' Account	Key Bank	255.72
Highland Res Ctr Petty Cash Account	Bank of America, N.A.	2,650.61
Highland Res Ctr Residents Acct	Bank of America, N.A.	5,653.40
Home Office Care & Maintenance Account	Bank of America, N.A.	0.00
Industry Advance Account	JPMorgan Chase Bank, N.A.	1,954.00
Industry Res Account	JPMorgan Chase Bank, N.A.	6,075.66
Industry School Dug-Out	JPMorgan Chase Bank, N.A.	0.00
MacCormick Cash Advance	Tompkins County Trust	2,060.42
MacCormick Residents' Account	Tompkins County Trust	9,247.92
Medicaid Reimbursement Exchange	Bank of America, N.A.	204,746.75
NYS OCFS Advance Acct (Travel & Misc P.C.)	Bank of America, N.A.	15,464.00
NYS OCFS Salary Advance Account	Bank of America, N.A.	12,021.83
Queens-Long Island Aftercare	JPMorgan Chase Bank, N.A.	500.00
Red Hook Res Ctr Resident Cash	Key Bank	372.19
Red Hook Resid Ctr Cash Advance	Key Bank	300.00
SCR Credit Card Revenue Account	Bank of America, N.A.	34,350.00
State Central Register	Bank of America, N.A.	89,042.60
Taberg Cash Advance	NBT Bank	758.85
Taberg Residents Account	NBT Bank	768.98
Youth Leadership Academy	NBT Bank	0.00
Youth Leadership Cash Advance	NBT Bank	705.00
<b>27000 - OFFICE OF TEMPORARY &amp; DISABILITY ASSISTANCE</b>		
Exchange Account	Key Bank	171,198.69
Title IV D Of Social Security	Key Bank	1,848,663.72
Travel Advance	Key Bank	10,001.14
<b>28010 - SUNY ALBANY</b>		
Fee Account	Key Bank	234,517.58
Loan Services Center Account	Key Bank	44,356.64
Petty Cash/Travel Advance	Key Bank	0.00
<b>28020 - SUNY BINGHAMTON</b>		
SUNY Binghamton	M&T Bank	400,219.08
SUNY Binghamton - Controlled Disb	M&T Bank	0.00
<b>28030 - SUNY BUFFALO</b>		
Controlled Disbursement Account	Bank of America, N.A.	0.00
General Revenue Account	Bank of America, N.A.	0.00
General Revenue Account	Key Bank	337,431.56
Imprest Account	Key Bank	0.00
<b>28050 - SUNY STONY BROOK</b>		
Central Funding	JPMorgan Chase Bank, N.A.	2,900,372.57
Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Fees Depository	JPMorgan Chase Bank, N.A.	2,821,996.60
LISVH Fees Depository	JPMorgan Chase Bank, N.A.	171,027.32
LISVH Fees Depository	Sterling Bank	821,746.84
LISVH Residence Fund	Sterling Bank	442,096.70
Payroll Advance	JPMorgan Chase Bank, N.A.	5,362.63
SBU Student Refunds Cont Disb Acct	JPMorgan Chase Bank, N.A.	0.00
Student ACH Refunds Account	JPMorgan Chase Bank, N.A.	44,155.71
SUNY Eastern Long Island Hospital Depository	JPMorgan Chase Bank, N.A.	5,879,173.22
SUNY Southampton Depository	JPMorgan Chase Bank, N.A.	5,832,899.75
University Hosp Fees Depository	JPMorgan Chase Bank, N.A.	153,737,143.78
University Hospital Petty Cash	JPMorgan Chase Bank, N.A.	1,909.35
<b>28100 - SUNY HEALTH SCIENCE CENTER AT BROOKLYN</b>		
Center Revenue	JPMorgan Chase Bank, N.A.	1,502,506.38
EFT Federal Deposits Acct	JPMorgan Chase Bank, N.A.	0.00
Hospital Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Hospital Revenue	JPMorgan Chase Bank, N.A.	107,820,295.30
LICH Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
LICH Depository	JPMorgan Chase Bank, N.A.	24,622.60
Petty Cash	JPMorgan Chase Bank, N.A.	0.00
Student Refunds	JPMorgan Chase Bank, N.A.	0.00
<b>28110 - SUNY HEALTH SCIENCE CENTER AT SYRACUSE</b>		
College Revenue	Key Bank	1,411,852.66
Controlled Disbursement	Key Bank	200.00
Hospital Revenue	Key Bank	150,889,736.71



Parking	Key Bank	514,538.00
<b>28150 - SUNY BROCKPORT</b>		
Brockport-REOC Account	Key Bank	196.58
Concentration Acct	M&T Bank	172,235.42
Controlled Disb	M&T Bank	0.00
<b>28160 - SUNY BUFFALO STATE COLLEGE</b>		
Controlled Disb	M&T Bank	0.00
Dept Public Safety	M&T Bank	0.00
Special Grant Account	M&T Bank	3,073.14
Students Acct Office	M&T Bank	551,759.59
<b>28170 - SUNY CORTLAND</b>		
General Checking Account	Key Bank	55,641.87
<b>28180 - SUNY FREDONIA</b>		
Controlled Disb	M&T Bank	0.00
Depository Account	M&T Bank	41,104.93
<b>28190 - SUNY GENESEO</b>		
Controlled Disbursement Account	Key Bank	0.00
State Fees	Key Bank	300,389.35
<b>28200 - SUNY OLD WESTBURY</b>		
Local Depository	JPMorgan Chase Bank, N.A.	46,498.46
<b>28210 - SUNY NEW PALTZ</b>		
Disbursement Account	Key Bank	0.00
State Revenue	Key Bank	374,006.93
<b>28220 - SUNY ONEONTA</b>		
Petty Cash Advance Account	NBT Bank	0.00
Revenue Account	NBT Bank	4,554,919.80
<b>28230 - SUNY OSWEGO</b>		
Controlled Disbursement	Key Bank	0.00
General Revenue	Key Bank	250,356.99
Imprest Account	Key Bank	0.00
<b>28240 - SUNY PLATTSBURGH</b>		
General Revenue	TD Bank	1,136,158.21
<b>28250 - SUNY POTSDAM</b>		
Control Disbursement Account	Key Bank	0.00
State Fee Reconciliation Account	Key Bank	111,975.75
<b>28260 - SUNY PURCHASE</b>		
General Income Fund	Key Bank	99,251.08
<b>28270 - SUNY INSTITUTE OF TECHNOLOGY UTICA/ROME</b>		
Advance Account	Bank of America, N.A.	0.00
Controlled Disbursement Account	Bank of America, N.A.	0.00
Revenue	Bank of America, N.A.	87,314.15
<b>28280 - SUNY EMPIRE STATE COLLEGE</b>		
Concentration Account	Key Bank	265,904.13
Distribution Center Account	Key Bank	116,436.72
Zero Balance Controlled Disbursement Account	Key Bank	0.00
<b>28350 - SUNY COLLEGE OF TECHNOLOGY AT ALFRED</b>		
Fees Account	Community Bank	608,390.77
<b>28360 - SUNY COLLEGE OF TECHNOLOGY AT CANTON</b>		
Community Cash Deposits	NBT Bank	294,352.20
Income Fund	Key Bank	32,607.90
International Program Account	Key Bank	37,521.36
<b>28370 - SUNY AGRICULTURAL &amp; TECHNICAL COLLEGE AT COBLESKILL</b>		
Income Fund	Key Bank	163,654.88
<b>28380 - SUNY COLLEGE OF TECHNOLOGY AT DELHI</b>		
General Revenue	Delaware National Bank	365,545.74
Petty Cash Fund	Delaware National Bank	0.00
<b>28390 - SUNY COLLEGE OF TECHNOLOGY AT FARMINGDALE</b>		
Income Fund	Citibank	1,310,936.21
<b>28400 - SUNY AGRICULTURAL &amp; TECHNICAL COLLEGE AT MORRISVILLE</b>		
Income Fund	Key Bank	3,741,813.29
Revenue Account	NBT Bank	11,007.67
<b>28550 - SUNY COLLEGE OF ENVIRONMENTAL SCIENCE &amp; FORESTRY</b>		
Agency Advance	Key Bank	0.00
Controlled Disb	Key Bank	0.00
ESF/GSA	Key Bank	0.00
Forestry	Community Bank	230.78
Regular Account	Key Bank	243,344.78
Student Government	Key Bank	0.00
<b>28570 - SUNY MARITIME COLLEGE</b>		
Controlled Disbursement Account	JPMorgan Chase Bank, N.A.	0.00
Cruise Account	JPMorgan Chase Bank, N.A.	3,916.57
Revenue Deposit Account	JPMorgan Chase Bank, N.A.	102,933.50
Revenue EFT Account	JPMorgan Chase Bank, N.A.	40,418.01
<b>28580 - SUNY COLLEGE OF OPTOMETRY</b>		

General Revenue	JPMorgan Chase Bank, N.A.	128,226.36
Medical Transportation	JPMorgan Chase Bank, N.A.	199.88
<b>28650 - SUNY CENTRAL SYSTEM ADMINISTRATION</b>		
ASC	Key Bank	10,000.00
NYS Iso	Key Bank	4,700,000.00
Revenue	Key Bank	214,671.85
<b>37000 - DEPARTMENT OF FINANCIAL SERVICES</b>		
Confidential Investigations	JPMorgan Chase Bank, N.A.	9,967.00
Confidential Investigations	JPMorgan Chase Bank, N.A.	11,202.85
Fire Tax Account (Main)	Key Bank	16,243.72
Fire Tax Payment	Key Bank	0.00
General Assessment Account	JPMorgan Chase Bank, N.A.	23,524.98
General Fund	Key Bank	150,225.34
Market Stabilization Pool Account	JPMorgan Chase Bank, N.A.	3,063,720.68
Miscellaneous Account	JPMorgan Chase Bank, N.A.	143,726.57
Paid Family Leave	JPMorgan Chase Bank, N.A.	1,289,389.59
Petty Cash	Key Bank	6,000.00
Workers Comp Insurance Sec Fund Pymnt	JPMorgan Chase Bank, N.A.	100,001.91
<b>49010 - SARATOGA-CAPITAL DISTRICT STATE PARK COMMISSION</b>		
Revenue (SA)	Glens Falls National	46,260.91
SARATOGA REGION CONTRACTORS BID ACCOUNT	Key Bank	88,133.68
<b>49020 - LONG ISLAND STATE PARK COMMISSION</b>		
Contractors Bid (LI)	JPMorgan Chase Bank, N.A.	74,118.93
Regional Account (LI)	Bank of America, N.A.	1.00
Regional Account 2 (LI)	JPMorgan Chase Bank, N.A.	188,512.41
Revenue (LI)	People's United Bank	104,812.60
<b>49030 - GENESEE STATE PARK COMMISSION</b>		
Contractors Bid (GE)	Bank of Castile	47,336.46
Revenue (GE)	Bank of Castile	195,894.36
<b>49040 - NIAGARA FRONTIER STATE PARK COMMISSION</b>		
Contractors Bid (NIA)	Key Bank	887.23
Revenue (NIA)	Evans National Bank	64,327.83
<b>49050 - PALISADES INTERSTATE STATE PARK COMMISSION</b>		
Contractors Bid (PA)	JPMorgan Chase Bank, N.A.	3,096.95
<b>49070 - OFFICE OF PARKS &amp; RECREATION</b>		
Main Office - Change Fund	Key Bank	49,310.00
Main Office Account (ALB)	Key Bank	1,500.00
OPRHP Concentration Account	Key Bank	294,826.72
Petty Cash (ALB)	Key Bank	20,253.20
Revenue (NI, GE, AL, CE, TA)	M&T Bank	4,793.12
Revenue (NYC, CE, LI, PA, TA)	JPMorgan Chase Bank, N.A.	122,443.06
Revenue (SA, LI, GE, NI, CE, TA)	Bank of America, N.A.	44,275.52
Revenue (SA, NI, PA, CE, TA, TI)	Key Bank	21,245.49
Revenue (various)	Wells Fargo Bank	55,466.40
Statewide Campsite/Cabin Revenue	JPMorgan Chase Bank, N.A.	1,463.64
Statewide Credit Card Revenue, Revenue (ALB, FL, LI, PA, TI)	Key Bank	284,800.17
Statewide Housing Security Deposits	Key Bank	138,210.21
<b>49090 - FINGER LAKES STATE PARK COMMISSION</b>		
Contractors Bid (FL)	Tompkins County Trust	20,517.78
Revenue (FL)	Savannah Bank	98,828.61
Revenue (FL-Multi)	Community Bank	24,040.44
Revenue (FL-Multi-Facilities)	Tompkins County Trust	217,779.24
<b>49100 - ALLEGANY STATE PARK COMMISSION</b>		
Contractors Bid (AL)	Five Star Bank	868.00
Regional Account (AL)	Five Star Bank	133,894.38
Revenue (AL)	Five Star Bank	75,918.53
<b>49120 - CENTRAL NEW YORK STATE PARK COMMISSION</b>		
Contractors Bid (CE)	JPMorgan Chase Bank, N.A.	21,065.27
Revenue (CE, SA, TI)	NBT Bank	121,389.28
<b>49130 - TACONIC STATE PARK COMMISSION</b>		
Contractors Bid (TA)	M&T Bank	627.58
<b>49140 - THOUSAND ISLANDS STATE PARK COMMISSION</b>		
Revenue (TI)	Citizens Bank	35,740.35
Revenue (TI-Multi)	Community Bank	16,679.91
<b>50000 - OFFICE OF MENTAL HEALTH</b>		
Consolidated Advance	Bank of America, N.A.	17,343.31
Iterim Assistance Agreement	Bank of America, N.A.	No report received
OMH Medication Grant Program Acct	Bank of America, N.A.	6,956.40
Reimbursement Account	Bank of America, N.A.	No report received
<b>50010 - GREATER BINGHAMTON HEALTH CENTER</b>		
Agency Advance Account	JPMorgan Chase Bank, N.A.	9,787.88
Facility Holding Account	JPMorgan Chase Bank, N.A.	10,914.84
Patient Cash Funds	JPMorgan Chase Bank, N.A.	450,907.57
Patients Cash Account	JPMorgan Chase Bank, N.A.	395,308.03

Security Deposit	JPMorgan Chase Bank, N.A.	3,889.34
<b>50020 - KINGSBORO PSYCHIATRIC CENTER</b>		
Advance Account	Banco Popular	14,944.38
Family Care	Banco Popular	14,290.87
Holding Account	Banco Popular	217,536.40
Medicaid Outpatient Travel	Banco Popular	21.92
Patient Cash Acct (MM)	Banco Popular	292,963.69
Patient Checking Account	Citibank	48,126.65
Patient Savings Account	Banco Popular	334,919.28
Security Deposit	Banco Popular	2,489.22
Urban Oasis/EBT	Banco Popular	54,408.32
<b>50030 - BUFFALO PSYCHIATRIC CENTER</b>		
Advance Account	Key Bank	36,780.93
Facility Holding	Key Bank	16,261.38
Patient Cash Checking	Key Bank	264,512.35
<b>50080 - MANHATTAN PSYCHIATRIC CENTER</b>		
Advance Account	Sterling Bank	10,090.03
CD	Hudson Valley National Bank	425,038.94
General Fund Checking	Hudson Valley National Bank	5,518.14
Patient Cash Checking	Hudson Valley National Bank	951,065.28
Patients Money Market	Hudson Valley National Bank	72,883.62
Social Service Tokens	Hudson Valley National Bank	33,965.04
<b>50110 - ROCHESTER PSYCHIATRIC CENTER</b>		
Agency Advance	Key Bank	28,218.12
Facility Holding	Key Bank	51,211.00
Patients Cash Account	Key Bank	217,832.58
Patients Fund Savings	Key Bank	179,062.13
<b>50120 - ST LAWRENCE PSYCHIATRIC CENTER</b>		
Facility Advance Account	Community Bank	14,130.98
Facility Holding Account	Community Bank	15,148.24
Patients Cash Account	Community Bank	23,518.99
Patients Cash Savings	Community Bank	268,285.38
<b>50150 - CREEDMOOR PSYCHIATRIC CENTER</b>		
Advance Account	HSBC	69,603.96
Certificate Of Deposit	HSBC	250,000.00
Certificate Of Deposit	HSBC	150,000.00
Holding Account	HSBC	16,133.49
Medicaid Travel Account	HSBC	0.00
Money Management Account	HSBC	703,329.53
Patient Cash Account	HSBC	58,468.98
Rent Holding Account	HSBC	1,487.00
<b>50170 - ROCKLAND PSYCHIATRIC CENTER</b>		
Exchange	JPMorgan Chase Bank, N.A.	No report received
Holding Account	JPMorgan Chase Bank, N.A.	No report received
INVESTMENT CD A	Sterling Bank	No report received
INVESTMENT CD B	Sterling Bank	No report received
INVESTMENT CD C	Sterling Bank	No report received
INVESTMENT CD D	Sterling Bank	No report received
INVESTMENT CD G	Sterling Bank	No report received
INVESTMENT CD I	Sterling Bank	No report received
Patient Cash Account	JPMorgan Chase Bank, N.A.	No report received
Patient Cash Checking Account	JPMorgan Chase Bank, N.A.	No report received
Patient Cash Savings Account	JPMorgan Chase Bank, N.A.	No report received
<b>50180 - NYS PSYCHIATRIC INSTITUTE</b>		
Donation & Gift Acct/Patient Fund Acct	JPMorgan Chase Bank, N.A.	4,559.21
General	JPMorgan Chase Bank, N.A.	41,115.97
Petty Cash	JPMorgan Chase Bank, N.A.	1,018.79
<b>50190 - RICHARD H HUTCHINGS PSYCHIATRIC CENTER</b>		
Advance Account	Key Bank	16,475.98
Clients Count	Key Bank	159,124.51
Holding Account	Key Bank	17,502.80
<b>50200 - PILGRIM PSYCHIATRIC CENTER</b>		
Facility Advance Account	JPMorgan Chase Bank, N.A.	63,922.90
Facility Holding Acct	JPMorgan Chase Bank, N.A.	138,816.79
Patient Cash	JPMorgan Chase Bank, N.A.	1,277,223.88
<b>50210 - MOHAWK VALLEY PSYCHIATRIC CENTER</b>		
Advance Fund	Key Bank	12,473.28
Facility Holding	Key Bank	1,384.30
Patient Cash Checking	Key Bank	35,579.34
Patient Cash Savings	Key Bank	28,930.33
Security Deposit	Key Bank	7,022.97
<b>50310 - BRONX PSYCHIATRIC CENTER</b>		
CD	JPMorgan Chase Bank, N.A.	250,000.00
Consolidated Advance	JPMorgan Chase Bank, N.A.	18,993.47

Misc. Receipts	JPMorgan Chase Bank, N.A.	27,172.13
Patients Cash MM	JPMorgan Chase Bank, N.A.	88,207.38
Patients Checking Acct	JPMorgan Chase Bank, N.A.	458,698.63
<b>50340 - NATHAN KLINE INSTITUTE</b>		
Petty Cash Account	JPMorgan Chase Bank, N.A.	1,300.00
<b>50350 - KIRBY FORENSIC PSYCHIATRIC CENTER</b>		
Consolidated Advance Account	Hudson Valley National Bank	5,270.37
Holding Account	Hudson Valley National Bank	30,877.15
Patient Cash Account	Hudson Valley National Bank	107,280.69
<b>50390 - CENTRAL NY PSYCHIATRIC CENTER</b>		
Agency Advance Account	Key Bank	9,722.78
General Fund	Key Bank	3,450.41
Patient Checking	Key Bank	24,036.24
Patient Savings	Key Bank	266,502.94
Security Deposit	Key Bank	3,820.72
<b>50440 - MID-HUDSON FORENSIC PSYCHIATRIC CENTER</b>		
Facility Advance Account	Key Bank	16,025.00
Facility Holding Account	Key Bank	1,774.75
Patients Account	Key Bank	195,993.61
<b>50520 - BROOKLYN CHILDRENS PSYCHIATRIC CENTER</b>		
Petty Cash Advance Account	Banco Popular	4,465.00
<b>50790 - SOUTH BEACH PSYCHIATRIC CENTER</b>		
Agency Advance Checking	JPMorgan Chase Bank, N.A.	16,835.23
Facility Holding Checking	JPMorgan Chase Bank, N.A.	14,273.89
Family Care Checking	JPMorgan Chase Bank, N.A.	0.00
Patients Cash Checking	JPMorgan Chase Bank, N.A.	579,071.06
<b>50800 - BRONX CHILDRENS PSYCHIATRIC CENTER</b>		
General Fund	JPMorgan Chase Bank, N.A.	11,314.19
<b>50810 - WESTERN NY CHILDRENS PSYCHIATRIC CENTER</b>		
Agency Advance	Key Bank	2,025.93
Exchange Account	Key Bank	0.00
Patient Cash	Key Bank	140.00
<b>50850 - SAGAMORE CHILDRENS PSYCHIATRIC CENTER</b>		
Sagamore Patient Personal Acct	JPMorgan Chase Bank, N.A.	53,069.23
Sagamore Petty Cash Account	JPMorgan Chase Bank, N.A.	5,322.20
<b>50860 - ROCKLAND CHILDRENS PSYCHIATRIC CENTER</b>		
Advance Account	JPMorgan Chase Bank, N.A.	No report received
<b>50870 - QUEENS CHILDRENS PSYCHIATRIC CENTER</b>		
Queens Adv Acct	HSBC	11,230.00
Queens Childrens Account	HSBC	7,492.50
<b>50920 - ELMIRA PSYCHIATRIC CENTER</b>		
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Facility Advance Account	Chemung Canal Trust	11,172.51
General Fund Account	Chemung Canal Trust	6,400.95
IMMA	Chemung Canal Trust	46,467.18
Patients Fund Account	Chemung Canal Trust	222,211.32
<b>50980 - CAPITAL DISTRICT PSYCHIATRIC CENTER</b>		
Facility Advance Account	Key Bank	No report received
Facility Holding Account	Key Bank	No report received
Parking Garage Account	Key Bank	No report received
Patients Cash Checking Account	Key Bank	No report received
Patients Cash Savings Account	Key Bank	No report received
<b>51000 - NYS OFFICE FOR PEOPLE WITH DEVELOPMENTAL DISABILITIES</b>		
Petty Cash Acct	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	10,024,490.17
<b>51210 - HUDSON VALLEY DDSO</b>		
EBT Checking	JPMorgan Chase Bank, N.A.	No report received
Exchange Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Investor's Choice Savings	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
PTS Cash Checking	JPMorgan Chase Bank, N.A.	No report received
<b>51240 - CENTRAL NY DDSO</b>		
Advance Accounts	Key Bank	35,131.54
Clients Account	Key Bank	442,896.26
Consumer Cash - Savings	Adirondack Bank	1,798,287.49
Consumer Cash - Savings	Key Bank	3,416,594.53
<b>51250 - TACONIC DDSO</b>		
Agency Advance Account	M&T Bank	64,562.47
General Fund	M&T Bank	(458.21)
Patients Cash Checking	M&T Bank	2,484,949.80
500 Balltown Rd Schenectady, NY		
TDDSO Representative Payee Savings Acct	The Adirondack Trust Company	911,560.97

**51270 - STATEN ISLAND DDSO**

Clients Cash Account  
General Fund Account  
Money Market Account  
Petty Cash Account

JPMorgan Chase Bank, N.A. No report received  
JPMorgan Chase Bank, N.A. No report received  
JPMorgan Chase Bank, N.A. No report received  
JPMorgan Chase Bank, N.A. No report received

**51290 - CAPITAL DISTRICT DDSO**

Agency Advance Account  
Agency Advance Account  
Consumer EBT Fund / Fiduciary Account  
Consumers Fund / Fiduciary Account  
Patients Account Direct Deposit / Fiduciary Acct  
Patients Fund Operating Acct / Fiduciary Acct  
Summer Camp - Fiduciary Acct

Key Bank No report received  
The Adirondack Trust Company No report received  
Key Bank No report received  
Key Bank No report received  
The Adirondack Trust Company No report received  
The Adirondack Trust Company No report received  
The Adirondack Trust Company No report received

**51330 - WESTERN NY DDSO**

Agency Advance Account  
CD-Patient Property Funds  
Exchange Account  
General Account  
Patient Property Funds  
Patient Property Funds

M&T Bank No report received  
Key Bank No report received  
M&T Bank No report received  
Community Bank No report received  
Adirondack Bank No report received  
M&T Bank No report received

**51350 - LONG ISLAND DDSO**

Consolidated Advance  
EBT Checking Account  
General Fund  
Patient Cash

JPMorgan Chase Bank, N.A. No report received  
JPMorgan Chase Bank, N.A. No report received  
JPMorgan Chase Bank, N.A. No report received  
JPMorgan Chase Bank, N.A. No report received

**51380 - BROOKLYN DDSO**

CD  
Consumers Fund  
Consumers Money Management  
Miscellaneous Receipts  
Petty Cash - Mental Hygiene Brooklyn  
Thomas Shirtz Community Service

Banco Popular No report received  
JPMorgan Chase Bank, N.A. 402,883.48  
JPMorgan Chase Bank, N.A. 687,226.43  
JPMorgan Chase Bank, N.A. 218,469.41  
JPMorgan Chase Bank, N.A. 22,307.11  
JPMorgan Chase Bank, N.A. 6,773.45

**51420 - SUNMOUNT DDSO**

Certificate of Deposit  
Community Store Fund  
Exchange Fund  
Residents Fund  
Sunmount Advance Account

Community Bank No report received  
Community Bank No report received  
Community Bank No report received  
Community Bank No report received  
Community Bank No report received

**51430 - INSTITUTE FOR BASIC RESEARCH IN DEVELOPMENTAL DISABILITIES**

Petty Cash

JPMorgan Chase Bank, N.A. 3,000.00

**51450 - METRO NY DDSO**

Consolidated Acct  
Manhattan DDSO Client Cash  
Metro NY DDSO Food Stamp Acct  
Metro NY DDSO REP PAYEE ACCOUNT  
Patients Cash Account  
Patients Money Market Account  
Petty Cash

JPMorgan Chase Bank, N.A. 31,825.56  
JPMorgan Chase Bank, N.A. 26,018.60  
JPMorgan Chase Bank, N.A. 0.00  
JPMorgan Chase Bank, N.A. 509,430.00  
JPMorgan Chase Bank, N.A. 18,798.32  
JPMorgan Chase Bank, N.A. 0.10  
JPMorgan Chase Bank, N.A. 0.00

**51470 - BERNARD M FINESON DDSO**

Money Market Account  
Patient Fund Account  
Petty Cash Fund

JPMorgan Chase Bank, N.A. 307,071.49  
JPMorgan Chase Bank, N.A. 280,011.15  
JPMorgan Chase Bank, N.A. 14,950.63

**51780 - FINGER LAKES DDSO**

Advance Account  
F.L. Newark Resident Checking  
Finger Lakes Resident Checking  
FL Vending Machine Account  
General Account  
Geneseo Client Cash  
Kelsey Trust Fund  
Mary Moore Trust Fund  
Monroe Resident Checking  
Monroe Resident Savings  
Patient Food Stamp Account

JPMorgan Chase Bank, N.A. No report received  
Community Bank No report received  
JPMorgan Chase Bank, N.A. No report received  
Community Bank No report received  
JPMorgan Chase Bank, N.A. No report received  
Bank of America, N.A. No report received  
Bank of America, N.A. No report received  
Bank of America, N.A. No report received  
JPMorgan Chase Bank, N.A. No report received  
JPMorgan Chase Bank, N.A. No report received  
JPMorgan Chase Bank, N.A. No report received

**51940 - BROOME DDSO**

Broome DDSO  
Broome DDSO - Advance Account  
Broome DDSO - General Fund  
Disabled Individuals Savings  
Disabled Individuals Savings  
OMRDD Broome DDSO EBT Checking

M&T Bank No report received  
M&T Bank No report received  
M&T Bank No report received  
Key Bank No report received  
M&T Bank No report received  
M&T Bank No report received

**53000 - OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES**

Agency Advance Acct  
Creedmoor PNA Account

Key Bank No report received  
JPMorgan Chase Bank, N.A. No report received

Patient Fees	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	No report received
<b>53020 - KINGSBORO ALCOHOLISM TREATMENT CENTER</b>		
Petty Cash Advance Account	Bank of America, N.A.	674.85
<b>70000 - CUNY UNIVERSITY MANAGEMENT &amp; PROGRAM BOARD OF HIGHER EDUCATION</b>		
CUNY Admin Imprest Cash Account	Citibank	11,103.68
<b>70030 - CUNY HUNTER COLLEGE</b>		
Travel Petty Cash Account	Citibank	50,000.00
<b>70060 - CUNY JOHN JAY COLLEGE</b>		
CUNY JOHN JAY COLLEGE IMPREST FUND	Citibank	16,681.16
<b>70070 - CUNY LEHMAN COLLEGE</b>		
Lehman College	Citibank	4,345.50
<b>70080 - CUNY YORK COLLEGE</b>		
York College Imprest Funds	Citibank	11,288.64
York College Travel Advance Fund	Citibank	817.00
<b>70100 - CUNY COLLEGE OF STATEN ISLAND</b>		
CSI Imprest Cash	TD Bank	4,912.75
<b>70120 - CUNY NYC COLLEGE OF TECHNOLOGY</b>		
NY City College Of Technology Technical College Imprest Fund	Popular Community Bank	5,000.00
<b>70150 - CUNY SCHOOL OF LAW</b>		
CUNY School Of Law	TD Bank	25,609.04

The above balances represent funds deposited in various banking institutions as reported by the State department and agencies, and published in accordance with Section 107 of the State Finance Law.

*Division of the Treasury, Department of Taxation and Finance*  
**Christopher Curtis** *Deputy Commissioner and State Treasurer*



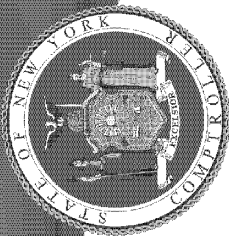
**FUNDS OF THE DIVISION OF THE TREASURY OF WHICH THE COMMISSIONER OF TAXATION AND FINANCE  
IS THE SOLE CUSTODIAN WITH BALANCES AS OF 09/30/2020**

ACCOUNT DESCRIPTION	DEPOSITORY	BALANCE AS OF 09/30/2020
<b>COMMUNITY COLLEGE TUITION AND INSTRUCTIONAL INCOME FUND</b>		
0232 Upstate Community Colleges, Series 2005B	Key Bank	7,515.75
<b>DEPARTMENT OF FINANCIAL SERVICES</b>		
0001 Property/Casualty Insurance Security Fund	Key Bank	111,128.83
0002 Public Motor Vehicle Security Fund	JPMorgan Chase Bank, N.A.	980,418.02
0003 Workers' Compensation Security Fund	JPMorgan Chase Bank, N.A.	246,957.88
<b>DORMITORY AUTHORITY OF THE STATE OF NEW YORK</b>		
0039 Mental Hygiene Facilities Improvement Fund Income Account	Bank of America, N.A.	2,122.71
0104 Lincoln Medical and Mental Health Center Project Construction Account	Key Bank	12,015.27
0105 Greenpoint Medical and Mental Health Center Project Construction Account	Key Bank	299,399.81
0149 State Advances Repayment Account	Bank of America, N.A.	0.00
<b>HOMELESS HOUSING ASSISTANCE CORPORATION</b>		
0320 Social Services Homeless Housing and Assistance Corporation Operating Account	Key Bank	11,929,514.22
<b>NELSON A. ROCKEFELLER EMPIRE STATE PLAZA PERFORMING ARTS CENTER CORPORATION</b>		
0315 The Egg	Key Bank	7,640.26
<b>NEW YORK CONVENTION CENTER</b>		
0300 Operating Fund	JPMorgan Chase Bank, N.A.	31,978,832.51
<b>NEW YORK JOB DEVELOPMENT AUTHORITY</b>		
0036 Special Purpose Fund	Bank of America, N.A.	265,336.19
0371 Series H Commercial Paper	Bank of America, N.A.	1,416,901.69
0389 Daily Demand Special Purpose Bonds Series 1992A-B	JPMorgan Chase Bank, N.A.	339,133.66
0423 Escrow Account for USA Industries Inc.	Key Bank	37,274.67
0424 Escrow Account for Pluritec USA Inc.	Key Bank	19,782.38
0426 Agriculture Loan Program	Key Bank	28,731.51
<b>NYS AFFORDABLE HOUSING CORPORATION</b>		
0491 Disbursement Account	JPMorgan Chase Bank, N.A.	301,767.00
0520 Development Account	Key Bank	3,296,158.86
0522 Repayment Account	Key Bank	1,959,193.72
0523 Recapture Account	Key Bank	147,025.37
0880 Payroll Account	JPMorgan Chase Bank, N.A.	0.00
<b>NYS DEPARTMENT OF HEALTH</b>		
0004 Medical Indemnity Fund	JPMorgan Chase Bank, N.A.	460,671.75
<b>NYS DEPARTMENT OF TAXATION AND FINANCE</b>		
0510 Excelsior Linked Deposit Fund	Key Bank	0.00
0600 World Trade Center Memorial Foundation Fund Account	Bank of America, N.A.	110,518.19
0625 Advance Acct/Imprest Confidential Fund	Bank of America, N.A.	29,900.00
0626 Criminal Investigation Division	Key Bank	338,272.25
0778 PIT/STAR Rebate Exchange Account	JPMorgan Chase Bank, N.A.	0.00
0800 NYS IRS PIT offset account	Key Bank	0.00
0827 Stock Transfer Incentive Fund	Key Bank	1,090,528.60
0847 Pari-Mutuel Revenue Transfer Account	Key Bank	0.00
0848 Off-Track Bet Tax Revenue Transfer Account	Key Bank	0.00
<b>NYS HOUSING FINANCE AGENCY</b>		
0252 Energy Conservation/Tenant Health & Safety Improvement Account	JPMorgan Chase Bank, N.A.	205,007.68
0254 Agency Assisted Housing Operation Fund	JPMorgan Chase Bank, N.A.	5,017,050.35
0267 Neighborhood Stabilization Program - Round 1	JPMorgan Chase Bank, N.A.	87,646.12
0274 NYSHFA Special Reserve Fund	JPMorgan Chase Bank, N.A.	1,346,326.06
0283 Small Owner's Assistance Program Account	Key Bank	75,277.71
0285 Public Purpose Account	Key Bank	5,063,158.93
0286 Disbursement Account	Key Bank	4,289,208.38
0287 Infrastructure Development Fund	Key Bank	197,817.52
0288 Mobile Home Cooperative Fund	Key Bank	23,793.33
0292 Homeless Housing Initiatives	Key Bank	199,854.21
0294 Housing Plan Fund	Key Bank	400,903.54
0301 HFA - Subsidy Repayment Account	Key Bank	179,516.63
0305 HPD Disbursement Fund	Key Bank	1,885,387.19
0879 Payroll Account	JPMorgan Chase Bank, N.A.	153,543.89
<b>NYS HOUSING TRUST FUND CORPORATION</b>		
0458 Section 8 Housing Assistance Payment Account	JPMorgan Chase Bank, N.A.	937,627.60
0460 Escrow Account	M&T Bank	6,304,627.76
0461 Housing Modernization Account	M&T Bank	1,282,846.52

0462	General Custodial Account	M&T Bank	62,381,233.67
0465	Home Program Account	M&T Bank	9,877,066.66
0466	Homes for Working Families Account	M&T Bank	7,637,726.34
0467	Section 8 Administrative Account	M&T Bank	17,345,052.68
0469	OCR Community Miscellaneous Programs Account	M&T Bank	18,869,366.43
0470	HCV Main Account	Bank of America, N.A.	41,245,793.37
0471	Empire State Relief Fund	M&T Bank	507,678.07
0472	Master Escrow Account	Bank of America, N.A.	0.00
0473	Family Self-Sufficiency Account	Bank of America, N.A.	4,324,093.80
0475	Reserve Account	Bank of America, N.A.	1,683,493.88
0480	OHP Miscellaneous Programs Account	Bank of America, N.A.	14,870,641.59
0891	Small Cities Community Development Block Grant Program	M&T Bank	17,008.10
0892	Disaster Recovery Initiative Account	M&T Bank	262,969.33
0893	Payroll Account for Small Cities CDBG Program	M&T Bank	158.69
0895	HTFC Storm Recovery Payment	M&T Bank	2,566,546.56
0899	HTFC Storm Recovery Lockbox	US Bank	42,777.09
<b>NYS TEACHERS RETIREMENT SYSTEM</b>			
0052	Main Account	JPMorgan Chase Bank, N.A.	576,819.01
0052	Master Funding Account	State Street Bank & Trust Co.	0.00
0853	Excess Benefit Fund	JPMorgan Chase Bank, N.A.	3,044,640.26
<b>STATE INSURANCE FUND</b>			
0053	State Insurance Fund	Bank of America, N.A.	74,328,215.22
0054	State Insurance Fund	Bank of America, N.A.	12,459,642.43
0055	State Insurance Fund	Bank of America, N.A.	17,823,658.93
0861	Disability Benefits Fund Tax Escrow Account	Bank of America, N.A.	24,272.68
<b>STATE UNIVERSITY CONSTRUCTION FUND</b>			
0034	Income Fund	Key Bank	1,057,789.82
0075	Educational Facilities Revenue Bonds Debt Service Account	Key Bank	31,805.88
0870	Deductions Account	Key Bank	120,472.09

The above balances represent funds deposited in various banking institutions per the records of the Department of Taxation and Finance, Division of Treasury, and published in accordance with Section 107 of the State Finance Law.

*Division of the Treasury, Department of Taxation and Finance*  
**Christopher Curtis** Deputy Commissioner and State Treasurer



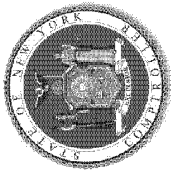
Office of the NEW YORK STATE  
COMPTROLLER

# Comptroller's Monthly Report on State Funds Cash Basis of Accounting

SEPTEMBER 2020

Office of Operations  
Division of Payroll, Accounting and Revenue Services  
Bureau of Financial Reporting and Oil Spill Remediation

NYS Comptroller  
THOMAS P. DINAPOLI



STATE OF NEW YORK  
OFFICE OF OPERATIONS  
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES  
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DINAPOLI  
STATE COMPTROLLER

**COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING**

September 30, 2020

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## EXHIBIT A

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(amounts in millions)

		GENERAL			SPECIAL REVENUE			DEBT SERVICE			CAPITAL PROJECTS			TOTAL GOVERNMENTAL FUNDS			YEAR OVER YEAR		
		MONTH OF SEP. 2020	6 MOS. ENDED SEP. 30, 2020	MONTH OF SEP. 2020	6 MOS. ENDED SEP. 30, 2020	MONTH OF SEP. 2020	6 MOS. ENDED SEP. 30, 2020	MONTH OF SEP. 2020	6 MOS. ENDED SEP. 30, 2020	MONTH OF SEP. 2020	6 MOS. ENDED SEP. 30, 2020	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	\$ Increase/ (Decrease)	% Increase/ Decrease				
RECEIPTS:	(3)	\$ 2,635.6	\$ 13,429.4	\$ 0.1	\$ 0.1	\$ 2,635.7	\$ 13,429.5	\$ -	\$ -	\$ 5,271.4	\$ 26,859.0	\$ 4,831.4	\$ 27,981.4	\$ (1,122.4)	-4.0%				
Personal Income Tax		804.0	3,478.0	170.2	879.2	708.9	3,110.8	61.0	257.2	1,744.1	7,725.2	1,835.2	9,165.3	(1,440.1)	-15.7%				
Consumption/Use Taxes		1,281.5	2,930.7	273.5	828.7	-	-	57.4	265.9	1,612.4	4,025.3	1,618.4	4,238.6	(213.3)	-5.0%				
Business Taxes		92.1	572.1	-	-	70.4	323.6	11.9	47.6	174.4	943.3	153.2	1,016.4	(73.1)	-7.2%				
Other Taxes		123.3	5,680.2	1,795.5	8,045.1	36.2	232.1	480.4	2,651.1	2,444.4	16,608.5	2,761.9	13,067.4	3,541.1	27.1%				
Miscellaneous Receipts		0.1	0.1	9,934.4	41,787.0	24.4	24.4	177.2	929.3	10,136.1	42,740.8	5,653.8	32,026.5	10,714.3	33.5%				
Federal Receipts		4,941.6	26,090.5	12,177.7	51,540.1	3,475.6	17,720.4	787.9	4,151.1	27,382.8	98,902.1	16,863.9	87,495.6	11,406.5	13.0%				
DISBURSEMENTS:																			
Local Assistance Grants:																			
Education		1,571.5	10,687.5	2,584.4	4,366.0	-	-	1.4	20.3	4,157.3	15,273.8	5,084.1	16,568.8	(1,295.0)	-7.8%				
Environment and Recreation		-	-	0.3	1.2	-	-	15.3	70.1	15.6	71.3	18.9	110.0	(38.7)	-35.2%				
General Government		517	565.9	3,666.8	3,951.1	-	-	18.7	206.5	3,937.2	4,723.5	132.4	1,237.3	3,486.2	281.8%				
Public Health:																			
Medicaid		1,614.5	8,247.0	5,390.1	26,000.4	-	-	-	-	7,004.6	34,247.4	5,060.5	32,894.3	1,353.1	4.1%				
Other Public Health		247.3	1,104.9	805.5	3,666.8	-	-	25.7	258.6	1,078.5	5,030.3	954.2	5,107.8	(77.5)	-1.5%				
Public Safety		14.1	29.3	138.2	773.8	-	-	0.7	6.5	151.0	809.6	103.7	866.9	112.7	16.2%				
Public Welfare		426.7	1,357.6	950.4	1,820.3	-	-	110.4	342.7	1,487.5	3,520.6	706.5	2,527.1	993.5	39.3%				
Support and Regulate Business		5.6	30.6	5.3	22.4	-	-	11.4	179.6	22.3	232.6	74.4	613.4	(380.8)	-62.1%				
Transportation		2.0	39.3	245.8	1,438.9	-	-	369.3	178.5	618.1	2,206.7	839.1	2,749.2	(542.5)	-19.7%				
Total Local Assistance Grants		3,933.4	22,262.1	13,985.8	42,040.9	-	-	552.9	1,812.8	18,472.1	66,115.8	12,973.8	67,504.8	3,611.0	5.8%				
Departmental Operations:																			
Personal Service		835.8	4,387.4	691.2	3,294.1	-	-	-	-	1,527.0	7,681.5	1,115.1	7,544.5	137.0	1.8%				
Non-Personal Service		280.7	649.8	488.1	2,765.8	7.9	29.6	-	-	756.7	3,445.2	593.0	3,257.0	188.2	5.8%				
General State Charges		476.3	4,385.9	138.8	667.8	-	-	-	-	616.1	5,053.7	572.7	5,343.5	(289.8)	-5.4%				
Debt Service, Including Payments on Financing Agreements		-	-	-	-	841.8	1,279.0	-	-	841.8	1,279.0	433.2	976.6	302.4	31.0%				
Capital Projects	(1)	-	-	-	2.3	849.7	1,308.6	705.7	3,611.1	705.7	3,613.4	592.6	3,432.3	181.1	5.3%				
Total Disbursements		5,506.2	31,685.2	15,304.9	48,770.9	849.7	1,308.6	1,256.6	5,423.9	22,920.4	87,188.6	16,270.4	83,058.7	4,128.9	5.0%				
Excess (Deficiency) of Receipts over Disbursements		(564.6)	(5,594.7)	(3,127.2)	2,769.2	2,625.9	15,911.8	(471.7)	(1,272.8)	(1,537.6)	11,713.5	593.5	4,436.9	7,276.6	164.0%				
OTHER FINANCING SOURCES (USES):																			
Bond and Note Proceeds (net)		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Transfers from Other Funds	(2)	2,763.6	14,644.7	58.7	1,371.6	247.4	1,057.5	250.0	1,093.2	3,319.7	18,167.0	4,741.3	24,646.6	(6,479.6)	-26.3%				
Transfers to Other Funds	(2)	(279.9)	(2,552.4)	(291.4)	(942.6)	(2,680.5)	(14,595.4)	(187.0)	(274.4)	(3,438.8)	(18,364.8)	(4,765.2)	(24,748.3)	(6,383.5)	-25.8%				
Total Other Financing Sources (Uses)		2,483.7	12,092.3	(232.7)	429.0	(2,433.1)	(13,537.9)	63.0	818.8	(119.1)	(197.8)	(23.9)	(101.7)	(96.1)	-94.5%				
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		1,919.1	6,497.6	(3,358.9)	3,198.2	192.8	2,273.9	(408.7)	(454.0)	(1,656.7)	11,515.7	569.6	4,335.2	7,180.5	165.6%				
Beginning Fund Balances (Deficits)		13,522.7	8,944.2	12,870.2	6,312.1	2,144.5	63.4	(1,080.2)	(1,034.9)	27,457.2	14,284.8	13,740.6	9,975.0	4,308.8	43.2%				
Ending Fund Balances (Deficits)		\$ 15,441.8	\$ 15,441.8	\$ 9,510.3	\$ 9,510.3	\$ 2,337.3	\$ 2,337.3	\$ (1,488.9)	\$ (1,488.9)	\$ 25,800.5	\$ 25,800.5	\$ 14,310.2	\$ 14,310.2	\$ 11,490.3	80.3%				

STATE OF NEW YORK  
GOVERNMENTAL FUNDS-STATE OPERATING (\*)  
COMBINED STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(amounts in millions)

EXHIBIT A  
SUPPLEMENTAL

	GENERAL			STATE SPECIAL REVENUE (**)			DEBT SERVICE			TOTAL STATE OPERATING FUNDS				% Increase/ Decrease
	MONTH OF SEP. 2020	6 MOS. ENDED SEP. 30, 2020	MONTH OF SEP. 2020	6 MOS. ENDED SEP. 30, 2020	MONTH OF SEP. 2020	6 MOS. ENDED SEP. 30, 2020	MONTH OF SEP. 2020	6 MOS. ENDED SEP. 30, 2020	MONTH OF SEP. 2020	6 MOS. ENDED SEP. 30, 2020	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	\$ Increase/ (Decrease)	
<b>RECEIPTS:</b>														
Personal Income Tax	(3)	\$ 2,635.6	\$ 13,429.4	\$ 0.1	\$ 0.1	\$ 2,635.7	\$ 13,429.5	\$ 5,271.4	\$ 28,859.0	\$ 4,831.4	\$ 27,981.4	\$ (1,122.4)	\$ (1,122.4)	-4.0%
Consumption/Use Taxes		804.0	3,478.0	170.2	879.2	708.9	3,110.8	1,683.1	7,468.0	1,756.4	8,827.2	1,369.2	(1,369.2)	-15.4%
Business Taxes		1,281.5	2,930.7	273.5	828.7	-	-	1,555.0	3,759.4	1,558.5	3,890.6	(131.2)	(131.2)	-3.4%
Other Taxes		92.1	572.1	-	-	70.4	323.6	162.5	895.7	141.3	968.8	(73.1)	(73.1)	-7.5%
Miscellaneous Receipts		128.3	5,680.2	1,790.7	7,930.6	36.2	232.1	1,955.2	13,842.9	2,168.8	11,284.4	2,558.5	2,558.5	22.7%
Federal Receipts		0.1	0.1	25.4	27.9	24.4	24.4	49.9	52.4	0.3	54.7	(2.3)	(2.3)	-4.2%
<b>Total Receipts</b>		<b>4,941.6</b>	<b>26,090.5</b>	<b>2,259.9</b>	<b>9,666.5</b>	<b>3,475.6</b>	<b>17,120.4</b>	<b>10,677.1</b>	<b>52,877.4</b>	<b>10,456.7</b>	<b>53,007.1</b>	<b>(129.7)</b>	<b>(129.7)</b>	<b>-0.2%</b>
<b>DISBURSEMENTS:</b>														
Local Assistance Grants:														
Education		1,571.5	10,887.5	2,290.3	2,622.6	-	-	3,861.8	13,510.1	4,854.3	14,583.2	(1,073.1)	(1,073.1)	-7.4%
Environment and Recreation		-	-	0.4	0.9	-	-	0.4	0.9	1.0	2.1	(1.2)	(1.2)	-57.1%
General Government		51.7	565.9	10.8	71.3	-	-	62.5	637.2	71.2	789.8	(152.6)	(152.6)	-19.3%
Public Health:														
Medicaid		1,614.5	8,247.0	521.0	2,801.8	-	-	2,135.5	11,048.8	1,915.8	13,103.5	(2,054.7)	(2,054.7)	-15.7%
Other Public Health		247.3	1,104.9	190.8	410.8	-	-	438.1	1,515.7	287.2	1,609.3	(93.6)	(93.6)	-5.8%
Public Safety		14.1	29.3	20.5	88.1	-	-	34.6	117.4	41.8	189.9	(72.5)	(72.5)	-38.2%
Public Welfare		426.7	1,357.6	0.1	1.0	-	-	426.8	1,358.6	170.0	835.0	523.6	523.6	62.7%
Support and Regulate Business		5.6	30.6	5.3	17.4	-	-	10.9	48.0	11.7	92.5	(44.5)	(44.5)	-48.1%
Transportation		2.0	39.3	241.1	1,409.9	-	-	243.1	1,449.2	327.1	1,764.1	(314.9)	(314.9)	-17.9%
<b>Total Local Assistance Grants</b>		<b>3,933.4</b>	<b>22,262.1</b>	<b>3,280.3</b>	<b>7,423.8</b>	<b>-</b>	<b>-</b>	<b>7,213.7</b>	<b>29,695.9</b>	<b>7,660.1</b>	<b>32,969.4</b>	<b>(3,283.5)</b>	<b>(3,283.5)</b>	<b>-10.0%</b>
Departmental Operations:														
Personal Service		835.8	4,387.4	607.2	2,694.9	-	-	1,443.0	7,082.3	1,064.2	7,231.4	(149.1)	(149.1)	-2.1%
Non-Personal Service		260.7	649.8	260.1	1,177.6	7.9	29.6	528.7	1,857.0	406.6	2,640.7	(783.7)	(783.7)	-29.7%
General State Charges		476.3	4,385.9	116.3	403.6	-	-	592.6	4,789.5	548.2	5,179.4	(389.9)	(389.9)	-7.5%
Debt Service, Including Payments on Financing Agreements		-	-	-	-	841.8	1,279.0	841.8	1,279.0	433.2	976.6	302.4	302.4	31.0%
Capital Projects		-	-	-	-	-	-	-	-	-	-	-	-	0.0%
<b>Total Disbursements</b>		<b>5,506.2</b>	<b>31,685.2</b>	<b>4,263.9</b>	<b>11,698.9</b>	<b>849.7</b>	<b>1,308.6</b>	<b>10,619.8</b>	<b>44,683.7</b>	<b>10,112.3</b>	<b>48,997.5</b>	<b>(4,303.9)</b>	<b>(4,303.9)</b>	<b>-8.8%</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>		<b>(564.6)</b>	<b>(5,594.7)</b>	<b>(2,004.0)</b>	<b>(2,033.4)</b>	<b>2,625.9</b>	<b>15,811.8</b>	<b>57.3</b>	<b>8,183.7</b>	<b>344.4</b>	<b>4,009.6</b>	<b>4,174.1</b>	<b>4,174.1</b>	<b>104.1%</b>
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	(2)	2,763.6	14,641.7	206.1	1,619.3	247.4	1,057.5	3,217.1	17,321.5	4,021.1	21,968.4	(4,646.9)	(4,646.9)	-21.2%
Transfers to Other Funds	(2)	(279.9)	(2,552.4)	(147.0)	(182.7)	(2,680.5)	(14,595.4)	(3,107.4)	(17,330.5)	(4,487.3)	(23,705.7)	(6,375.2)	(6,375.2)	-26.9%
<b>Total Other Financing Sources (Uses)</b>		<b>2,483.7</b>	<b>12,089.3</b>	<b>59.1</b>	<b>1,436.6</b>	<b>(2,433.1)</b>	<b>(13,537.9)</b>	<b>109.7</b>	<b>(9.0)</b>	<b>(446.2)</b>	<b>(1,737.3)</b>	<b>1,728.3</b>	<b>1,728.3</b>	<b>99.5%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>		<b>1,919.1</b>	<b>6,497.6</b>	<b>(1,944.9)</b>	<b>(596.8)</b>	<b>192.8</b>	<b>2,273.9</b>	<b>167.0</b>	<b>8,174.7</b>	<b>(101.8)</b>	<b>2,272.3</b>	<b>5,902.4</b>	<b>5,902.4</b>	<b>259.8%</b>
<b>Beginning Fund Balances (Deficits)</b>		<b>13,522.7</b>	<b>8,944.2</b>	<b>6,748.8</b>	<b>5,400.7</b>	<b>2,144.5</b>	<b>63.4</b>	<b>22,416.0</b>	<b>14,408.3</b>	<b>14,735.4</b>	<b>12,361.3</b>	<b>2,047.0</b>	<b>2,047.0</b>	<b>16.6%</b>
<b>Ending Fund Balances (Deficits)</b>		<b>\$ 15,441.8</b>	<b>\$ 15,441.8</b>	<b>\$ 4,803.9</b>	<b>\$ 4,803.9</b>	<b>\$ 2,337.3</b>	<b>\$ 2,337.3</b>	<b>\$ 22,583.0</b>	<b>\$ 22,583.0</b>	<b>\$ 14,633.6</b>	<b>\$ 14,633.6</b>	<b>\$ 7,949.4</b>	<b>\$ 7,949.4</b>	<b>54.3%</b>

(\*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.  
(\*\*) Eliminations between Special Revenue - State and Federal Funds are not included.



**GOVERNMENTAL FUNDS FOOTNOTES****EXHIBIT A NOTES  
SEPTEMBER 2020**

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$212.5 million
Urban Development Corporation (Youth Facilities)	15.8
Housing Finance Agency (HFA)	424.3
Housing Assistance Fund	12.9
Dormitory Authority (Mental Hygiene)	383.6
Dormitory Authority and State University Income Fund	273.1
Federal Capital Projects	553.3
State bond and note proceeds	169.8

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

**General Fund** "Transfers to Other Funds" are as follows:

State Capital Projects Fund	\$816.6 million
General Debt Service Fund	91.1
Banking Services Account	14.4
Building Administration Account	8.0
Business Services Center	26.9
Centralized Tech Services	11.5
Court Facilities Incentive Aid Fund	62.6
Dedicated Highway & Bridge Trust Fund	33.0
Dedicated Infrastructure Investment Fund	204.0
Dedicated Mass Transportation - Railroad Account	4.4
Dedicated Mass Transportation - Transit Authority Account	24.4
Dedicated Mass Transportation - (Non MTA)	2.5
Environmental Protection Fund	14.0
Health Insurance Revolving Fund	12.0
Housing Debt Service Fund	1.8
Mass Transportation Operating Assistance Fund	24.4
Mass Transportation Financial Assistance	195.4
New York Central Business District Trust Fund	75.0
New York City County Clerks' Operations Offset	2.8
State Fair Receipts	3.0
State University Income Fund	865.0

Also included in the General Fund are transfers representing payments for patients residing in State-operated health, mental hygiene and State University facilities to Debt Service funds (\$4.0m), and the State University Income Fund (\$55.1m).

\$724(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of September 30, 2020 - pursuant to a certification of the Budget Director - payment obligations were met out of these reserves and future payment amounts were scheduled for transfer at the commencement of the succeeding month.

**Special Revenue Funds** "Transfers To Other Funds" includes transfers to Mental Health Services Fund and Department of Health Income Fund (\$692.2m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities, the General Debt Service Fund (\$4.9m), Medicaid Management Information System Escrow Fund (\$122.3m), SUNY Capital Projects Fund (\$4.0m), and All Other Capital Projects (\$21.7m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

Federal Department of Health Services Fund	\$51.2 million
Federal Operating Grants Fund	5.3
Federal USDA/Food and Nutrition Services Fund	7.7
SUNY Income Fund	20.3
Unemployment Insurance Administration Fund	2.7
Unemployment Insurance Interest & Penalty Account	8.8

**Debt Service Funds** "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	\$11,010.4 million
Local Government Assistance Tax Fund	1,555.5
Sales Tax Revenue Bond Tax Fund	954.9
Clean Water/Clean Air Fund	298.6
Mental Health Services Fund	716.9

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Department of Health (\$59.2m).

**Capital Projects Funds** "Transfers To Other Funds" includes transfers to the General Fund (\$10.9m), the General Debt Service Fund - Lease Purchase (\$61.6m), and the Revenue Bond Tax Fund (\$201.9m).

3. A portion of Personal Income Tax receipts is transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. School Tax Relief payments were (\$0.1m) as of September 30, 2020.

STATE OF NEW YORK  
PROPRIETARY FUNDS  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(amounts in millions)

EXHIBIT B

	ENTERPRISE			INTERNAL SERVICE			TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF SEP. 2020	6 MOS. ENDED SEP. 30, 2020	MONTH OF SEP. 2020	6 MOS. ENDED SEP. 30, 2020	MONTH OF SEP. 2020	6 MOS. ENDED SEP. 30, 2020	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	\$ Increase/ (Decrease)	% Increase/ Decrease		
RECEIPTS:												
Miscellaneous Receipts	\$ 7.0	\$ 37.0	\$ 34.1	\$ 168.7	\$ 41.1	\$ 205.7	\$ 78.9	\$ 309.6	\$ (103.9)	-33.6%		
Federal Receipts	2,491.7	33,873.9	-	-	2,491.7	33,873.9	0.9	5.8	33,868.1	583,932.8%		
Unemployment Taxes	1,396.4	11,925.9	-	-	1,396.4	11,925.9	150.3	958.5	10,967.4	1,144.2%		
Total Receipts	3,895.1	45,836.8	34.1	168.7	3,929.2	46,005.5	230.1	1,273.9	44,731.6	3,511.4%		
DISBURSEMENTS:												
Departmental Operations:												
Personal Service	1.7	7.5	14.6	73.4	16.3	80.9	10.0	64.8	16.1	24.8%		
Non-Personal Service	4.4	27.8	35.2	209.1	39.6	236.9	38.4	220.9	16.0	7.2%		
General State Charges	0.2	0.9	4.8	33.8	5.0	34.7	4.7	30.4	4.3	14.1%		
Unemployment Benefits	3,888.9	45,791.2	-	-	3,888.9	45,791.2	151.4	965.2	44,826.0	4,644.2%		
Total Disbursements	3,895.2	45,827.4	54.6	316.3	3,949.8	46,143.7	204.5	1,281.3	44,862.4	3,501.3%		
Excess (Deficiency) of Receipts Over Disbursements	(0.1)	9.4	(20.5)	(147.6)	(20.6)	(138.2)	25.6	(7.4)	(130.8)	-1,767.6%		
OTHER FINANCING SOURCES (USES):												
Transfers from Other Funds	-	3.0	3.1	72.7	3.1	75.7	5.3	45.4	30.3	66.7%		
Transfers to Other Funds	-	-	(0.1)	(0.3)	(0.1)	(0.3)	(0.5)	(0.9)	(0.6)	-66.7%		
Total Other Financing Sources (Uses)	-	3.0	3.0	72.4	3.0	75.4	4.8	44.5	30.9	69.4%		
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(0.1)	12.4	(17.5)	(75.2)	(17.6)	(62.8)	30.4	37.1	(99.9)	-269.3%		
Beginning Fund Balances (Deficits)	42.2	29.7	(355.2)	(297.5)	(313.0)	(267.8)	(269.4)	(276.1)	8.3	3.0%		
Ending Fund Balances (Deficits)	\$ 42.1	\$ 42.1	\$ (372.7)	\$ (372.7)	\$ (330.6)	\$ (330.6)	\$ (239.0)	\$ (239.0)	\$ (91.6)	-38.3%		

STATE OF NEW YORK  
TRUST FUNDS  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(amounts in millions)

EXHIBIT C

	PENSION			PRIVATE PURPOSE			TOTAL TRUST FUNDS				YEAR OVER YEAR	
	MONTH OF SEP. 2020	6 MOS. ENDED SEP. 30, 2020		MONTH OF SEP. 2020	6 MOS. ENDED SEP. 30, 2020		MONTH OF SEP. 2020	6 MOS. ENDED SEP. 30, 2020	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	\$ Increase/ (Decrease)	% Increase/ Decrease
	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	%
<b>RECEIPTS:</b>												
Miscellaneous Receipts	14.3	62.6	-	-	-	-	14.3	62.6	5.3	59.3	3.3	5.6%
Total Receipts	14.3	62.6	-	-	-	-	14.3	62.6	5.3	59.3	3.3	5.6%
<b>DISBURSEMENTS:</b>												
Departmental Operations:												
Personal Service	8.3	38.7	-	-	0.1	-	8.3	38.8	5.3	33.9	4.9	14.5%
Non-Personal Service	0.8	4.6	-	-	-	-	0.8	4.6	1.6	7.3	(2.7)	-37.0%
General State Charges	3.5	22.4	-	-	0.1	-	3.5	22.5	3.4	21.5	1.0	4.7%
Total Disbursements	12.6	65.7	-	-	0.2	-	12.6	65.9	10.3	62.7	3.2	5.1%
Excess (Deficiency) of Receipts Over Disbursements	1.7	(3.1)	-	-	(0.2)	-	1.7	(3.3)	(5.0)	(3.4)	0.1	2.9%
<b>OTHER FINANCING SOURCES (USES):</b>												
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1.7	(3.1)	-	-	(0.2)	-	1.7	(3.3)	(5.0)	(3.4)	0.1	2.9%
Beginning Fund Balances (Deficits)	(5.9)	(1.1)	14.1	14.1	14.3	14.3	8.2	13.2	11.8	10.2	3.0	29.4%
Ending Fund Balances (Deficits)	(4.2)	(4.2)	\$ 14.1	\$ 14.1	\$ 14.1	\$ 14.1	\$ 9.9	\$ 9.9	\$ 6.8	\$ 6.8	\$ 3.1	45.6%

## EXHIBIT D

STATE OF NEW YORK  
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
FISCAL YEAR 2020-2021  
FOR SIX MONTHS ENDED SEPTEMBER 30, 2020  
(amounts in millions)

	ALL GOVERNMENTAL FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
<b>RECEIPTS:</b>					
Taxes:					
Personal Income	\$ 25,756.0	\$ 26,364.0	\$ 26,859.0	\$ 1,103.0	\$ 495.0
Consumption/Use	7,631.0	7,087.0	7,725.2	94.2	638.2
Business	4,191.0	3,958.0	4,025.3	(165.7)	67.3
Other	955.0	995.0	943.3	(11.7)	(51.7)
Miscellaneous Receipts	16,059.0	16,321.0	16,608.5	549.5	287.5
Federal Receipts	37,540.0	41,936.0	42,740.8	5,200.8	804.8
<b>Total Receipts</b>	<b>92,132.0</b>	<b>96,661.0</b>	<b>98,902.1</b>	<b>6,770.1</b>	<b>2,241.1</b>
<b>DISBURSEMENTS:</b>					
Local Assistance Grants	65,361.0	62,752.0	66,115.8	754.8	3,363.8
Departmental Operations	10,876.0	11,321.0	11,126.7	250.7	(194.3)
General State Charges	5,130.0	5,075.0	5,053.7	(76.3)	(21.3)
Debt Service	1,321.0	1,304.0	1,279.0	(42.0)	(25.0)
Capital Projects	4,751.0	4,016.0	3,613.4	(1,137.6)	(402.6)
<b>Total Disbursements</b>	<b>87,439.0</b>	<b>84,468.0</b>	<b>87,188.6</b>	<b>(250.4)</b>	<b>2,720.6</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>4,693.0</b>	<b>12,193.0</b>	<b>11,713.5</b>	<b>7,020.5</b>	<b>(479.5)</b>
<b>OTHER FINANCING SOURCES (USES):</b>					
Bond and Note Proceeds, net	-	-	-	-	-
Transfers from Other Funds	19,440.0	18,478.0	18,167.0	(1,273.0)	(311.0)
Transfers to Other Funds	(19,521.0)	(18,572.0)	(18,364.8)	(1,156.2)	207.2
<b>Total Other Financing Sources (Uses)</b>	<b>(81.0)</b>	<b>(94.0)</b>	<b>(197.8)</b>	<b>(116.8)</b>	<b>(103.8)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>4,612.0</b>	<b>12,099.0</b>	<b>11,515.7</b>	<b>6,903.7</b>	<b>(583.3)</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>14,284.0</b>	<b>14,283.0</b>	<b>14,284.8</b>	<b>0.8</b>	<b>1.8</b>
<b>Fund Balances (Deficits) at September 30, 2020</b>	<b>\$ 18,896.0</b>	<b>\$ 26,382.0</b>	<b>\$ 25,800.5</b>	<b>\$ 6,904.5</b>	<b>\$ (581.5)</b>

(\*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(\*\*) Source: 2020-21 First Quarter Update dated August 13, 2020.

## EXHIBIT D

STATE OF NEW YORK  
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
FISCAL YEAR 2020-2021  
FOR SIX MONTHS ENDED SEPTEMBER 30, 2020  
(amounts in millions)

	STATE OPERATING FUNDS (***)			
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan Updated Financial Plan
<b>RECEIPTS:</b>				
Taxes:				
Personal Income	\$ 25,756.0	\$ 26,364.0	\$ 26,859.0	\$ 1,103.0
Consumption/Use	7,383.0	6,848.0	7,468.0	85.0
Business	3,944.0	3,715.0	3,759.4	(184.6)
Other	907.0	947.0	895.7	(11.3)
Miscellaneous Receipts	13,386.0	13,428.0	13,842.9	456.9
Federal Receipts	36.0	36.0	52.4	16.4
<b>Total Receipts</b>	<b>51,412.0</b>	<b>51,338.0</b>	<b>52,877.4</b>	<b>1,465.4</b>
<b>DISBURSEMENTS:</b>				
Local Assistance Grants	31,617.0	29,264.0	29,685.9	(1,931.1)
Departmental Operations	9,814.0	8,682.0	8,939.3	(874.7)
General State Charges	4,952.0	4,762.0	4,789.5	(162.5)
Debt Service	1,321.0	1,304.0	1,279.0	(42.0)
Capital Projects	-	-	-	-
<b>Total Disbursements</b>	<b>47,704.0</b>	<b>44,012.0</b>	<b>44,693.7</b>	<b>(3,010.3)</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>3,708.0</b>	<b>7,326.0</b>	<b>8,183.7</b>	<b>857.7</b>
<b>OTHER FINANCING SOURCES (USES):</b>				
Transfers from Other Funds	17,279.0	16,936.0	17,321.5	42.5
Transfers to Other Funds	(18,330.0)	(17,399.0)	(17,330.5)	(99.5)
<b>Total Other Financing Sources (Uses)</b>	<b>(1,051.0)</b>	<b>(463.0)</b>	<b>(9.0)</b>	<b>1,042.0</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>2,657.0</b>	<b>6,863.0</b>	<b>8,174.7</b>	<b>5,517.7</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>14,408.0</b>	<b>14,407.0</b>	<b>14,408.3</b>	<b>0.3</b>
<b>Fund Balances (Deficits) at September 30, 2020</b>	<b>\$ 17,065.0</b>	<b>\$ 21,270.0</b>	<b>\$ 22,583.0</b>	<b>\$ 5,518.0</b>
				<b>\$ 1,176.0</b>

(\*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(\*\*) Source: 2020-21 First Quarter Update dated August 13, 2020.

(\*\*\*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(\*\*\*\*) Eliminations between Special Revenue - State and Federal Funds are not included.

**STATE OF NEW YORK  
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
FISCAL YEAR 2020-2021  
FOR SIX MONTHS ENDED SEPTEMBER 30, 2020  
(amounts in millions)**

EXHIBIT D

	GENERAL FUND				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
<b>RECEIPTS:</b>					
Taxes:					
Personal Income	\$ 12,878.0	\$ 13,182.0	\$ 13,429.4	\$ 551.4	\$ 247.4
Consumption/Use	3,414.0	3,165.0	3,478.0	64.0	313.0
Business	3,102.0	2,911.0	2,930.7	(171.3)	19.7
Other	592.0	614.0	572.1	(19.9)	(41.9)
Miscellaneous Receipts	5,193.0	5,639.0	5,680.2	487.2	41.2
Federal Receipts	-	-	0.1	0.1	0.1
Transfers From:					
PIT / ECET in excess of Revenue Bond Debt Service	10,938.0	10,777.0	11,010.4	72.4	233.4
Sales Tax in excess of LGAC / STRBF Debt Service	2,474.0	2,239.0	2,510.4	36.4	271.4
Real Estate Taxes in excess of CW/CA Debt Service	291.0	307.0	298.6	7.6	(8.4)
All Other	688.0	842.0	825.3	137.3	(16.7)
<b>Total Receipts and Other Financing Sources</b>	<b>39,570.0</b>	<b>39,676.0</b>	<b>40,735.2</b>	<b>1,165.2</b>	<b>1,059.2</b>
<b>DISBURSEMENTS:</b>					
Local Assistance Grants	24,249.0	22,350.0	22,262.1	(1,986.9)	(87.9)
Departmental Operations	5,968.0	4,870.0	5,037.2	(930.8)	167.2
General State Charges	4,476.0	4,383.0	4,385.9	(90.1)	2.9
Transfers To:					
Debt Service	103.0	79.0	91.1	(11.9)	12.1
Capital Projects	2,114.0	1,512.0	1,067.6	(1,046.4)	(444.4)
State Share Medicaid	-	-	59.1	59.1	59.1
SUNY Operations	1,107.0	939.0	865.0	(242.0)	(74.0)
Other Purposes	559.0	659.0	469.6	(89.4)	(189.4)
<b>Total Disbursements and Other Financing Uses</b>	<b>38,576.0</b>	<b>34,792.0</b>	<b>34,237.6</b>	<b>(4,338.4)</b>	<b>(554.4)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>994.0</b>	<b>4,884.0</b>	<b>6,497.6</b>	<b>5,503.6</b>	<b>1,613.6</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>8,944.0</b>	<b>8,944.0</b>	<b>8,944.2</b>	<b>0.2</b>	<b>0.2</b>
<b>Fund Balances (Deficits) at September 30, 2020</b>	<b>\$ 9,938.0</b>	<b>\$ 13,828.0</b>	<b>\$ 15,441.8</b>	<b>\$ 5,503.8</b>	<b>\$ 1,613.8</b>

(\*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(\*\*) Source: 2020-21 First Quarter Update dated August 13, 2020.

(\*\*\*) Includes transfers to the Department of Health Income Fund and the State University Income Fund representing payments for patients residing in State-Operated Health and State University facilities.



## EXHIBIT D

STATE OF NEW YORK  
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
FISCAL YEAR 2020-2021  
FOR SIX MONTHS ENDED SEPTEMBER 30, 2020  
(amounts in millions)

	SPECIAL REVENUE FUNDS					
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan Updated Financial Plan
<b>RECEIPTS:</b>						
Taxes:						
Personal Income	\$ -	\$ -	\$ 0.1	\$ -	\$ 0.1	\$ 0.1
Consumption/Use	879.0	829.0	879.2	-	879.2	0.2
Business	842.0	804.0	828.7	-	828.7	(13.3)
Miscellaneous Receipts	8,116.0	7,713.0	8,045.1	-	8,045.1	(70.9)
Federal Receipts	36,507.0	40,966.0	41,787.0	-	41,787.0	5,280.0
Transfers from Other Funds (***)	1,887.0	1,728.0	1,619.3	(247.7)	1,371.6	(515.4)
<b>Total Receipts and Other Financing Sources</b>	<b>48,231.0</b>	<b>52,040.0</b>	<b>53,159.4</b>	<b>(247.7)</b>	<b>52,911.7</b>	<b>871.7</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants	38,584.0	38,582.0	42,040.9	-	42,040.9	3,456.9
Departmental Operations	4,889.0	6,426.0	6,059.9	-	6,059.9	1,170.9
General State Charges	654.0	692.0	667.8	-	667.8	13.8
Capital Projects	-	-	2.3	-	2.3	2.3
Transfers to Other Funds (***)	1,003.0	974.0	1,190.3	(247.7)	942.6	(60.4)
<b>Total Disbursements and Other Financing Uses</b>	<b>45,130.0</b>	<b>46,674.0</b>	<b>49,961.2</b>	<b>(247.7)</b>	<b>49,713.5</b>	<b>3,039.5</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>3,101.0</b>	<b>5,366.0</b>	<b>3,198.2</b>	<b>-</b>	<b>3,198.2</b>	<b>(2,167.8)</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>6,312.0</b>	<b>6,311.0</b>	<b>6,312.1</b>	<b>-</b>	<b>6,312.1</b>	<b>0.1</b>
<b>Fund Balances (Deficits) at September 30, 2020</b>	<b>\$ 9,413.0</b>	<b>\$ 11,677.0</b>	<b>\$ 9,510.3</b>	<b>\$ -</b>	<b>\$ 9,510.3</b>	<b>\$ (2,166.7)</b>

(\*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(\*\*) Source: 2020-21 First Quarter Update dated August 13, 2020.

(\*\*\*) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds.

STATE OF NEW YORK  
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
FISCAL YEAR 2020-2021  
FOR SIX MONTHS ENDED SEPTEMBER 30, 2020  
(amounts in millions)

EXHIBIT D

	STATE SPECIAL REVENUE FUNDS				FEDERAL SPECIAL REVENUE FUNDS			
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Enacted Financial Plan	Updated Financial Plan (*)	Actual	Actual Over/ (Under) Updated Financial Plan
<b>RECEIPTS:</b>								
Taxes:								
Personal Income	\$ -	\$ -	\$ 0.1	\$ 0.1	\$ -	\$ -	\$ -	\$ -
Consumption/Use	879.0	829.0	879.2	0.2	-	-	-	-
Business	842.0	804.0	828.7	(13.3)	-	-	-	-
Miscellaneous Receipts	8,012.0	7,990.0	7,990.6	(81.4)	104.0	104.0	114.5	10.5
Federal Receipts	-	-	27.9	27.9	36,507.0	40,866.0	41,759.1	783.1
Transfers from Other Funds	1,887.0	1,728.0	1,619.3	(267.7)	-	-	-	-
<b>Total Receipts and Other Financing Sources</b>	<b>11,620.0</b>	<b>10,970.0</b>	<b>11,285.8</b>	<b>(334.2)</b>	<b>36,611.0</b>	<b>41,070.0</b>	<b>41,873.6</b>	<b>803.6</b>
<b>DISBURSEMENTS:</b>								
Local Assistance Grants	7,368.0	6,914.0	7,423.8	55.8	31,216.0	31,665.0	34,617.1	2,949.1
Departmental Operations	3,827.0	3,787.0	3,872.5	45.5	1,082.0	2,839.0	2,187.4	1,125.4
General State Charges	476.0	379.0	403.6	(72.4)	178.0	313.0	264.2	(48.6)
Capital Projects	-	-	-	-	-	-	2.3	2.3
Transfers to Other Funds	97.0	85.0	182.7	85.7	906.0	889.0	1,007.6	118.6
<b>Total Disbursements and Other Financing Uses</b>	<b>11,768.0</b>	<b>11,165.0</b>	<b>11,882.6</b>	<b>114.6</b>	<b>33,362.0</b>	<b>35,509.0</b>	<b>38,078.6</b>	<b>2,569.6</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(148.0)</b>	<b>(195.0)</b>	<b>(596.8)</b>	<b>(448.8)</b>	<b>3,249.0</b>	<b>5,561.0</b>	<b>3,795.0</b>	<b>(1,766.0)</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>5,401.0</b>	<b>5,400.0</b>	<b>5,400.7</b>	<b>(0.3)</b>	<b>911.0</b>	<b>911.0</b>	<b>911.4</b>	<b>0.4</b>
<b>Fund Balances (Deficits) at September 30, 2020</b>	<b>\$ 5,253.0</b>	<b>\$ 5,205.0</b>	<b>\$ 4,803.9</b>	<b>\$ (449.1)</b>	<b>\$ 4,160.0</b>	<b>\$ 6,472.0</b>	<b>\$ 4,706.4</b>	<b>\$ (1,765.6)</b>

(\*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(\*\*) Source: 2020-21 First Quarter Update dated August 13, 2020.

**STATE OF NEW YORK  
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
FISCAL YEAR 2020-2021  
FOR SIX MONTHS ENDED SEPTEMBER 30, 2020  
(amounts in millions)**

**EXHIBIT D**

	DEBT SERVICE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
<b>RECEIPTS:</b>					
Taxes:					
Personal Income	\$ 12,878.0	\$ 13,182.0	\$ 13,429.5	\$ 551.5	\$ 247.5
Consumption/Use	3,090.0	2,854.0	3,110.8	20.8	256.8
Other	315.0	333.0	323.6	8.6	(9.4)
Miscellaneous Receipts	181.0	180.0	232.1	51.1	52.1
Federal Receipts	36.0	36.0	24.4	(11.6)	(11.6)
Transfers from Other Funds	1,001.0	1,043.0	1,057.5	56.5	14.5
<b>Total Receipts and Other Financing Sources</b>	<b>17,501.0</b>	<b>17,628.0</b>	<b>18,177.9</b>	<b>676.9</b>	<b>549.9</b>
<b>DISBURSEMENTS:</b>					
Departmental Operations	19.0	25.0	29.6	10.6	4.6
Debt Service	1,321.0	1,304.0	1,279.0	(42.0)	(25.0)
Transfers to Other Funds	14,350.0	14,125.0	14,595.4	245.4	470.4
<b>Total Disbursements and Other Financing Uses</b>	<b>15,690.0</b>	<b>15,454.0</b>	<b>15,904.0</b>	<b>214.0</b>	<b>450.0</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>1,811.0</b>	<b>2,174.0</b>	<b>2,273.9</b>	<b>462.9</b>	<b>99.9</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>63.0</b>	<b>63.0</b>	<b>63.4</b>	<b>0.4</b>	<b>0.4</b>
<b>Fund Balances (Deficits) at September 30, 2020</b>	<b>\$ 1,874.0</b>	<b>\$ 2,237.0</b>	<b>\$ 2,337.3</b>	<b>\$ 463.3</b>	<b>\$ 100.3</b>

(\*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(\*\*) Source: 2020-21 First Quarter Update dated August 13, 2020.

**STATE OF NEW YORK**  
**BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL**  
**FISCAL YEAR 2020-2021**  
**FOR SIX MONTHS ENDED SEPTEMBER 30, 2020**  
**(amounts in millions)**

EXHIBIT D

	CAPITAL PROJECTS FUNDS					
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan Updated Financial Plan
<b>RECEIPTS:</b>						
Taxes:						
Consumption/Use	\$ 248.0	\$ 239.0	\$ 257.2	\$ -	\$ 257.2	\$ 9.2
Business	247.0	243.0	265.9	-	265.9	18.9
Other	48.0	48.0	47.6	-	47.6	(0.4)
Miscellaneous Receipts	2,569.0	2,789.0	2,651.1	-	2,651.1	82.1
Federal Receipts	997.0	934.0	929.3	-	929.3	(67.7)
Bond and Note Proceeds, net	-	-	-	-	-	-
Transfers from Other Funds	2,161.0	1,542.0	1,093.2	-	1,093.2	(1,067.8)
<b>Total Receipts and Other Financing Sources</b>	<b>6,270.0</b>	<b>5,795.0</b>	<b>5,244.3</b>	<b>-</b>	<b>5,244.3</b>	<b>(1,025.7)</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants	2,528.0	1,820.0	1,812.8	-	1,812.8	(715.2)
Capital Projects	4,751.0	4,016.0	3,611.1	-	3,611.1	(1,139.9)
Transfers to Other Funds	285.0	284.0	274.4	-	274.4	(10.6)
<b>Total Disbursements and Other Financing Uses</b>	<b>7,564.0</b>	<b>6,120.0</b>	<b>5,698.3</b>	<b>-</b>	<b>5,698.3</b>	<b>(1,865.7)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(1,294.0)</b>	<b>(325.0)</b>	<b>(454.0)</b>	<b>-</b>	<b>(454.0)</b>	<b>840.0</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>(1,035.0)</b>	<b>(1,035.0)</b>	<b>(1,034.9)</b>	<b>-</b>	<b>(1,034.9)</b>	<b>0.1</b>
<b>Fund Balances (Deficits) at September 30, 2020</b>	<b>(2,329.0)</b>	<b>(1,360.0)</b>	<b>(1,488.9)</b>	<b>-</b>	<b>(1,488.9)</b>	<b>\$ 840.1</b>

(\*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(\*\*) Source: 2020-21 First Quarter Update dated August 13, 2020.

**STATE OF NEW YORK  
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
FISCAL YEAR 2020-2021  
FOR SIX MONTHS ENDED SEPTEMBER 30, 2020  
(amounts in millions)**

EXHIBIT D

	STATE CAPITAL PROJECTS FUNDS				FEDERAL CAPITAL PROJECTS FUNDS			
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Enacted Financial Plan	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan
<b>RECEIPTS:</b>								
Taxes:								
Consumption/Use	\$ 248.0	\$ 238.0	\$ 257.2	\$ 9.2	\$ 18.2	\$ -	\$ -	\$ -
Business	247.0	243.0	265.9	18.9	22.9	-	-	-
Other	48.0	48.0	47.6	(0.4)	(0.4)	-	-	-
Miscellaneous Receipts	2,569.0	2,789.0	2,650.6	81.6	(138.4)	-	0.5	0.5
Federal Receipts	2.0	2.0	2.1	0.1	0.1	932.0	927.2	(4.8)
Bond and Note Proceeds, net	-	-	-	-	-	-	-	-
Transfers from Other Funds	2,330.0	1,712.0	1,093.2	(1,236.8)	(618.6)	(170.0)	-	170.0
<b>Total Receipts and Other Financing Sources</b>	<b>5,444.0</b>	<b>5,033.0</b>	<b>4,316.6</b>	<b>(1,127.4)</b>	<b>(716.4)</b>	<b>762.0</b>	<b>927.7</b>	<b>165.7</b>
<b>DISBURSEMENTS:</b>								
Local Assistance Grants	2,208.0	1,563.0	1,571.5	(634.5)	8.5	257.0	241.3	(15.7)
Capital Projects	4,139.0	3,376.0	2,894.1	(1,204.9)	(441.9)	640.0	677.0	37.0
Transfers to Other Funds	286.0	284.0	274.4	(11.6)	(9.6)	-	-	-
<b>Total Disbursements and Other Financing Uses</b>	<b>6,633.0</b>	<b>5,223.0</b>	<b>4,780.0</b>	<b>(1,851.0)</b>	<b>(443.0)</b>	<b>897.0</b>	<b>918.3</b>	<b>21.3</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(1,187.0)</b>	<b>(190.0)</b>	<b>(463.4)</b>	<b>723.6</b>	<b>(273.4)</b>	<b>(135.0)</b>	<b>9.4</b>	<b>144.4</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>(471.0)</b>	<b>(471.0)</b>	<b>(472.2)</b>	<b>(1.2)</b>	<b>(1.2)</b>	<b>(564.0)</b>	<b>(562.7)</b>	<b>1.3</b>
<b>Fund Balances (Deficits) at September 30, 2020</b>	<b>\$ (1,558.0)</b>	<b>\$ (661.0)</b>	<b>\$ (935.6)</b>	<b>\$ 722.4</b>	<b>\$ (274.6)</b>	<b>\$ (699.0)</b>	<b>\$ (553.3)</b>	<b>\$ 145.7</b>

(\*) Source: 2020-21 Enacted Financial Plan dated April 26, 2020.

(\*\*) Source: 2020-21 First Quarter Update dated August 13, 2020.

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
COMPARATIVE SCHEDULE OF TAX RECEIPTS  
(amounts in millions)

	GENERAL				SPECIAL REVENUE				DEBT SERVICE				CAPITAL PROJECTS				TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF SEP. 2020	6 MOS. ENDED SEP. 30, 2020	MONTH OF SEP. 2020	6 MOS. ENDED SEP. 30, 2020	MONTH OF SEP. 2020	6 MOS. ENDED SEP. 30, 2020	MONTH OF SEP. 2020	6 MOS. ENDED SEP. 30, 2020	MONTH OF SEP. 2020	6 MOS. ENDED SEP. 30, 2020	MONTH OF SEP. 2020	6 MOS. ENDED SEP. 30, 2020	MONTH OF SEP. 2020	6 MOS. ENDED SEP. 30, 2020	MONTH OF SEP. 2020	6 MOS. ENDED SEP. 30, 2020	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	\$ Increase/ (Decrease)	% Increase/ Decrease		
<b>PERSONAL INCOME TAX</b>																						
Withholding	\$ 3,147.2	\$ 18,636.0	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,851.1	\$ 18,530.1	\$ 2,851.1	\$ 18,530.1	\$ 105.9	0.6%		
Estimated Payments	2,510.0	10,735.8	-	-	-	-	-	-	-	-	-	-	-	-	2,742.0	12,311.6	2,742.0	12,311.6	(1,575.8)	-12.8%		
Returns	83.4	2,842.5	-	-	-	-	-	-	-	-	-	-	-	-	65.5	2,587.5	65.5	2,587.5	75.0	2.9%		
State/City Offsets	(71.7)	(454.8)	-	-	-	-	-	-	-	-	-	-	-	-	(47.2)	(443.0)	(47.2)	(443.0)	11.8	2.7%		
Other (Assessments/LLC)	97.9	306.3	-	-	-	-	-	-	-	-	-	-	-	-	91.7	645.4	91.7	645.4	(141.9)	-21.9%		
<b>Gross Receipts</b>	<b>5,765.8</b>	<b>32,066.0</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>5,703.1</b>	<b>33,614.6</b>	<b>5,703.1</b>	<b>33,614.6</b>	<b>(1,546.6)</b>	<b>-4.6%</b>		
Transfers to School Tax Relief Fund	(0.1)	(0.1)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%		
Transfers to Revenue Bond Tax Fund	(2,635.7)	(13,429.5)	-	-	-	-	-	-	-	-	-	-	-	-	(871.7)	(5,633.2)	(871.7)	(5,633.2)	(428.2)	-7.6%		
Less: Refunds Issued	(485.4)	(5,207.0)	-	-	-	-	-	-	-	-	-	-	-	-	4,831.4	27,981.4	4,831.4	27,981.4	(1,122.4)	-4.0%		
<b>Total</b>	<b>2,635.6</b>	<b>13,429.4</b>	<b>0.1</b>	<b>0.1</b>	<b>2,635.7</b>	<b>13,429.5</b>	<b>2,635.7</b>	<b>13,429.5</b>	<b>5,271.4</b>	<b>26,859.0</b>	<b>5,271.4</b>	<b>26,859.0</b>	<b>5,271.4</b>	<b>26,859.0</b>								
<b>CONSUMPTION/USE TAXES</b>																						
Sales and Use	748.0	3,151.7	83.3	426.7	708.9	3,110.8	708.9	3,110.8	1,540.2	6,689.2	1,540.2	6,689.2	1,540.2	6,689.2	1,628.2	8,079.9	1,628.2	8,079.9	(1,390.7)	-17.2%		
Auto Rental	-	-	(1.1)	4.9	-	-	-	-	14.5	31.3	14.5	31.3	14.5	31.3	41.0	64.5	41.0	64.5	(33.2)	-51.5%		
Cigarette/Tobacco Products	32.4	166.6	71.0	380.5	-	-	-	-	103.4	547.1	103.4	547.1	103.4	547.1	87.7	544.9	87.7	544.9	2.2	0.4%		
Medical Marijuana	-	-	0.7	3.9	-	-	-	-	0.7	3.9	0.7	3.9	0.7	3.9	0.5	2.8	0.5	2.8	1.1	36.3%		
Motor Fuel	-	-	8.9	44.3	-	-	-	-	41.6	207.0	41.6	207.0	41.6	207.0	43.2	266.6	43.2	266.6	(58.6)	-22.4%		
Alcoholic Beverage	23.3	143.3	-	-	-	-	-	-	23.3	143.3	23.3	143.3	23.3	143.3	23.5	135.5	23.5	135.5	7.8	5.8%		
Highway Use	-	-	-	0.2	-	-	-	-	12.7	68.3	12.7	68.3	12.7	68.3	11.1	71.1	11.1	71.1	(2.8)	-3.9%		
Vapor Excise	-	-	7.4	18.7	-	-	-	-	7.4	18.7	7.4	18.7	7.4	18.7	-	-	-	-	18.7	100.0%		
Opitoid Excise	0.3	16.4	-	-	-	-	-	-	0.3	16.4	0.3	16.4	0.3	16.4	-	-	-	-	16.4	100.0%		
<b>Total</b>	<b>804.0</b>	<b>3,478.0</b>	<b>170.2</b>	<b>879.2</b>	<b>708.9</b>	<b>3,110.8</b>	<b>708.9</b>	<b>3,110.8</b>	<b>1,744.1</b>	<b>7,725.2</b>	<b>1,744.1</b>	<b>7,725.2</b>	<b>1,744.1</b>	<b>7,725.2</b>	<b>1,835.2</b>	<b>9,165.3</b>	<b>1,835.2</b>	<b>9,165.3</b>	<b>(1,440.1)</b>	<b>-15.7%</b>		
<b>BUSINESS TAXES</b>																						
Corporation Franchise	835.0	1,815.5	165.7	455.3	-	-	-	-	1,000.7	2,270.8	1,000.7	2,270.8	1,000.7	2,270.8	941.3	2,266.5	941.3	2,266.5	(25.7)	-1.1%		
Corporation and Utilities	79.7	180.5	24.4	55.8	-	-	-	-	106.3	241.4	106.3	241.4	106.3	241.4	141.0	293.4	141.0	293.4	(52.0)	-17.7%		
Insurance	348.4	788.2	43.3	93.5	-	-	-	-	391.7	881.7	391.7	881.7	391.7	881.7	438.8	1,042.1	438.8	1,042.1	(160.4)	-15.4%		
Bank	18.4	146.5	(3.1)	17.8	-	-	-	-	15.3	164.3	15.3	164.3	15.3	164.3	(1.4)	1.2	100.7	695.4	163.1	13.5617%		
Petroleum Business	-	-	43.2	206.3	-	-	-	-	88.4	467.1	88.4	467.1	88.4	467.1	100.7	89.4	100.7	89.4	(136.3)	-22.8%		
<b>Total</b>	<b>1,281.5</b>	<b>2,930.7</b>	<b>273.5</b>	<b>828.7</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1,612.4</b>	<b>4,025.3</b>	<b>1,612.4</b>	<b>4,025.3</b>	<b>1,612.4</b>	<b>4,025.3</b>	<b>1,618.4</b>	<b>4,238.6</b>	<b>1,618.4</b>	<b>4,238.6</b>	<b>(213.3)</b>	<b>-5.0%</b>		
<b>OTHER TAXES</b>																						
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%		
Estate and Gift	91.3	596.8	-	-	-	-	-	-	91.3	596.8	91.3	596.8	91.3	596.8	53.8	419.5	53.8	419.5	147.3	35.1%		
Pan-Mutuel	0.7	4.8	-	-	-	-	-	-	0.7	4.8	0.7	4.8	0.7	4.8	2.1	9.1	2.1	9.1	(4.3)	-47.3%		
Real Estate Transfer	-	-	-	-	-	-	-	-	70.3	323.2	70.3	323.2	70.3	323.2	97.2	586.2	97.2	586.2	(215.4)	-36.7%		
Racing and Exhibitions	-	0.1	-	-	-	-	-	-	-	-	-	-	-	-	-	0.9	-	0.9	(0.8)	-88.9%		
Employer Compensation Expense Tax	0.1	0.4	-	-	0.1	0.4	-	-	0.2	0.8	0.2	0.8	0.2	0.8	0.1	0.7	0.1	0.7	0.1	14.3%		
<b>Total</b>	<b>92.1</b>	<b>572.1</b>	<b>-</b>	<b>-</b>	<b>70.4</b>	<b>323.6</b>	<b>70.4</b>	<b>323.6</b>	<b>174.4</b>	<b>943.3</b>	<b>174.4</b>	<b>943.3</b>	<b>174.4</b>	<b>943.3</b>	<b>153.2</b>	<b>1,016.4</b>	<b>153.2</b>	<b>1,016.4</b>	<b>(73.1)</b>	<b>-7.2%</b>		
<b>Total Tax Receipts</b>	<b>\$ 4,813.2</b>	<b>\$ 20,410.2</b>	<b>\$ 443.8</b>	<b>\$ 1,708.0</b>	<b>\$ 3,415.0</b>	<b>\$ 16,853.9</b>	<b>\$ 3,415.0</b>	<b>\$ 16,853.9</b>	<b>\$ 8,802.3</b>	<b>\$ 39,552.8</b>	<b>\$ 8,802.3</b>	<b>\$ 39,552.8</b>	<b>\$ 8,802.3</b>	<b>\$ 39,552.8</b>	<b>\$ 8,438.2</b>	<b>\$ 42,401.7</b>	<b>\$ 8,438.2</b>	<b>\$ 42,401.7</b>	<b>\$ (2,848.9)</b>	<b>-6.7%</b>		



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	2020		2021							6 Months Ended September 30					
	APRIL	MAY	JUNE	JULY	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 14,284.8	\$ 20,544.4	\$ 17,650.3	\$ 20,623.6	\$ 28,288.0	\$ 27,457.2						\$ 14,284.8	\$ 9,973.0	\$ 4,309.8	43.2%
RECEIPTS:															
Taxes:															
Personal Income Tax:															
Withholdings	3,187.3	2,928.3	3,086.3	3,400.3	2,876.6	3,147.2						18,636.0	18,530.1	105.9	0.6%
Estimated Payments	211.6	70.9	1,493.0	6,326.0	121.3	2,510.0						(1,575.8)	12,311.6	(1,575.8)	-12.8%
Returns	339.1	124.7	280.9	1,981.1	69.3	83.4						2,642.5	2,967.5	75.0	2.9%
State/City Offsets	(89.9)	(38.9)	(98.4)	(187.0)	(28.1)	(71.7)						(464.8)	(443.0)	11.8	2.7%
Cross Recipients (Legal LLC)	3,774.6	4,854.8	4,854.8	11,410.3	3,114.1	5,768.8						32,086.0	33,614.6	(1,548.6)	-4.6%
Transfers to School Tax Relief Fund	-	-	-	-	-	-						-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	(1,709.4)	(845.0)	(486.3)	(1,179.8)	(391.1)	(455.4)						(5,207.0)	(5,633.2)	(426.2)	-7.6%
Refunds Issued	2,066.2	2,196.2	4,368.5	10,230.7	2,723.0	5,271.4						26,856.0	27,981.4	(1,125.4)	-4.0%
Total Personal Income Tax															
Consumption/Use Taxes:															
Sales and Use	889.4	790.8	1,210.0	1,132.4	1,146.4	1,540.2						6,686.2	8,079.9	(1,393.7)	-17.2%
Auto Rental	0.5	(14.1)	13.5	2.1	2.1	14.5						31.3	64.5	(33.2)	-51.5%
Cigarette/Tobacco Products	98.8	74.0	86.1	97.8	87.0	103.4						544.9	544.9	2.2	0.4%
Medical Marijuana	30.5	21.8	31.2	36.7	42.5	41.6						176.8	176.8	0.0	0.0%
Marijuana	30.5	21.4	22.8	28.0	23.1	23.3						207.1	268.6	(61.5)	-29.3%
Alcoholic Beverage	28.7	21.4	22.8	28.0	23.1	23.3						143.3	135.5	7.8	5.8%
Highway Use	-	8.9	12.4	10.4	10.3	12.7						69.3	71.1	(2.8)	-3.9%
Vapor Excise	-	0.1	11.7	(0.4)	(0.1)	7.4						18.7	-	18.7	100.0%
Opioid Excise	7.2	-	-	8.9	-	0.3						16.4	-	16.4	100.0%
Total Consumption/Use Taxes	1,045.0	915.8	1,388.7	1,319.5	1,312.1	1,744.1						7,725.2	9,165.3	(1,440.1)	-15.7%
Business Taxes:															
Corporation Franchise	254.4	(134.7)	557.5	569.2	29.7	1,000.7						2,270.8	2,286.5	(15.7)	-0.7%
Corporation and Utilities	84.9	33.3	72.3	38.9	28.3	108.3						281.4	283.4	(2.0)	-0.7%
Insurance	7.2	36.0	36.0	36.0	36.0	36.0						184.3	1,044.1	(859.8)	-82.4%
Petroleum Business	7.1	2.6	81.7	87.9	46.5	15.3						184.3	605.4	(421.1)	-67.9%
Total Business Taxes	415.9	(96.8)	1,193.9	718.4	181.5	1,612.4						4,025.3	4,238.6	(213.3)	-5.0%
Other Taxes:															
Real Property Gains	-	-	-	-	-	-						-	-	-	0.0%
Estate and Gift	72.7	52.0	147.3	147.7	55.8	91.3						566.8	419.5	147.3	35.1%
Peri-Mutual	0.7	0.2	0.8	1.0	1.4	0.7						4.8	9.1	(4.3)	-47.3%
Real Estate Transfer	57.2	48.4	48.8	64.9	68.3	82.2						370.8	585.2	(215.4)	-56.7%
Racing and Exhibitions	0.1	-	-	-	-	-						0.1	0.9	(0.8)	-88.9%
Employer Compensation Expense Tax	0.1	(0.2)	0.2	0.2	0.2	0.2						0.1	0.1	0.0	0.0%
Total Other Taxes	139.2	100.4	198.1	213.8	129.7	174.2						943.9	1,010.4	(66.5)	-6.5%
Total Taxes	3,658.0	3,118.6	7,149.2	12,452.4	4,342.3	8,802.3						39,552.8	42,401.7	(2,848.9)	-6.7%
Miscellaneous Receipts:															
Abandoned Property:															
Abandoned Property	1.6	0.7	0.8	0.9	25.9	86.0						115.9	41.9	74.0	176.6%
Battle Bill	0.7	0.3	20.6	18.4	0.1	43.2						83.3	71.2	12.1	17.0%
Assessments:															
Business	54.6	68.0	98.0	78.5	49.6	77.7						489.4	489.3	0.1	0.0%
Business/Professional:	57.2	493.8	509.3	448.6	483.3	520.0						2,920.5	3,292.5	(372.0)	-11.6%
Civil	0.1	-	0.4	4.4	0.4	45.4						50.7	50.9	(0.2)	-0.4%
Public Utilities	-	0.1	-	-	-	-						0.1	0.5	(0.4)	-80.0%
Other	-	-	-	-	-	-						-	-	-	0.0%
Fees, Licenses and Permits:															
Alcohol Beverage Control Licensing	2.2	2.9	2.9	4.9	4.8	5.4						23.1	37.0	(13.9)	-37.6%
Audit Fees	-	-	0.1	0.2	0.7	0.7						1.7	2.8	(0.9)	-34.6%
Business/Professional:	69.0	71.9	116.0	55.6	55.3	128.6						486.4	486.5	(0.1)	0.0%
Civil	4.3	3.3	5.5	3.1	88.8	(8.8)						95.2	144.4	(49.2)	-34.1%
Criminal	0.6	0.4	0.2	1.6	-	0.2						4.3	4.3	0.0	0.0%
Motor Vehicle	(23.9)	-	197.3	102.6	102.6	128.9						580.9	683.4	(102.5)	-14.9%
Motor Vehicle Consumer	-	-	39.3	32.0	32.0	145.0						167.3	167.3	0.0	0.0%
Fines, Penalties and Forfeitures	100.9	233.7	18.3	201.3	6.9	18.3						577.4	1,111.2	(533.8)	-48.0%
Gaming:															
Casino	-	-	-	20.8	-	8.0						28.8	142.9	(114.1)	-79.8%
Lottery	157.0	142.1	173.8	202.2	195.9	199.3						1,070.3	1,254.9	(184.6)	-14.7%
Video Lottery	-	0.6	-	(0.4)	-	33.8						34.0	471.8	(437.8)	-92.8%
Interest Earnings	32.9	18.2	9.2	5.2	6.7	6.2						78.4	238.9	(160.5)	-67.2%
Receipts from Public Authorities:															
Bond Proceeds	1,122.1	1,019.1	3,842.4	289.9	32.4	384.7						6,670.6	1,089.6	5,587.0	515.6%
Cost Recovery Assessments	-	-	-	-	-	-						-	-	-	0.0%
Cost Recovery Assessments	0.5	1.4	25.5	24.7	1.8	1.8						32.2	32.2	0.0	0.0%
Non Bond Related	9.0	0.3	0.5	4.3	2.3	0.1						16.5	53.8	(37.3)	-69.3%
Receipts from Municipalities	9.3	3.9	3.9	3.8	2.0	4.7						26.2	48.3	(23.1)	-46.9%
Rentals	(4.6)	(41.7)	1.8	4.2	7.8	35.9						3.3	112.6	(109.2)	-97.0%

STATE OF NEW YORK  
GOVERNMENTAL FUNDS (\*)  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2020	2019	6 Months Ended September 30 \$ Increase/ (Decrease)	% Increase/ Decrease
Revenues of State Departments:																
Administrative Recoveries	26.0	8.8	26.4	8.1	8.9	25.6	-	-	-	-	-	-	102.8	73.6	29.2	39.7%
Commissions	0.6	(0.3)	0.2	0.2	-	1.3	-	-	-	-	-	-	2.0	3.4	(1.4)	-41.2%
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	0.6	2.2	27.9	0.7	1.9	2.1	-	-	-	-	-	-	36.4	17.6	17.8	101.1%
Indirect Cost Recoveries	5.5	5.4	7.4	5.9	6.1	5.7	-	-	-	-	-	-	36.0	40.8	(4.8)	-11.8%
Patient/Client Care Reimbursement	528.5	372.8	350.6	227.5	221.8	79.0	-	-	-	-	-	-	1,778.2	1,346.0	432.2	32.1%
Rebates	7.8	15.3	13.2	17.7	12.7	12.7	-	-	-	-	-	-	78.4	94.4	(16.0)	-16.9%
Restitution and Settlements	7.0	0.6	0.5	0.3	42.7	5.3	-	-	-	-	-	-	55.5	37.8	17.7	46.8%
State Court Loans	6.0	1.4	1.4	1.4	1.4	1.4	-	-	-	-	-	-	11.6	11.6	-	0.0%
All Other	(19.5)	10.7	30.0	75.6	48.8	65.5	-	-	-	-	-	-	208.1	353.0	(144.9)	-40.8%
Sales	0.5	0.6	2.6	3.0	0.8	0.7	-	-	-	-	-	-	8.0	14.1	(6.1)	-43.3%
Tuition	(67.5)	33.6	56.9	50.9	113.3	375.7	-	-	-	-	-	-	552.9	729.9	(177.0)	-24.3%
Total Miscellaneous Receipts	2,637.2	2,436.7	5,557.9	1,978.6	1,550.7	2,444.4	-	-	-	-	-	-	16,605.9	13,067.4	3,541.1	27.1%
Federal Receipts	10,863.1	4,207.0	7,520.2	5,423.2	4,591.2	10,136.1	-	-	-	-	-	-	42,740.8	32,026.5	10,714.3	33.5%
Total Receipts	17,168.3	9,765.3	20,277.9	19,894.2	10,484.2	21,382.8	-	-	-	-	-	-	89,802.1	87,495.6	11,406.5	13.0%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	1,149.2	4,132.7	3,964.9	786.2	1,070.5	4,157.3	-	-	-	-	-	-	15,273.8	16,568.8	(1,295.0)	-7.8%
Environment and Recreation	4.5	3.7	14.0	12.9	20.6	15.6	-	-	-	-	-	-	71.3	110.0	(38.7)	-35.2%
General Government	48.5	17.7	543.7	67.4	108.0	3,937.2	-	-	-	-	-	-	4,723.5	1,237.3	3,486.2	281.8%
Public Health:																
Medicaid	5,410.3	5,089.6	6,082.5	5,357.6	5,392.8	7,004.6	-	-	-	-	-	-	34,247.4	32,894.3	1,353.1	4.1%
Other Public Health	602.5	638.5	980.4	1,028.7	701.7	1,078.5	-	-	-	-	-	-	5,030.3	5,107.8	(77.5)	-1.5%
Public Safety	85.3	63.8	163.3	285.2	71.2	151.0	-	-	-	-	-	-	899.8	899.8	0.0	0.0%
Public Welfare	211.8	217.7	388.7	690.9	384.1	1,487.5	-	-	-	-	-	-	3,520.6	2,827.1	693.5	24.5%
Statewide Programs	1,005.9	1,005.9	1,005.9	1,005.9	1,005.9	1,005.9	-	-	-	-	-	-	5,005.9	5,005.9	0.0	0.0%
Transportation	80.0	90.9	72.8	825.5	509.6	618.1	-	-	-	-	-	-	2,206.7	2,248.2	(41.5)	-1.9%
Total Local Assistance Grants	7,660.2	10,278.5	12,246.0	9,234.0	8,224.0	18,472.1	-	-	-	-	-	-	66,115.8	62,504.8	3,611.0	5.8%
Departmental Operations:																
Personal Service	1,569.5	1,135.9	1,116.4	1,278.8	1,053.9	1,527.0	-	-	-	-	-	-	7,881.5	7,544.5	337.0	4.5%
Non-Personal Service	584.1	417.0	504.4	577.1	605.9	756.7	-	-	-	-	-	-	3,445.2	3,257.0	188.2	5.8%
General State Charges	535.2	395.0	2,621.5	471.9	414.0	616.1	-	-	-	-	-	-	5,053.7	5,343.5	(289.8)	-5.4%
Debt Service, Including Payments on	36.5	23.5	28.9	10.7	337.8	841.9	-	-	-	-	-	-	1,279.0	976.8	302.4	31.0%
Financing Agreements	508.8	408.0	587.8	587.7	587.7	786.7	-	-	-	-	-	-	3,513.4	3,432.3	81.1	2.3%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	87,188.6	83,068.7	4,129.9	5.0%
Total Disbursements	10,863.3	12,556.9	17,190.0	12,213.9	11,303.1	22,920.4	-	-	-	-	-	-	117,133.5	4,438.9	7,276.6	164.0%
Excess (Deficiency) of Receipts over Disbursements	6,263.0	(2,891.6)	3,028.3	7,670.3	(818.9)	(1,537.6)	-	-	-	-	-	-	11,515.7	4,335.2	7,180.5	165.6%
OTHER FINANCING SOURCES (USES):																
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	1,063.1	1,899.4	4,185.9	5,657.2	1,862.7	3,318.7	-	-	-	-	-	-	18,167.0	24,646.8	(6,479.8)	-26.3%
Transfers to Other Funds	(1,063.1)	(1,899.4)	(4,240.9)	(5,657.2)	(1,862.7)	(3,318.7)	-	-	-	-	-	-	(18,167.0)	(24,646.8)	6,479.8	26.3%
Total Other Financing Sources (Uses)	(3.4)	(2.5)	(55.0)	(5.9)	(11.9)	(118.1)	-	-	-	-	-	-	(192.8)	(101.7)	(96.1)	-49.5%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	6,259.6	(2,894.1)	2,973.3	7,664.4	(830.8)	(1,655.7)	-	-	-	-	-	-	11,322.9	4,233.5	7,089.4	165.6%
Ending Fund Balance	\$ 20,544.4	\$ 17,550.3	\$ 20,623.6	\$ 28,288.0	\$ 27,457.2	\$ 25,800.5	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 25,800.5	\$ 44,510.2	\$ 11,406.3	80.3%

(\*) Governmental Funds includes General, Debt Service and Capital Projects Funds combined.

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
STATEMENT OF CASH FLOW - STATE OPERATING (\*)  
FISCAL YEAR 2020-2021  
(amounts in millions)

	6 Months Ended September 30												% Increase/ Decrease
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	
Beginning Fund Balance	\$ 14,408.3	\$ 16,171.6	\$ 13,342.7	\$ 14,605.2	\$ 22,665.9	\$ 22,416.0							
<b>RECEIPTS:</b>													
Taxes:													
Personal Income Tax:													
Withholdings	3,187.3	2,928.3	3,088.3	3,400.3	2,878.6	3,147.2							
Estimated Payments	211.6	70.9	1,493.0	6,329.0	121.3	2,510.0							
Returns	339.1	124.7	280.9	1,785.1	69.3	83.4							
State/City Offsets	(69.8)	(39.8)	(58.4)	(187.0)	(28.1)	(71.7)							
Other (Assessments)/LLC	107.4	83.1	83.0	103.1	97.9	97.9							
Gross Receipts Tax	3,775.6	3,144.2	4,844.5	11,410.3	3,174.1	5,768.8							
Transfer to Revenue Bond Tax Fund	-	-	-	-	-	-							
Refunds Issued	(1,709.4)	(945.0)	(488.3)	(1,179.9)	(391.1)	(495.4)							
Total Personal Income Tax	2,065.2	2,199.2	4,368.5	10,230.7	2,727.4	5,271.4							
Consumption/Use Taxes:													
Sales and Use	989.4	790.9	1,210.0	1,132.4	1,148.4	1,540.2							
Alcohol	(6.1)	11.0	11.0	11.0	11.0	11.0							
Cigarette/Tobacco Products	95.8	74.0	86.1	97.8	87.0	103.4							
Medical Marijuana	0.5	0.6	0.7	0.6	0.8	0.7							
Motor Fuel	6.5	4.7	6.6	8.5	9.1	8.9							
Alcoholic Beverage	26.7	21.4	22.8	26.0	23.1	23.3							
Highway Use	-	0.1	-	(0.4)	0.1	0.2							
Other	1.1	1.7	1.7	8.9	(0.1)	7.4							
Opport/Escrow	7.2	-	-	-	-	0.3							
Total Consumption/Use Taxes	1,060.0	890.2	1,341.3	1,275.9	1,288.5	1,853.1							
Business Taxes:													
Corporation Franchise	254.4	(134.7)	557.5	563.2	29.7	1,000.7							
Corporation and Utilities	15.5	(8.9)	84.3	29.7	2.2	104.1							
Insurance	7.2	1.6	36.0	3.0	3.0	3.0							
Borough	7.4	2.6	31.9	38.8	48.0	164.3							
Petroleum Business	30.3	17.6	37.7	38.8	38.7	43.2							
Total Business Taxes	377.8	(117.5)	1,145.3	665.7	133.1	1,555.0							
Other Taxes:													
Real Property Gains	-	-	-	-	-	-							
Estate and Gift	77.7	52.0	14.3	147.7	55.9	81.3							
Charitable	0.7	0.2	0.0	0.2	0.2	0.2							
Real Estate Transfer	57.2	48.4	37.9	53.0	56.4	70.3							
Racing and Exhibitions	0.1	-	-	-	-	-							
Employer Compensation Expense Tax	-	-	-	-	-	-							
Total Other Taxes	130.9	100.4	186.2	201.9	113.8	162.5							
<b>Total Taxes</b>	<b>3,563.9</b>	<b>3,072.3</b>	<b>7,041.3</b>	<b>12,374.2</b>	<b>4,238.4</b>	<b>8,672.0</b>							
<b>Miscellaneous Receipts:</b>													
Abandoned Property:													
Abandoned Property	1.6	0.7	0.8	0.9	25.9	86.0							
Bottle Bill	0.7	0.3	20.6	(4.8)	0.1	43.2							
Assessments:													
Medical Care	43.7	21.8	92.4	69.8	33.4	65.5							
Medical Care	571.2	466.8	506.1	442.8	463.6	520.0							
Public Utilities	0.1	-	0.4	4.4	0.4	45.4							
Other	-	0.1	-	-	-	-							
Fees, Licenses and Permits:													
Alcohol Beverage Control Licensing	2.2	2.9	2.9	4.9	4.9	5.4							
Business/Professional	67.2	68.8	114.4	54.3	46.0	125.5							
Civil	4.3	3.3	5.5	3.1	88.8	(9.8)							
Criminal	0.6	0.4	0.2	1.6	-	0.2							
Motor Vehicle	(82.0)	(33.2)	144.2	140.3	40.2	71.5							
Recreational/Consumer	43.0	36.1	36.1	34.2	32.3	147.3							
Fines, Penalties and Forfeitures	98.6	231.6	16.1	198.9	3.5	13.8							
Casino	-	-	-	20.8	-	8.0							
Lottery	157.0	142.1	173.8	202.2	195.9	196.3							
Video Lottery	-	0.6	-	(0.4)	33.8	33.8							
Interest Earnings	28.2	13.8	7.7	4.3	5.4	5.3							
Revenues from Public Authorities:													
Bond Proceeds	-	1,000.0	-	-	-	-							
Cost Recovery Assessments	-	-	-	-	-	-							
<b>Total Receipts</b>	<b>7,408.3</b>	<b>6,244.6</b>	<b>14,282.6</b>	<b>25,049.4</b>	<b>14,516.8</b>	<b>22,416.0</b>							
<b>Disbursements:</b>													
Salaries and Benefits	1,530.1	1,530.1	1,530.1	1,530.1	1,530.1	1,530.1							
Pensions	1,000.0	1,000.0	1,000.0	1,000.0	1,000.0	1,000.0							
Medical	500.0	500.0	500.0	500.0	500.0	500.0							
Utilities	100.0	100.0	100.0	100.0	100.0	100.0							
Travel	50.0	50.0	50.0	50.0	50.0	50.0							
Miscellaneous	100.0	100.0	100.0	100.0	100.0	100.0							
Total Disbursements	<b>4,280.1</b>	<b>4,280.1</b>	<b>4,280.1</b>	<b>4,280.1</b>	<b>4,280.1</b>	<b>4,280.1</b>							
<b>Change in Fund Balance</b>	<b>\$ 3,128.2</b>	<b>\$ 1,964.5</b>	<b>\$ 10,041.5</b>	<b>\$ 12,775.2</b>	<b>\$ 10,286.7</b>	<b>\$ 18,144.0</b>							
<b>Ending Fund Balance</b>	<b>\$ 17,536.5</b>	<b>\$ 18,136.1</b>	<b>\$ 23,384.2</b>	<b>\$ 37,380.4</b>	<b>\$ 32,952.6</b>	<b>\$ 40,560.0</b>							
<b>Total Receipts</b>	<b>\$ 14,408.3</b>	<b>\$ 16,171.6</b>	<b>\$ 13,342.7</b>	<b>\$ 14,605.2</b>	<b>\$ 22,665.9</b>	<b>\$ 22,416.0</b>							
<b>Total Disbursements</b>	<b>\$ 11,280.1</b>	<b>\$ 14,207.1</b>	<b>\$ 3,000.0</b>	<b>\$ 2,233.0</b>	<b>\$ 8,249.5</b>	<b>\$ 3,996.0</b>							
<b>Change in Fund Balance</b>	<b>\$ 3,128.2</b>	<b>\$ 1,964.5</b>	<b>\$ 10,041.5</b>	<b>\$ 12,775.2</b>	<b>\$ 10,286.7</b>	<b>\$ 18,144.0</b>							
<b>Ending Fund Balance</b>	<b>\$ 17,536.5</b>	<b>\$ 18,136.1</b>	<b>\$ 23,384.2</b>	<b>\$ 37,380.4</b>	<b>\$ 32,952.6</b>	<b>\$ 40,560.0</b>							
<b>Total Receipts</b>	<b>\$ 14,408.3</b>	<b>\$ 16,171.6</b>	<b>\$ 13,342.7</b>	<b>\$ 14,605.2</b>	<b>\$ 22,665.9</b>	<b>\$ 22,416.0</b>							
<b>Total Disbursements</b>	<b>\$ 11,280.1</b>	<b>\$ 14,207.1</b>	<b>\$ 3,000.0</b>	<b>\$ 2,233.0</b>	<b>\$ 8,249.5</b>	<b>\$ 3,996.0</b>							
<b>Change in Fund Balance</b>	<b>\$ 3,128.2</b>	<b>\$ 1,964.5</b>	<b>\$ 10,041.5</b>	<b>\$ 12,775.2</b>	<b>\$ 10,286.7</b>	<b>\$ 18,144.0</b>							
<b>Ending Fund Balance</b>	<b>\$ 17,536.5</b>	<b>\$ 18,136.1</b>	<b>\$ 23,384.2</b>	<b>\$ 37,380.4</b>	<b>\$ 32,952.6</b>	<b>\$ 40,560.0</b>							

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
STATEMENT OF CASH FLOWS  
FISCAL YEAR 2020-2021  
(amounts in millions)

	2020				2021												6 Months Ended September 30		% Increase / Decrease
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2020	2019					
Insurance Fees	0.5	1.4	25.5	24.7	-	1.8	-	-	-	-	-	-	53.9	25.9	28.0	108.1%			
Non Bond Related Receipts from Municipalities	8.9	0.3	-	8.9	4.2	1.8	-	-	-	-	-	-	15.2	48.9	15.2	-69.5%			
Rentals	9.3	2.5	3.8	3.8	1.7	4.7	-	-	-	-	-	-	25.8	48.2	(22.4)	-46.5%			
Revenues of State Departments:	(5.1)	(0.3)	(0.3)	2.0	4.0	-	-	-	-	-	-	-	(7.5)	107.5	(115.0)	-107.0%			
- Grants and Recoveries	25.0	8.8	25.4	8.1	8.9	25.6	-	-	-	-	-	-	102.8	73.6	29.2	39.7%			
- Commissions	0.6	(0.3)	0.2	0.2	-	1.3	-	-	-	-	-	-	3.4	-	-	-41.2%			
- Commissions - Asset Conversion	-	-	-	-	-	-	-	-	-	-	-	-	-	-	(1.4)	0.0%			
- Gifts, Grants and Donations	0.6	1.5	22.1	0.7	0.6	0.5	-	-	-	-	-	-	26.0	20.5	20.5	372.7%			
- Indirect Cost Recoveries	5.5	5.4	5.9	5.7	6.1	5.7	-	-	-	-	-	-	36.0	41.7	(5.7)	-13.7%			
- Patient Patient Care Reimbursement	526.5	352.5	352.5	221.5	221.5	221.5	-	-	-	-	-	-	1,732.5	1,340.5	452.5	33.7%			
- Reimbursements	3.1	16.6	3.9	11.2	224.3	5.0	-	-	-	-	-	-	32.5	34.2	(1.7)	-5.0%			
- Restitution and Settlements	0.4	0.6	0.2	3.9	4.18	3.9	-	-	-	-	-	-	50.8	17.2	33.6	195.3%			
- Student Loans	6.1	1.4	3.2	6.4	6.8	3.7	-	-	-	-	-	-	27.6	39.2	(11.6)	-28.6%			
- All Other	(20.1)	8.6	18.0	75.3	45.5	51.9	-	-	-	-	-	-	315.2	315.2	(136.0)	-43.1%			
- Sales	0.6	0.6	2.6	0.5	0.5	0.5	-	-	-	-	-	-	9.9	9.9	(2.1)	-21.2%			
- Tuition	(30.0)	52.0	52.0	3.0	11.0	3.0	-	-	-	-	-	-	52.0	72.0	(20.0)	-27.8%			
Total Miscellaneous Receipts	1,431.2	2,321.8	5,133.0	1,602.6	1,390.1	1,935.2	-	-	-	-	-	-	13,842.9	11,284.1	2,558.8	22.7%			
Federal Receipts	-	-	4.1	(4.1)	2.5	48.9	-	-	-	-	-	-	52.4	54.7	(2.3)	-4.2%			
Total Receipts	5,015.1	5,394.1	12,178.4	13,972.7	5,640.0	10,977.1	-	-	-	-	-	-	52,877.4	53,007.1	(129.7)	-0.2%			
DISBURSEMENTS:																			
Local Assistance Grants:																			
Education	754.3	4,065.1	3,409.1	563.6	856.2	3,851.8	-	-	-	-	-	-	13,510.1	14,583.2	(1,073.1)	-7.4%			
Environment and Recreation	0.1	0.3	-	0.2	(0.1)	0.4	-	-	-	-	-	-	0.9	2.1	(1.2)	-57.1%			
General Government	18.1	4.6	500.0	20.4	33.6	62.5	-	-	-	-	-	-	637.2	789.8	(152.6)	-19.3%			
Medicaid:																			
- Public Health:	757.7	1,757.8	2,497.4	2,043.9	1,868.5	2,135.5	-	-	-	-	-	-	11,048.8	13,103.5	(2,054.7)	-15.7%			
- Other Public Health	93.5	72.8	307.2	486.1	118.0	438.1	-	-	-	-	-	-	1,515.7	1,609.3	(93.6)	-5.8%			
Public Safety	20.9	11.1	4.5	16.9	28.4	34.6	-	-	-	-	-	-	117.4	189.9	(72.5)	-38.2%			
Public Welfare	77.0	199.2	61.4	578.1	57.1	426.8	-	-	-	-	-	-	1,356.6	835.0	523.6	62.7%			
Support and Regulate Business	11.0	19.0	5.4	10.9	10.9	10.9	-	-	-	-	-	-	148.0	82.5	65.5	79.4%			
- Capital Projects	61.7	41.7	18.0	729.1	351.0	210	-	-	-	-	-	-	1,766.3	1,766.3	(1,766.3)	-100.0%			
Total Local Assistance Grants	1,785.9	6,118.7	6,802.1	4,440.9	3,316.6	7,213.7	-	-	-	-	-	-	29,653.9	32,968.1	(3,283.3)	-10.0%			
Departmental Operations:																			
Personal Service	1,484.8	1,084.9	955.5	1,114.0	980.1	1,443.0	-	-	-	-	-	-	7,082.3	7,231.4	(148.1)	-2.1%			
Non-Personal Service	543.3	372.9	333.8	338.8	384.5	528.7	-	-	-	-	-	-	1,857.0	2,640.7	(783.7)	-29.7%			
General State Charges	512.5	370.3	2,362.6	389.2	345.3	582.6	-	-	-	-	-	-	4,789.5	5,179.4	(389.9)	-7.5%			
Capital Projects	36.5	23.5	28.9	10.7	337.6	841.8	-	-	-	-	-	-	1,279.0	976.6	302.4	31.0%			
Financing Agreements	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%			
Total Disbursements	4,373.0	7,970.3	10,709.9	5,645.6	5,375.1	10,419.8	-	-	-	-	-	-	44,983.7	45,987.5	(4,303.9)	-8.8%			
Excess (Deficiency) of Receipts over Disbursements	642.1	(2,576.2)	1,468.5	8,327.1	264.9	57.3	-	-	-	-	-	-	8,183.7	4,009.6	4,174.1	104.1%			
OTHER FINANCING SOURCES (USES):																			
Transfers from Other Funds (")	1,939.1	1,674.9	3,796.1	5,367.5	1,326.8	3,217.1	-	-	-	-	-	-	17,321.5	21,968.4	(4,646.9)	-21.2%			
Transfers to Other Funds (")	(817.9)	(1,727.6)	(4,202.1)	(5,633.9)	(1,841.6)	(3,107.4)	-	-	-	-	-	-	(17,330.5)	(23,705.7)	(6,375.2)	-26.9%			
Total Other Financing Sources (Uses)	1,121.2	(52.7)	(406.0)	(266.4)	(514.8)	109.7	-	-	-	-	-	-	(9.0)	(1,737.3)	1,728.3	99.5%			
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,765.3	(2,628.9)	1,062.5	8,060.7	(248.9)	167.0	-	-	-	-	-	-	8,174.7	2,272.3	5,902.4	296.8%			
Ending Fund Balance	\$ 16,171.6	\$ 13,542.7	\$ 14,605.2	\$ 22,665.9	\$ 22,416.0	\$ 22,833.0	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 22,583.0	\$ 14,633.6	\$ 7,949.4	54.3%			

<sup>1)</sup> State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.

## EXHIBIT F

134

## STATE OF NEW YORK

## GENERAL FUND CASH FLOW

## STATEMENT OF CASH FLOW

## FISCAL YEAR 2020-2021

(Amounts in millions)

## EXHIBIT F

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30 2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>DISBURSEMENTS:</b>																
Local Assistance Grants:																
Education	754.2	4,030.1	3,112.1	563.3	856.3	1,571.5	-	-	-	-	-	-	10,887.5	11,720.1	(832.6)	-7.1%
Environment and Recreation	0.1	-	-	-	(0.1)	-	-	-	-	-	-	-	-	1.3	(1.3)	-100.0%
General Government	6.8	0.7	493.7	12.4	0.6	51.7	-	-	-	-	-	-	565.9	688.3	(122.4)	-17.8%
Public Health	229.4	1,283.6	2,468.9	1,220.8	1,431.8	1,814.5	-	-	-	-	-	-	8,247.0	9,980.9	(1,733.9)	-17.4%
Other Public Health	63.9	47.3	238.8	433.9	72.7	247.3	-	-	-	-	-	-	1,104.9	1,149.0	(44.1)	-3.8%
Public Safety	2.9	1.4	20.3	2.7	7.9	14.1	-	-	-	-	-	-	29.3	92.7	(63.4)	-68.4%
Public Welfare	76.9	158.0	61.4	577.5	57.1	426.7	-	-	-	-	-	-	1,357.6	832.1	525.5	63.2%
Support and Regulate Business	4.6	6.7	4.6	4.8	4.3	5.6	-	-	-	-	-	-	30.6	69.8	(39.2)	-56.2%
Transportation	0.1	-	-	-	12.7	5.0	-	-	-	-	-	-	39.3	62.0	(22.7)	-36.6%
Total Local Assistance Grants	1,158.9	5,927.8	6,378.8	2,833.9	2,443.3	3,933.4	-	-	-	-	-	-	22,682.1	24,586.2	(2,904.1)	-11.8%
Disaster Relief Operations:																
Personal Services	883.7	691.3	564.6	738.8	663.2	835.8	-	-	-	-	-	-	4,387.4	4,666.1	(278.7)	-6.0%
Non-Personal Service	313.2	195.2	165.0	(506.7)	222.4	260.7	-	-	-	-	-	-	649.8	1,228.2	(578.4)	-47.1%
General State Charges	460.2	330.5	2,511.9	335.9	271.1	476.3	-	-	-	-	-	-	4,385.9	4,726.8	(340.9)	-7.2%
Total Disbursements	2,806.0	6,744.8	9,620.3	3,407.9	3,600.0	5,506.2	-	-	-	-	-	-	31,685.2	35,217.3	(3,532.1)	-10.0%
Excess (Deficiency) of Receipts over Disbursements	(923.4)	(4,950.3)	(1,888.0)	3,283.9	(1,351.3)	(564.6)	-	-	-	-	-	-	(6,584.7)	(12,095.2)	5,500.5	53.7%
<b>OTHER FINANCING SOURCES (USES):</b>																
Transfers from Revenue Bond Tax Fund	1,032.0	1,098.9	2,178.3	4,323.6	487.1	1,889.6	-	-	-	-	-	-	11,010.4	14,156.0	(3,145.6)	-22.2%
Transfers from GAC / STRETF	284.6	182.2	560.4	420.1	426.7	659.4	-	-	-	-	-	-	2,510.4	3,329.8	(819.4)	-24.6%
Transfers from CWCA Fund	43.8	48.4	37.5	50.5	52.3	66.1	-	-	-	-	-	-	288.6	510.8	(212.2)	-41.5%
Transfers from Other Funds	74.9	193.6	83.5	126.5	195.3	151.5	-	-	-	-	-	-	825.3	715.3	110.0	15.4%
Transfers to State Capital Projects	800.3	(203.8)	(312.4)	(306.1)	(565.6)	(229.0)	-	-	-	-	-	-	(816.6)	(2,240.4)	(1,423.8)	-63.6%
Transfers to All Other Capital Projects	-	-	(30.5)	(204.0)	-	(16.5)	-	-	-	-	-	-	(251.0)	(703.0)	(452.0)	-64.3%
Transfers to General Debt Service	(32.0)	1.7	(3.7)	(82.7)	4.0	21.8	-	-	-	-	-	-	(91.1)	(225.7)	(134.6)	-59.6%
Transfers to All Other State Funds	(142.8)	(23.0)	(670.7)	(82.4)	(106.6)	(55.0)	-	-	-	-	-	-	(1,383.7)	(1,604.4)	(210.7)	-13.1%
Total Other Financing Sources (Uses)	2,001.7	1,278.0	1,542.4	4,235.5	491.0	2,483.7	-	-	-	-	-	-	12,092.3	13,939.3	(1,847.0)	-13.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,138.3	(2,772.3)	(446.6)	7,519.4	(860.3)	1,918.1	-	-	-	-	-	-	6,497.6	1,844.1	4,653.5	252.3%
Ending Fund Balance	\$ 10,082.5	\$ 7,310.2	\$ 6,863.6	\$ 14,363.0	\$ 13,522.7	\$ 15,441.8	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 15,441.8	\$ 9,049.3	\$ 6,392.0	70.6%

## EXHIBIT G

Beginning Fund Balance	2020				2019				2018				2017				2016				2015				2014				2013				2012				2011				2010				2009				2008				2007				2006				2005				2004				2003				2002				2001				2000				1999				1998				1997				1996				1995				1994				1993				1992				1991				1990				1989				1988				1987				1986				1985				1984				1983				1982				1981				1980				1979				1978				1977				1976				1975				1974				1973				1972				1971				1970				1969				1968				1967				1966				1965				1964				1963				1962				1961				1960				1959				1958				1957				1956				1955				1954				1953				1952				1951				1950				1949				1948				1947				1946				1945				1944				1943				1942				1941				1940				1939				1938				1937				1936				1935				1934				1933				1932				1931				1930				1929				1928				1927				1926				1925				1924				1923				1922				1921				1920				1919				1918				1917				1916				1915				1914				1913				1912				1911				1910				1909				1908				1907				1906				1905				1904				1903				1902				1901				1900				1899				1898				1897				1896				1895				1894				1893				1892				1891				1890				1889				1888				1887				1886				1885				1884				1883				1882				1881				1880				1879				1878				1877				1876				1875				1874				1873				1872				1871				1870				1869				1868				1867				1866				1865				1864				1863				1862				1861				1860				1859				1858				1857				1856				1855				1854				1853				1852				1851				1850				1849				1848				1847				1846				1845				1844				1843				1842				1841				1840				1839				1838				1837				1836				1835				1834				1833				1832				1831				1830				1829				1828				1827				1826				1825				1824				1823				1822				1821				1820				1819				1818				1817				1816				1815				1814				1813				1812				1811				1810				1809				1808				1807				1806				1805				1804				1803				1802				1801				1800				1799				1798				1797				1796				1795				1794				1793				1792				1791				1790				1789				1788				1787				1786				1785				1784				1783				1782				1781				1780				1779				1778				1777				1776				1775				1774				1773				1772				1771				1770				1769				1768				1767				1766				1765				1764				1763				1762				1761				1760				1759				1758				1757				1756				1755				1754				1753				1752				1751				1750				1749				1748				1747				1746				1745				1744				1743				1742				1741				1740				1739				1738				1737				1736				1735				1734				1733				1732				1731				1730				1729				1728				1727				1726				1725				1724				1723				1722				1721				1720				1719				1718				1717				1716				1715				1714				1713				1712				1711				1710				1709				1708				1707				1706				1705				1704				1703				1702				1701				1700				1699				1698				1697				1696				1695				1694				1693				1692				1691				1690				1689				1688				1687				1686				1685				1684				1683				1682				1681				1680				1679				1678				1677				1676				1675				1674				1673				1672				1671				1670				1669				1668				1667				1666				1665				1664				1663				1662				1661				1660				1659				1658				1657				1656				1655				1654				1653				1652				1651				1650				1649				1648				1647				1646				1645				1644				1643				1642				1641				1640				1639				1638				1637				1636				1635				1634				1633				1632				1631				1630				1629				1628				1627				1626				1625				1624				1623				1622				1621				1620				1619				1618				1617				1616				1615				1614				1613				1612				1611				1610				1609				1608				1607				1606				1605				1604				1603				1602				1601				1600				1599				1598				1597				1596				1595				1594				1593				1592				1591				1590				1589				1588				1587				1586				1585				1584				1583				1582				1581				1580				1579				1578				1577				1576				1575				1574				1573				1572				1571				1570				1569				1568				1567				1566				1565				1564				1563				1562				1561				1560				1559				1558				1557				1556				1555				1554				1553				1552				1551				1550				1549				1548				1547				1546				1545				1544				1543				1542				1541				1540				1539				1538				1537				1536				1535				1534				1533				1532				1531				1530				1529				1528				1527				1526				1525				1524				1523				1522				1521				1520				1519				1518				1517				1516				1515				1514				1513				1512				1511				1510				1509				1508				1507				1506				1505				1504				1503				1502				1501				1500				1499				1498				1497				1496				1495				1494				1493				1492				1491				1490				1489				1488				1487				1486				1485				1484				1483				1482				1481				1480				1479				1478				1477				1476				1475				1474				1473				1472				1471				1470				1469				1468				1467				1466				1465				1464				1463				1462				1461				1460				1459				1458				1457				1456				1455				1454				1453				1452				1451				1450				1449				1448				1447				1446				1445				1444				1443				1442				1441				1440				1439				1438				1437				1436				1435				1434				1433				1432				1431				1430				1429				1428				1427				1426				1425				1424				1423				1422				1421				1420				1419				1418				1417				1416				1415				1414				1413				1412				1411				1410				1409				1408				1407				1406				1405				1404				1403				1402				1401				1400				1399				1398				1397				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STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - COMBINED  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT G

	6 Months Ended September 30												% Increase/ Decrease
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	
<b>DISBURSEMENTS:</b>													
Local Assistance Grants:													
Education, Health and Recreation	383.0	102.6	647.9	254.9	213.2	2,584.4	-	-	-	-	4,366.0	4,760.9	(354.9)
General Government	11.3	6.1	8.5	19.0	39.4	3,866.8	-	-	-	-	3,951.1	130.7	3,820.4
Public Health:													
Medicaid	5,160.9	3,816.0	3,615.6	4,136.8	3,861.0	5,390.1	-	-	-	-	26,000.4	22,913.4	3,087.0
Other Public Health	509.6	533.0	1,097.7	1,565.1	1,652.9	905.5	-	-	-	-	3,855.8	3,751.2	(84.6)
Public Safety	134.9	25.9	253.6	281.6	252.2	950.4	-	-	-	-	1,820.3	1,540.1	280.2
Public Welfare	0.3	0.7	6.5	1.0	8.6	5.3	-	-	-	-	22.4	28.3	(6.9)
Support and Regulate Business	65.5	44.7	22.6	709.1	350.2	246.8	-	-	-	-	1,438.9	1,729.1	(288.2)
Transportation	65.5	44.7	22.6	709.1	350.2	246.8	-	-	-	-	1,438.9	1,729.1	(288.2)
Total Local Assistance Grants	6,373.0	4,591.7	5,623.6	6,724.4	5,341.3	13,283.3	-	-	-	-	42,240.9	35,437.4	6,803.5
Departmental Operations:													
Personal Service	675.8	444.6	551.8	540.0	390.7	691.2	-	-	-	-	3,294.1	2,878.4	415.7
Non-Personal Service	270.9	220.9	327.3	1,078.0	380.6	488.1	-	-	-	-	2,165.8	2,006.3	159.5
General State Charges	75.0	64.5	109.6	136.0	145.9	139.8	-	-	-	-	667.8	616.7	51.1
Capital Projects	-	-	-	-	2.3	-	-	-	-	-	2.3	-	2.3
Total Disbursements	7,399.6	5,321.7	6,612.3	7,874.4	6,256.0	15,304.9	-	-	-	-	48,770.9	40,938.8	7,832.1
Excess (Deficiency) of Receipts over Disbursements	4,993.7	4.5	2,455.4	(1,109.0)	(440.2)	(3,127.2)	-	-	-	-	2,789.2	1,634.8	1,154.4
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	222.7	41.5	897.4	135.6	116.0	206.1	-	-	-	-	1,371.6	1,629.2	(256.6)
Transfers to Other Funds	(304.5)	(129.5)	(20.3)	(266.5)	(30.3)	(438.8)	-	-	-	-	(942.5)	78.7	(841.1)
Total Other Financing Sources (Uses)	(81.8)	(88.3)	877.1	(131.0)	85.7	(232.7)	-	-	-	-	429.0	764.3	(355.3)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,911.9	(83.0)	3,332.5	(1,240.0)	(352.5)	(3,359.9)	-	-	-	-	3,198.2	2,399.1	798.4
Ending Fund Balance	\$ 11,234.0	\$ 11,140.2	\$ 14,472.7	\$ 13,232.7	\$ 12,870.2	\$ 9,510.3	\$ -	\$ -	\$ -	\$ -	\$ 9,510.3	\$ 6,241.5	\$ 3,268.8

(1) Intra-Fund transfer eliminations represent transfers between Special Revenue-State and Federal Funds.

## EXHIBIT G

Beginning Fund Balance	2020		2021										6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease
	\$ 5,400.7	\$ 5,696.2	\$ 5,710.4	\$ 7,248.4	\$ 6,679.9	\$ 6,748.8							\$ 5,400.7	\$ 5,090.8	\$ 309.9	6.1%
RECEIPTS:																
Taxes:																
Personal Income Tax	-	-	-	-	-	0.1							0.1	-	0.1	100.0%
Consumption/Use Taxes:																
Sales and Use																
Auto Rental	80.3	51.2	65.6	72.7	73.6	83.3							426.7	567.5	(140.8)	-24.8%
Cigarette/Tobacco Products	(0.1)	(1.5)	3.4	2.1	2.1	(1.1)							4.9	7.3	(2.4)	-32.9%
Medical Marijuana	68.8	51.3	60.2	68.8	60.4	71.0							380.5	378.6	1.9	0.5%
Motor Fuel	0.5	0.6	0.7	0.6	0.8	0.7							3.9	2.8	1.1	38.3%
Alcohol Beverage	6.5	5.6	5.6	6.5	6.1	6.0							44.3	56.5	(12.2)	-21.6%
Amusement	-	-	-	-	-	-							0.2	0.3	(0.1)	-33.3%
Highway Use	-	0.1	-	-	(0.1)	-							18.7	100.0%	(18.7)	-100.0%
Vapor Ecite	-	0.1	11.7	(0.4)	(0.1)	7.4							879.2	1,013.0	(133.8)	-13.2%
Business Taxes																
Corporation Franchise	57.0	(3.2)	110.1	114.0	11.7	165.7							455.3	492.3	(37.0)	-7.5%
Corporation and Utilities	2.2	(6.9)	20.5	17.0	(1.4)	24.4							55.8	78.1	(22.3)	-28.8%
Insurance	1.2	(0.4)	3.9	4.4	6.1	4.3							12.6	12.6	0.0	0.0%
Business License	1.3	1.2	1.2	1.2	6.1	4.3							1.6	1.6	0.0	0.0%
Petroleum Business	30.3	17.8	37.7	39.8	36.7	43.2							206.3	265.6	(59.3)	-22.3%
Total Business Taxes																
	88.0	7.7	218.8	174.2	55.5	273.5	-	-	-	-	-	-	828.7	982.1	(153.4)	-13.9%
Total Taxes																
	254.0	114.2	368.0	326.5	201.5	443.8	-	-	-	-	-	-	1,708.0	1,975.1	(267.1)	-13.5%
Miscellaneous Receipts:																
Abandoned Property																
Landlord and Property Assessments:																
Business	1.2	0.7	0.8	0.9	0.8	1.2							5.6	5.6	-	0.0%
Medical Care	42.7	21.8	82.4	69.8	33.4	65.5							315.6	355.6	(40.0)	-11.2%
Public Utilities	589.3	484.7	504.4	440.8	461.5	517.3							2,958.0	3,275.7	(317.7)	-9.7%
Other	0.1	-	0.4	4.4	0.4	-							50.7	50.9	(0.2)	-0.4%
Fees, Licenses and Permits:																
Audit Fees	-	-	0.1	0.2	0.7	0.7							1.7	2.6	(0.9)	-34.6%
Business Professional	48.2	70.4	89.5	40.8	26.3	94.8							377.0	359.0	18.0	5.0%
Civil	3.2	2.4	2.6	1.4	6.1	4.7							20.4	28.0	(7.6)	-27.1%
Criminal	0.5	0.3	0.1	1.5	-	-							2.4	3.4	(1.0)	-29.4%
Motor Vehicle	18.4	15.8	17.0	30.6	27.0	28.3							137.1	143.1	(6.0)	-4.2%
Recreational/Consumer	-	-	36.0	34.3	31.8	144.3							289.4	454.1	(164.7)	-36.3%
Fines, Penalties and Forfeitures	9.7	5.6	1.4	13.8	0.2	5.6							36.3	203.9	(167.6)	-82.2%
Gaming:																
Casino	-	-	-	29.8	-	8.0							29.8	142.9	(114.1)	-79.9%
Lottery	157.0	142.1	173.9	202.2	195.9	199.3							1,070.8	1,254.8	(184.0)	-14.7%
Video Lottery	0.6	0.6	0.4	(0.4)	33.8	33.8							34.0	471.8	(437.8)	-82.8%
Interest Earnings	13.5	7.8	6.1	3.4	4.0	3.4							38.2	118.9	(80.7)	-67.9%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-							-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	-							-	23.1	(23.1)	-100.0%
Insurance Fees	0.5	1.4	5.3	-	-	-							7.2	7.2	-	0.0%
Non Bond Related	8.9	0.3	4.2	4.2	1.6	-							15.2	24.6	(9.6)	-38.6%
Revenues from Municipalities	9.0	3.7	3.7	3.7	1.8	4.7							25.1	35.6	(10.5)	-29.5%
Rentals	(5.3)	(42.9)	(0.4)	1.9	3.8	34.6							(8.3)	106.3	(114.8)	-107.8%
Revenues of State Departments:																
Administrative Recoveries																
Commissions	24.6	8.5	8.7	8.7	8.8	10.1							69.4	33.4	36.0	107.8%
Commissions - Asset Conversion	0.2	0.1	0.1	0.2	0.3	0.8							1.7	3.1	(1.4)	-45.2%
Gifts, Grants and Donations	-	-	-	-	-	-							-	-	-	0.0%
Indirect Cost Recoveries	483.0	305.7	305.6	198.6	167.9	158.1							26.0	5.5	20.5	372.7%
Patent/Customer Reimbursement	-	-	-	-	-	-							0.9	1,087.1	(1,086.2)	-100.0%
Restoration and Settlements	3.7	0.4	0.6	0.1	0.1	0.1							3.4	524.2	(520.8)	-97.3%
Student Loans	6.1	1.4	3.2	6.4	6.8	3.7							50.5	16.9	33.6	198.8%
All Other	(24.9)	4.1	13.1	63.4	38.4	48.7							27.6	392.6	(11.6)	-29.6%
Sales	0.5	0.6	0.8	0.7	0.5	0.6							143.8	285.6	(141.8)	-49.6%
Tuition	(67.5)	33.6	56.9	50.9	113.3	375.7							562.9	729.9	(167.0)	-22.9%
Total Miscellaneous Receipts																
	1,346.6	1,054.0	1,339.1	1,214.4	1,188.8	1,790.7	-	-	-	-	-	-	7,930.6	9,305.5	(1,374.9)	-14.8%
Federal Receipts																
	-	-	4.1	(4.1)	2.5	25.4	-	-	-	-	-	-	27.9	17.6	10.3	58.5%
Total Receipts																
	1,900.6	1,168.2	1,710.2	1,536.8	1,990.8	2,259.4	-	-	-	-	-	-	9,666.6	11,298.2	(1,631.7)	-14.4%

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - STATE  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT G

	2020	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021	JANUARY	FEBRUARY	MARCH	2020	2019	6 Months Ended September 30	% Increase/ Decrease
<b>DISBURSEMENTS:</b>																		
Local Assistance Grants:																		
Education	0.1	35.0	297.0	0.3	0.3	(0.1)	2,290.3								2,622.6	2,863.1	(240.5)	-8.4%
Environment and Recreation	-	0.3	-	0.2	0.2	-	0.4								0.6	0.6	0.1	12.5%
General Government	9.3	3.9	6.3	8.0	8.0	33.0	10.8								71.3	101.5	(30.2)	-29.8%
Public Health																		
Medicaid	529.3	474.2	30.5	823.1	424.7	424.7	521.0								2,801.8	3,122.6	(320.8)	-10.3%
Other Public Health	29.6	25.5	67.4	52.2	45.3	45.3	190.8								410.8	460.3	(49.5)	-10.8%
Public Safety	18.0	9.7	4.2	14.2	21.5	21.5	20.5								88.1	97.2	(9.1)	-9.4%
Public Welfare	0.1	0.2	-	0.6	0.1	-	0.1								1.0	2.9	(1.9)	-45.5%
Support and Regulate Business	-	0.4	4.4	0.6	0.6	6.7	5.3								17.4	22.7	(5.3)	-23.3%
Transportation	61.0	47.5	48.3	70.6	34.8	34.8	24.3								1,405.8	1,515.1	(109.3)	-7.2%
Transportation Capital Assistance Grants	647.0	580.0	425.0	1,681.8	878.3	878.3	3,280.3								7,423.8	8,375.2	(951.4)	-11.3%
Departmental Operations:																		
Personal Service	601.1	353.6	390.9	375.2	328.9	328.9	607.2								2,694.9	2,565.3	129.6	5.1%
Non-Personal Service	230.1	176.8	158.7	192.7	159.2	159.2	280.1								1,177.6	1,390.0	(212.4)	-15.3%
General State Charges	52.3	39.8	70.7	52.3	72.2	72.2	116.3								403.6	452.6	(49.0)	-10.8%
Capital Projects	-	-	-	-	-	-	-								-	-	-	0.0%
<b>Total Disbursements</b>	<b>1,530.5</b>	<b>1,201.1</b>	<b>1,048.6</b>	<b>2,221.2</b>	<b>1,434.6</b>	<b>1,434.6</b>	<b>4,293.9</b>								<b>11,695.9</b>	<b>12,781.1</b>	<b>(1,085.2)</b>	<b>-8.5%</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>70.1</b>	<b>(32.9)</b>	<b>661.6</b>	<b>(684.4)</b>	<b>(43.8)</b>	<b>(43.8)</b>	<b>(2,004.0)</b>								<b>(2,033.4)</b>	<b>(1,482.9)</b>	<b>(550.5)</b>	<b>-37.1%</b>
<b>OTHER FINANCING SOURCES (USES):</b>																		
Transfers from Other Funds	222.7	41.5	887.4	135.6	116.0	116.0	206.1								1,619.3	2,004.3	(385.0)	-19.2%
Transfers to Other Funds	2.7	5.6	(23.0)	(17.7)	(3.3)	(3.3)	(147.0)								(335.4)	(152.7)	(182.7)	-45.5%
<b>Total Other Financing Sources (Uses)</b>	<b>225.4</b>	<b>47.1</b>	<b>874.4</b>	<b>117.9</b>	<b>112.7</b>	<b>112.7</b>	<b>59.1</b>								<b>1,436.6</b>	<b>1,668.9</b>	<b>(232.3)</b>	<b>-13.9%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>295.5</b>	<b>14.2</b>	<b>1,536.0</b>	<b>(566.5)</b>	<b>68.9</b>	<b>68.9</b>	<b>(1,944.9)</b>								<b>(596.8)</b>	<b>186.0</b>	<b>(782.8)</b>	<b>-420.9%</b>
<b>Ending Fund Balance</b>	<b>\$ 5,696.2</b>	<b>\$ 5,710.4</b>	<b>\$ 7,246.4</b>	<b>\$ 6,679.9</b>	<b>\$ 6,748.8</b>	<b>\$ 6,748.8</b>	<b>\$ 4,803.9</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 4,803.9</b>	<b>\$ 5,276.8</b>	<b>\$ (472.9)</b>	<b>-9.0%</b>

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - FEDERAL  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT G

	6 Months Ended September 30												% Increase/ Decrease
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	
Beginning Fund Balance	\$ 911.4	\$ 5,527.8	\$ 5,428.8	\$ 7,226.3	\$ 6,552.8	\$ 6,121.4							
<b>RECEIPTS:</b>													
Miscellaneous Receipts:													
Abandoned Property	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Assessments:													
Business	4.0	40.6	0.2	1.7	7.6	0.1					57.5	(3.3)	-5.7%
Medical Care	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Utilities	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Licenses and Permits:													
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Criminal	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fines, Penalties and Forfeitures	0.3	0.2	0.2	0.2	0.2	0.3					3.5	(2.1)	-80.0%
Interest Earnings	3.0	3.9	1.4	0.9	1.2	0.8					11.2	14.3	-21.7%
Receipts from Public Authorities:													
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Insurance Fees	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Bond Related	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Rentals	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Revenues of State Departments:													
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Commissions	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Patent/Client Care Reimbursement	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Refates	7.7	8.7	7.5	7.5	7.4	7.7					50.0	(3.5)	-7.0%
Residual and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Sales	0.3	0.3	-	0.1	0.6	(0.1)					0.6	0.6	100.0%
All Other	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Tuition	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
<b>Total Miscellaneous Receipts</b>	<b>15.3</b>	<b>53.7</b>	<b>8.3</b>	<b>10.4</b>	<b>17.0</b>	<b>8.8</b>					<b>125.9</b>	<b>(11.4)</b>	<b>-9.1%</b>
Federal Receipts	10,777.4	4,104.3	7,346.2	5,218.2	4,402.0	9,909.0					31,148.5	10,609.6	34.1%
<b>Total Receipts</b>	<b>10,792.7</b>	<b>4,158.0</b>	<b>7,357.5</b>	<b>5,228.6</b>	<b>4,419.0</b>	<b>9,917.8</b>					<b>31,274.4</b>	<b>10,598.2</b>	<b>33.9%</b>

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - FEDERAL  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT G

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30		% Increase/ Decrease
<b>DISBURSEMENTS:</b>													2020	2019	
Local Assistance Grants:															
Education	382.9	67.6	550.9	234.6	213.3	284.1							1,743.4	1,897.8	(154.4)
Environment and Recreation	-	0.2	-	0.1	0.1	(0.1)							0.3	0.6	(0.3)
General Government	2.0	2.2	2.2	11.0	6.4	3,856.0							3,879.8	26.2	3,850.6
Public Health:															
HIV/AIDS	4,652.6	3,241.8	3,585.1	3,313.7	3,436.3	4,889.1							23,198.6	19,700.8	3,497.8
Other Public Health	480.0	507.5	842.3	503.9	507.6	614.7							3,256.0	3,291.6	(35.6)
Public Safety	74.4	52.5	155.0	247.4	40.7	115.7							485.4	485.4	-
Public Welfare	134.8	25.7	253.6	201.0	253.9	950.3							1,819.3	1,537.2	282.1
Support and Regulate Business	0.3	0.3	2.1	0.4	1.9	-							5.0	5.6	(0.6)
Transportation	3.9	3.0	4.1	7.3	5.0	5.7							29.0	26.0	3.0
<b>Total Local Assistance Grants</b>	<b>5,730.9</b>	<b>4,000.8</b>	<b>5,195.3</b>	<b>4,519.4</b>	<b>4,465.2</b>	<b>10,705.5</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>34,617.1</b>	<b>27,064.2</b>	<b>7,552.9</b>
Departmental Operations:															
Personal Service	74.7	51.0	160.9	164.8	63.8	84.0							586.2	313.1	273.1
Non-Personal Service	40.6	44.1	168.6	885.3	221.4	228.0							1,586.2	616.3	970.0
General State Charges	22.7	24.7	38.9	70.1	70.1	23.5							256.2	164.1	92.1
Capital Projects	-	-	-	-	2.3	-							2.3	-	2.3
<b>Total Disbursements</b>	<b>5,869.1</b>	<b>4,120.6</b>	<b>5,563.7</b>	<b>5,653.2</b>	<b>4,823.4</b>	<b>11,041.0</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>37,071.0</b>	<b>28,157.7</b>	<b>8,913.3</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>4,923.6</b>	<b>37.4</b>	<b>1,793.8</b>	<b>(424.0)</b>	<b>(404.4)</b>	<b>(1,123.2)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>4,802.6</b>	<b>3,117.7</b>	<b>1,684.9</b>
<b>OTHER FINANCING SOURCES (USES):</b>															
Transfers from Other Funds	-	-	-	-	-	-							-	-	-
Transfers to Other Funds	(307.2)	(135.4)	2.7	(248.9)	(27.0)	(291.8)							(1,007.6)	(904.6)	103.0
<b>Total Other Financing Sources (Uses)</b>	<b>(307.2)</b>	<b>(135.4)</b>	<b>2.7</b>	<b>(248.9)</b>	<b>(27.0)</b>	<b>(291.8)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(1,007.6)</b>	<b>(904.6)</b>	<b>103.0</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>4,616.4</b>	<b>(98.0)</b>	<b>1,796.5</b>	<b>(673.5)</b>	<b>(431.4)</b>	<b>(1,415.0)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>3,795.0</b>	<b>2,213.1</b>	<b>1,581.9</b>
<b>Ending Fund Balance</b>	<b>\$ 5,527.8</b>	<b>\$ 5,428.8</b>	<b>\$ 7,226.3</b>	<b>\$ 6,552.8</b>	<b>\$ 6,121.4</b>	<b>\$ 4,706.4</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 4,706.4</b>	<b>\$ 964.7</b>	<b>\$ 3,741.7</b>
															<b>387.9%</b>

**EXHIBIT H**

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STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS - COMBINED  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT I

Beginning Fund Balance	2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2020		2	
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STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS - COMBINED  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT I

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	6 Months Ended September 30			% Increase/ Decrease
														2020	2019	\$ Increase/ (Decrease)	
<b>DISBURSEMENTS:</b>																	
Local Assistance Grants:																	
Education	12.0	-	4.9	1.0	1.0	1.4	-	-	-	-	-	-	-	20.3	87.8	(67.5)	-76.9%
Environment and Recreation	4.4	3.2	14.0	12.6	20.6	15.3	1.4	-	-	-	-	-	-	70.1	107.3	(37.2)	-34.7%
General Government	30.4	10.9	41.5	36.0	68.0	18.7	-	-	-	-	-	-	-	206.5	418.3	(211.8)	-50.6%
Public Health:																	
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	28.0	58.2	30.9	38.7	76.1	25.7	-	-	-	-	-	-	-	258.6	206.9	51.7	25.0%
Public Safety	-	-	3.6	-	1.1	10.7	-	-	-	-	-	-	-	11.1	11.1	-	0.0%
Public Works	-	33.8	73.7	71.7	53.1	110.4	11.4	-	-	-	-	-	-	342.5	154.6	187.9	122.8%
Support and Regulate Business	43.2	7.7	24.8	20.9	71.6	11.4	-	-	-	-	-	-	-	178.6	515.3	(336.7)	-65.1%
Transportation	24.4	46.2	50.0	81.9	146.7	389.3	-	-	-	-	-	-	-	728.5	959.1	(230.6)	-24.0%
Total Local Assistance Grants	143.4	160.0	243.6	273.7	439.2	552.9	-	-	-	-	-	-	-	1,812.8	2,471.2	(658.4)	-26.6%
Departmental Operations:																	
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	508.8	406.0	681.8	641.4	665.4	706.7	-	-	-	-	-	-	-	3,611.1	3,432.3	178.8	5.2%
Total Disbursements	653.2	566.0	925.4	915.1	1,104.6	1,259.6	-	-	-	-	-	-	-	5,423.9	5,903.5	(479.6)	-8.1%
Excess (Deficiency) of Receipts over Disbursements	697.3	(352.8)	(234.0)	(232.2)	(679.4)	(471.7)	-	-	-	-	-	-	-	(1,272.8)	(2,680.4)	1,417.6	52.7%
<b>OTHER FINANCING SOURCES (USES):</b>																	
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	(805.1)	198.0	360.6	523.4	568.3	250.0	-	-	-	-	-	-	-	1,093.2	3,054.3	(1,961.1)	-64.2%
Transfers to Other Funds	(12.3)	(12.4)	(12.3)	(14.0)	(36.4)	(187.0)	-	-	-	-	-	-	-	(274.4)	(514.1)	(239.7)	-46.6%
Total Other Financing Sources (Uses)	(817.4)	185.6	348.3	509.4	529.9	63.0	-	-	-	-	-	-	-	818.8	2,540.2	(1,721.4)	-47.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(120.1)	(167.2)	114.3	277.2	(149.5)	(408.7)	-	-	-	-	-	-	-	(454.0)	(150.2)	(303.8)	-202.3%
Ending Fund Balance	\$ (1,155.0)	\$ (1,322.2)	\$ (1,207.9)	\$ (930.7)	\$ (1,080.2)	\$ (1,488.9)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (1,488.9)	\$ (1,888.1)	\$ (200.8)	-15.6%

(\*) Intra-Fund transfer eliminations represent transfers from Capital Projects-State and Federal Funds.

STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS - STATE  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT I

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	6 Months Ended September 30				% Increase/ Decrease
													2020	2019	\$ Increase/ (Decrease)		
Beginning Fund Balance	\$ (472.2)	\$ (598.4)	\$ (754.3)	\$ (629.3)	\$ (389.5)	\$ (564.6)							\$ (472.2)	\$ (633.2)	\$ 161.0	25.4%	
RECEIPTS:																	
Taxes:																	
Consumption/Use Taxes																	
Auto Rental	0.6	0.1	10.1	-	-	15.6							26.4	57.2	(30.8)	-53.8%	
Motor Fuel	23.8	16.7	24.9	31.2	33.4	32.7							162.7	210.1	(47.4)	-22.6%	
Highway Use	11.6	8.8	12.4	12.4	10.2	12.7							68.1	70.8	(2.7)	-3.8%	
Total Consumption/Use Taxes	36.0	25.6	47.4	43.6	43.6	61.0							257.2	338.1	(80.9)	-23.9%	
Business Taxes																	
Corporation Franchise	-	-	-	-	-	-							-	-	-	0.0%	
Corporation and Utilities	0.1	(1.5)	0.6	3.6	0.1	2.2							5.1	8.2	(3.1)	-37.8%	
Petroleum Business	38.0	22.2	48.0	49.1	48.3	55.2							260.8	339.8	(79.0)	-23.2%	
Total Business Taxes	38.1	20.7	48.6	52.7	48.4	57.4							265.9	348.0	(82.1)	-23.6%	
Other Taxes																	
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9							47.6	47.6	-	0.0%	
Total Other Taxes	-	-	11.9	11.9	11.9	11.9							47.6	47.6	-	0.0%	
Total Taxes	74.1	46.3	107.9	108.2	103.9	130.3							570.7	733.7	(163.0)	-22.2%	
Miscellaneous Receipts:																	
Abandoned Property:																	
Bottle Bill	-	-	-	23.0	-	-							23.0	23.0	-	0.0%	
Assessments:																	
Business	7.9	3.6	5.4	7.0	7.6	7.1							38.6	53.2	(14.6)	-27.4%	
Fees, Licenses and Permits:																	
Business/Professional	1.8	2.1	1.6	1.3	9.3	3.1							19.2	24.6	(5.4)	-22.0%	
Civil	-	-	-	-	-	-							-	-	-	0.0%	
Motor Vehicle	52.2	33.2	43.1	60.6	63.4	57.4							309.9	386.5	(76.6)	-19.8%	
Recreational/Consumer	-	-	-	-	-	-							-	-	-	0.0%	
Fines, Penalties and Forfeitures	2.0	1.9	2.0	1.2	3.2	2.2							19.2	3.3	15.9	481.6%	
Interest Earnings	0.7	0.5	0.1	-	0.1	0.1							12.5	12.3	0.2	1.6%	
Receipts from Public Authorities:																	
Bond Proceeds	1,122.1	19.1	342.4	269.9	32.4	384.7							2,170.6	1,083.6	1,087.0	100.3%	
Insurance Fees	-	-	-	-	-	-							-	-	-	0.0%	
Non Bond Related	0.1	-	0.5	0.1	0.5	0.1							1.3	3.9	(2.6)	-66.7%	
Receipts from Municipalities	-	-	0.1	-	0.3	-							0.4	1.1	(0.7)	-63.6%	
Rentals of State Departments:	0.4	1.1	2.0	2.2	3.7	1.1							10.5	4.7	5.8	123.4%	
Administrative Recoveries																	
Gifts, Grants and Donations	-	0.7	5.8	-	-	1.6							-	-	-	0.0%	
Indirect Cost Recoveries	-	-	-	-	-	-							9.4	12.1	(2.7)	-22.3%	
Rebates	-	-	-	-	-	-							-	(0.9)	0.9	100.0%	
Restitution and Settlements	3.1	0.2	-	0.1	0.9	1.4							-	0.2	(0.2)	-100.0%	
All Other	0.3	1.8	12.0	0.2	0.7	13.7							5.7	1.5	4.2	280.0%	
Sales	-	-	-	-	-	-							28.7	37.2	(8.5)	-22.8%	
Total Miscellaneous Receipts	1,190.6	64.2	415.5	365.6	134.5	480.2							2,650.6	1,556.7	993.9	60.0%	
Federal Receipts	-	-	-	-	-	2.1							2.1	2.3	(0.2)	-8.7%	
Total Receipts	1,264.7	110.5	523.4	473.8	238.4	612.6							3,223.4	2,392.7	830.7	34.7%	

## EXHIBIT I

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**EXHIBIT I**

	2020		MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	2021			6 Months Ended September 30		% Increase/ Decrease	
	APRIL	\$								DECEMBER	JANUARY	FEBRUARY	MARCH	2020		2019
Beginning Fund Balance																
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Assessments	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Business	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Civil	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Recreational/Consumer	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fines, Penalties and Forfeitures	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Interest Earnings	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Public Authorities:																
Bond Proceeds	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Insurance Fees	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Municipalities	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Rentals	0.1		0.1	-	-	0.1	-	-	-	-	-	-	-	-	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Indirect Cost Recoveries	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Restitution and Settlements	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Sales	-		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Miscellaneous Receipts	0.1		-	0.1	-	0.1	-	-	-	-	-	-	-	-	-	0.1
Federal Receipts	85.7		102.7	167.9	209.1	186.7	175.1	-	-	-	-	-	-	-	-	0.1
Total Receipts	85.8		102.7	168.0	209.1	186.8	175.3	-	-	-	-	-	-	-	-	0.1
DISBURSEMENTS:																
Local Assistance Grants:																
Education	-		-	-	-	-	-	-	-	-	-	-	-	-	-	-
Environment and Recreation	-		-	-	-	-	-	-	-	-	-	-	-	-	-	-
General Government	-		-	-	-	-	-	-	-	-	-	-	-	-	-	-
Public Health:																
Medicaid	-		-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other Public Health	-		-	-	1.2	-	0.6	-	-	-	-	-	-	-	-	-
Public Safety	-		-	3.1	-	-	0.4	-	-	-	-	-	-	-	-	-
Public Welfare	-		-	-	-	-	-	-	-	-	-	-	-	-	-	-
Support and Regulate Business	-		-	-	-	-	-	-	-	-	-	-	-	-	-	-
Transportation	22.0		41.5	35.6	41.5	42.7	52.7	-	-	-	-	-	-	-	-	-
Total Local Assistance Grants	22.0		41.5	38.7	42.7	42.7	53.7	-	-	-	-	-	-	-	-	-
Departmental Operations:																
Personal Service	-		-	-	-	-	-	-	-	-	-	-	-	-	-	-
Non-Personal Service	-		-	-	-	-	-	-	-	-	-	-	-	-	-	-
General State Charges	57.7		72.5	140.0	129.0	118.5	159.3	-	-	-	-	-	-	-	-	-
Capital Projects	-		-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements	78.7		114.0	178.7	171.7	161.2	213.0	-	-	-	-	-	-	-	-	-
Excess (Deficiency) of Receipts over Disbursements	6.1		(11.3)	(10.7)	37.4	25.6	(37.7)	-	-	-	-	-	-	-	-	-
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-		-	-	-	-	-	-	-	-	-	-	-	-	-	-
Transfers to Other Funds	-		-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Other Financing Sources (Uses)	-		-	-	-	-	-	-	-	-	-	-	-	-	-	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	6.1		(11.3)	(10.7)	37.4	25.6	(37.7)	-	-	-	-	-	-	-	-	-
Ending Fund Balance	\$ (556.6)		\$ (567.9)	\$ (578.6)	\$ (541.2)	\$ (615.6)	\$ (553.3)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (553.3)	\$ (529.5)	\$ -

STATE OF NEW YORK  
ENTERPRISE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT J

	6 Months Ended September 30												% Increase/ Decrease
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	
	\$ 29.7	\$ 45.9	\$ 35.9	\$ 35.3	\$ 40.4	\$ 42.2				2020 \$ 29.7	2019 \$ 26.6	\$ 3.1	11.7%
Beginning Fund Balance													
RECEIPTS:													
Miscellaneous Receipts	4.2	4.8	6.4	6.9	7.7	7.0				37.0	45.4	(8.4)	-18.5%
Federal Receipts	2,584.0	5,963.3	10,834.5	8,046.5	3,020.9	2,491.7				33,873.9	5.8	33,868.1	583,932.8%
Unemployment Taxes	1,823.9	2,261.7	2,631.7	1,989.9	1,931.3	1,366.4				11,923.9	958.5	10,967.4	1,144.2%
Total Receipts	4,412.1	8,259.8	13,472.6	10,937.3	4,959.9	3,895.1	-	-	-	45,836.8	1,009.7	44,827.1	4,439.6%
DISBURSEMENTS:													
Departmental Operations:													
Personal Service	1.4	0.9	1.1	1.1	1.3	1.7				7.5	2.3	5.2	226.1%
Non-Personal Service	3.6	4.9	5.4	4.9	4.6	4.4				27.8	29.1	(1.3)	-4.5%
General State Charges	0.2	0.1	0.2	0.1	0.1	0.2				0.9	0.4	0.5	123.0%
Unemployment Benefits	4,390.7	8,263.9	13,469.5	10,926.1	4,852.1	3,883.9				45,791.2	965.2	44,826.0	4,644.2%
Total Disbursements	4,395.9	8,269.8	13,476.2	10,932.2	4,858.1	3,895.2	-	-	-	45,827.4	997.0	44,830.4	4,496.5%
Excess (Deficiency) of Receipts over Disbursements	16.2	(10.0)	(3.6)	5.1	1.8	(0.1)	-	-	-	9.4	12.7	(3.3)	-26.0%
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	-	-	3.0	-	-	-				3.0	-	3.0	100.0%
Transfers to Other Funds	-	-	-	-	-	-				-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	3.0	-	-	-	-	-	-	3.0	-	3.0	100.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	16.2	(10.0)	(0.6)	5.1	1.8	(0.1)	-	-	-	12.4	12.7	(0.3)	-2.4%
Ending Fund Balance	\$ 45.9	\$ 35.9	\$ 35.3	\$ 40.4	\$ 42.2	\$ 42.1	\$ -	\$ -	\$ -	\$ -	\$ 39.3	\$ 2.8	7.1%

STATE OF NEW YORK  
INTERNAL SERVICE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT K

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	6 Months Ended September 30			% Increase (Decrease)	
	\$ (297.5)	\$ (281.0)	\$ (299.2)	\$ (315.2)	\$ (342.3)	\$ (355.2)							2020 \$ (297.5)	2019 \$ (302.7)	\$ 5.2	1.7%	
<b>Beginning Fund Balance</b>																	
<b>RECEIPTS:</b>																	
Miscellaneous Receipts	25.2	22.3	34.9	19.8	32.4	34.1	34.1	-	-	-	-	-	168.7	264.2	(95.5)	-36.1%	
<b>Total Receipts</b>	<b>25.2</b>	<b>22.3</b>	<b>34.9</b>	<b>19.8</b>	<b>32.4</b>	<b>34.1</b>	<b>34.1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>168.7</b>	<b>264.2</b>	<b>(95.5)</b>	<b>-36.1%</b>	
<b>DISBURSEMENTS:</b>																	
Departmental Operations:																	
Personal Service	14.5	11.1	11.0	12.3	9.9	14.6							73.4	62.5	10.9	17.4%	
Non-Personal Service	(9.3)	26.6	83.3	31.7	41.6	35.2							209.1	191.8	17.3	9.0%	
General State Charges	4.8	5.3	8.6	4.6	5.7	4.8							33.8	30.0	3.8	12.7%	
<b>Total Disbursements</b>	<b>10.0</b>	<b>43.0</b>	<b>102.9</b>	<b>48.6</b>	<b>57.2</b>	<b>54.6</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>316.3</b>	<b>284.3</b>	<b>32.0</b>	<b>11.3%</b>	
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>15.2</b>	<b>(20.7)</b>	<b>(68.0)</b>	<b>(28.8)</b>	<b>(24.8)</b>	<b>(20.5)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(147.6)</b>	<b>(20.1)</b>	<b>(127.5)</b>	<b>-634.3%</b>	
<b>OTHER FINANCING SOURCES (USES):</b>																	
Transfers from Other Funds	1.3	2.5	52.0	1.7	12.1	3.1							72.7	45.4	27.3	60.1%	
Transfers to Other Funds	-	-	-	-	(0.2)	(0.1)							(0.3)	(0.9)	(0.6)	-66.7%	
<b>Total Other Financing Sources (Uses)</b>	<b>1.3</b>	<b>2.5</b>	<b>52.0</b>	<b>1.7</b>	<b>11.9</b>	<b>3.0</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>72.4</b>	<b>44.5</b>	<b>27.9</b>	<b>62.7%</b>	
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<b>16.5</b>	<b>(18.2)</b>	<b>(16.0)</b>	<b>(27.1)</b>	<b>(12.9)</b>	<b>(17.5)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(75.2)</b>	<b>24.4</b>	<b>(99.6)</b>	<b>-408.2%</b>	
<b>Ending Fund Balance</b>	<b>\$ (281.0)</b>	<b>\$ (299.2)</b>	<b>\$ (315.2)</b>	<b>\$ (342.3)</b>	<b>\$ (355.2)</b>	<b>\$ (372.7)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (372.7)</b>	<b>\$ (278.3)</b>	<b>\$ (94.4)</b>	<b>-33.9%</b>	

## EXHIBIT L

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## EXHIBIT M

STATE OF NEW YORK  
PRIVATE PURPOSE TRUST FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

	6 Months Ended September 30															
												\$ Increase/ (Decrease)		% Increase/ (Decrease)		
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY				MARCH	
Beginning Fund Balance	\$ 14.3	\$ 14.4	\$ 14.5	\$ 14.6	\$ 14.7	\$ 14.1							2020 \$ 14.3	2019 \$ 13.2	\$ 1.1	8.3%
RECEIPTS:																
Miscellaneous Receipts	0.2	0.1	0.1	0.2	(0.6)	-							-	0.8	(0.8)	-100.0%
Total Receipts	0.2	0.1	0.1	0.2	(0.6)	-							-	0.8	(0.8)	-100.0%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	-	-	-	0.1	-	-							0.1	0.1	-	0.0%
Non-Personal Service	-	-	-	-	-	-							-	-	-	0.0%
General State Charges	0.1	-	-	-	-	-							0.1	0.1	-	0.0%
Total Disbursements	0.1	-	-	0.1	-	-							0.2	0.2	-	0.0%
Excess (Deficiency) of Receipts over Disbursements	0.1	0.1	0.1	0.1	(0.6)	-							(0.2)	0.6	(0.8)	-133.3%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-							-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.1	0.1	0.1	0.1	(0.6)	-							(0.2)	0.6	(0.8)	-133.3%
Ending Fund Balance	\$ 14.4	\$ 14.5	\$ 14.6	\$ 14.7	\$ 14.1	\$ 14.1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 14.1	\$ 13.8	\$ 0.3	2.2%

## SCHEDULE 1

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FISCAL YEAR 2020-2021  
FOR THE MONTH OF SEPTEMBER 2020  
(amounts in millions)

	BALANCE SEPTEMBER 1, 2020	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE SEPTEMBER 30, 2020
<b>GENERAL FUND</b>					
10000-10049-Local Assistance Account	\$ -	\$ 0.002	\$ 3,933.350	\$ 3,933.348	\$ -
10050-10099-State Operations Account	13,492.646	4,941.506	1,572.819	(1,449.514)	15,411.819
10100-10149-Tax Stabilization Reserve	-	-	-	-	-
10150-10199-Contingency Reserve	-	-	-	-	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	30.022	-	0.046	-	29.976
10300-10349-Rainy Day Reserve Fund	-	-	-	-	-
10400-10449-Refund Reserve Account	-	-	-	-	-
10500-10549-Fringe Benefits Escrow	-	-	-	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
<b>TOTAL GENERAL FUND</b>	<b>13,522.668</b>	<b>4,941.508</b>	<b>5,506.215</b>	<b>2,483.834</b>	<b>15,441.795</b>
<b>SPECIAL REVENUE FUNDS-STATE</b>					
20000-20099-Mental Health Gifts and Donations	0.841	-	-	-	0.841
20100-20299-Combined Expendable Trust	70.805	(0.052)	0.879	-	69.874
20300-20349-New York Interest on Lawyer Account	115.750	2.180	3.543	-	114.387
20350-20399-NYS Archives Partnership Trust	0.117	-	0.040	-	0.077
20400-20449-Child Performer's Protection	0.503	0.004	0.034	(0.003)	0.470
20450-20499-Tuition Reimbursement	7.745	0.985	0.301	-	8.429
20500-20549-New York State Local Government Records Management Improvement	4.276	0.816	0.346	-	4.746
20550-20599-School Tax Relief	0.006	0.115	0.112	-	0.009
20600-20649-Charter Schools Stimulus	3.871	-	3.294	-	0.577
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	217.721	540.876	650.995	(3,267)	104.335
20850-20899-Dedicated Mass Transportation Trust	56.176	52.556	33.953	15.665	90.444
20900-20949-State Lottery	643.761	233.152	2,292.182	-	(1,415.269)
20950-20999-Combined Student Loan	217.746	1.764	0.528	-	28.982
21000-21049-Sewage Treatment Program Mgmt. & Administration	(3,680)	-	0.068	(3,748)	(5,871)
21050-21149-Encon Special Revenue	(6,443)	9,259	8.887	-	95.103
21150-21199-Conservation	86.316	11,982	3,195	-	20,283
21200-21249-Environmental Protection and Oil Spill Compensation	20,944	2,741	1,998	(1,404)	10,532
21250-21299-Training and Education Program on OSHA	6,987	7,001	3,232	(0,224)	10,089
21300-21349-Lawyers' Fund for Client Protection	8,403	1,753	0,067	-	0,543
21350-21399-Equipment Loan for the Disabled	0.545	0,004	0,006	-	486.246
21400-21449-Mass Transportation Operating Assistance	305.722	326.647	148.466	2,343	(34,205)
21450-21499-Clean Air	(34,953)	3,685	2,937	-	0,071
21500-21549-New York State Infrastructure Trust	0,071	-	-	-	12,328
21550-21599-Legislative Computer Services	12,300	0,070	0,042	-	-
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	0,469
21650-21699-Combined Non-Expendable Trust	0,469	-	-	-	-
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	-	-	-	-	-
21850-21899-Arts Capital Grants	-	-	-	-	0,990
21900-22499-Miscellaneous State Special Revenue	0,989	0,001	-	-	1,605.733
22500-22549-Court Facilities Incentive Aid	1,545.738	279.493	254.999	35.501	25,481
	35,962	0,005	10,486	-	-

## SCHEDULE 1

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FISCAL YEAR 2020-2021  
FOR THE MONTH OF SEPTEMBER 2020  
(amounts in millions)

	BALANCE SEPTEMBER 1, 2020	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE SEPTEMBER 30, 2020
<b>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</b>					
22550-22599-Employment Training	0.053	-	-	-	0.053
22650-22699-State University Income	1,911.959	653.303	722.231	29.240	1,872.271
22700-22749-Chemical Dependence Service	10.673	0.278	0.087	-	10.864
22750-22799-Lake George Park Trust	0.451	-	0.211	-	0.240
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	66.239	13.178	0.350	-	79.067
22850-22899-New York Great Lakes Protection	0.497	-	0.015	-	0.482
22900-22949-Federal Revenue Maximization	0.024	-	-	-	0.024
22950-22999-Housing Development	10.644	0.001	-	-	10.645
23000-23049-NYS/DOT Highway Safety Program	(15.632)	0.114	0.378	-	(15.896)
23050-23099-Vocational Rehabilitation	0.059	0.016	-	-	0.075
23100-23149-Drinking Water Program Management and Administration	(5.351)	-	-	-	(5.351)
23150-23199-NYC County Clerks' Operations Offset	(40.780)	-	3.093	-	(43.873)
23200-23249-Judiciary Data Processing Offset	47.484	9.164	3.292	-	53.356
23250-23449-IFR/CUTRA	184.592	9.096	44.239	-	149.449
23500-23549-USOC Lake Placid Training	0.336	0.005	-	-	0.341
23550-23599-Indigent Legal Services	466.550	38.907	8.825	-	496.632
23600-23649-Unemployment Insurance Interest and Penalty	32.665	0.436	0.107	(8.728)	24.266
23650-23699-MTA Financial Assistance Fund	373.004	0.039	59.080	12.500	326.463
23700-23749-New York State Commercial Gaming Fund	5.613	8.082	0.462	-	13.233
23750-23799-Medical Marijuana Trust Fund	12.729	0.778	1.010	(0.295)	12.202
23800-23899-Dedicated Miscellaneous State Special Revenue	3.772	0.103	0.041	-	3.834
24850-24899-Health Care Transformation	316.380	0.039	-	-	316.419
24900-24949-Charitable Gifts Trust Fund	95.886	0.012	-	-	95.898
24950-24999-Interactive Fantasy Sports	20.188	0.567	-	-	20.740
40350-40399-State University Dormitory Income	122.064	50.724	-	(22.244)	150.544
<b>TOTAL SPECIAL REVENUE FUNDS-STATE</b>	<b>6,748.787</b>	<b>2,259.879</b>	<b>4,263.826</b>	<b>59.084</b>	<b>4,803.924</b>
<b>SPECIAL REVENUE FUNDS-FEDERAL</b>					
25000-25099-Federal USDA/Food and Consumer Services	(113.984)	267.319	144.973	(7.724)	0.638
25100-25199-Federal Health and Human Services	2,439.881	5,220.173	6,516.435	(275.249)	868.370
25200-25249-Federal Education	(98.620)	406.657	323.393	(0.683)	(16.039)
25300-25899-Federal Miscellaneous Operating Grants	3,748.518	3,984.424	3,985.945	(5.272)	3,741.725
25900-25949-Unemployment Insurance Occupational Training	146.258	29.604	60.011	(2.708)	113.143
25950-25999-Unemployment Insurance Occupational Training	(0.460)	0.058	0.101	-	(0.503)
26000-26049-Federal Employment and Training Grants	(0.234)	9.680	10.192	(0.180)	(0.926)
<b>TOTAL SPECIAL REVENUE FUNDS-FEDERAL</b>	<b>6,121.359</b>	<b>9,917.915</b>	<b>11,041.050</b>	<b>(291.816)</b>	<b>4,706.408</b>
<b>TOTAL SPECIAL REVENUE FUNDS</b>	<b>12,870.146</b>	<b>12,177.794</b>	<b>15,304.876</b>	<b>(232.732)</b>	<b>9,510.332</b>
<b>DEBT SERVICE FUNDS</b>					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	27.480	20.281	-	17.714	65.475
40150-40199-General Debt Service	2,083.709	3,014.714	847.396	(2,024.018)	2,227.009
40250-40299-State Housing Debt Service	-	-	2.321	2.321	-
40300-40349-Department of Health Income	29.932	15.918	-	(7.013)	38.837
40400-40449-Clean Water/Clean Air	3.427	70.218	-	(67.615)	6.030
40450-40499-Local Government Assistance Tax	-	354.469	-	(354.469)	-
<b>TOTAL DEBT SERVICE FUNDS</b>	<b>2,144.548</b>	<b>3,475.600</b>	<b>849.717</b>	<b>(2,433.080)</b>	<b>2,337.351</b>

STATE OF NEW YORK GOVERNMENTAL FUNDS SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES FISCAL YEAR 2020-2021 FOR THE MONTH OF SEPTEMBER 2020 (amounts in millions)						SCHEDULE 1	
	BALANCE SEPTEMBER 1, 2020	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE SEPTEMBER 30, 2020		
<b>CAPITAL PROJECTS FUNDS</b>							
30000-30049-State Capital Projects	-	376.216	605.239	229.023	-		
30050-30099-Dedicated Highway and Bridge Trust	(155.105)	208.654	205.997	(168.284)	(320.732)		
30100-30299-SUNY Residence Halls Rehabilitation and Repair	119.497	0.015	3.163	-	116.349		
30300-30349-New York State Canal System Development	14.094	0.002	-	-	14.096		
30350-30399-Parks Infrastructure	(64.402)	7.130	13.178	-	(70.450)		
30400-30449-Passenger Facility Charge	0.015	-	-	-	0.015		
30450-30499-Environmental Protection	105.261	13.530	20.751	-	98.040		
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-		
30600-30609-Energy Conservation Thru Improved Transportation Bond	0.164	-	-	-	0.164		
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-		
30620-30629-Pure Waters Bond	0.668	-	-	-	0.668		
30630-30639-Transportation Capital Facilities Bond	3.328	-	-	-	3.328		
30640-30649-Environmental Quality Protection Bond	1.419	-	-	-	1.419		
30650-30659-Rebuild and Renew New York Transportation Bond	17.219	-	-	(0.018)	17.201		
30660-30669-Transportation Infrastructure Renewal Bond	4.255	-	-	-	4.255		
30670-30679-1986 Environmental Quality Bond Act	5.551	-	-	-	5.551		
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2.778	-	-	-	2.778		
30690-30699-Clean Water/Clean Air Bond	1.428	-	-	-	1.428		
30700-30709-State Housing Bond	-	-	-	-	-		
30710-30719-Smart Schools Bond	-	-	-	-	-		
30750-30799-Outdoor Recreation Development Bond	-	-	-	-	-		
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-		
31350-31449-Federal Capital Projects	(515.558)	175.317	213.050	-	(553.291)		
31450-31499-Forest Preserve Expansion	1.082	-	-	-	1.082		
31500-31549-Hazardous Waste Remedial	(56.815)	2.082	5.896	(1.603)	(62.232)		
31650-31699-Suburban Transportation	0.539	-	-	-	0.539		
31700-31749-Division for Youth Facilities Improvement	(14.936)	-	0.823	-	(15.759)		
31800-31849-Housing Assistance	(12.942)	-	-	-	(12.942)		
31850-31899-Housing Program	(314.279)	-	110.008	-	(424.287)		
31900-31949-Natural Resource Damage	17.927	0.002	0.030	-	17.899		
31950-31999-DOT Engineering Services	(11.969)	-	-	-	(11.969)		
32200-32249-Miscellaneous Capital Projects	106.421	2.769	7.014	0.833	103.009		
32250-32299-CUNY Capital Projects	0.034	0.001	-	-	0.035		
32300-32349-Mental Hygiene Facilities Capital Improvement	(354.914)	2.150	30.800	-	(383.564)		
32350-32399-Correction Facilities Capital Improvement	(184.990)	-	27.516	-	(212.506)		
32400-32999-State University Capital Projects	157.102	0.015	6.599	2.998	153.516		
33000-33049-NYS Storm Recovery Fund	(54.160)	-	(1.058)	-	(53.102)		
33050-33099-Dedicated Infrastructure Investment Fund	101.117	-	10.598	-	90.519		
<b>TOTAL CAPITAL PROJECTS FUNDS</b>	<b>(1,080.171)</b>	<b>787.883</b>	<b>1,259.604</b>	<b>62.949</b>	<b>(1,488.943)</b>		
<b>TOTAL GOVERNMENTAL FUNDS</b>	<b>\$ 27,457.191</b>	<b>\$ 21,382.785</b>	<b>\$ 22,920.412</b>	<b>\$ (119.029)</b>	<b>\$ 25,800.535</b>		

## SCHEDULE 2

STATE OF NEW YORK  
 PROPRIETARY FUNDS  
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
 CHANGES IN FUND BALANCES  
 FISCAL YEAR 2020-2021  
 FOR THE MONTH OF SEPTEMBER 2020  
 (amounts in millions)

<u>FUND TYPE</u>	<u>BALANCE</u> <u>SEPTEMBER 1, 2020</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER</u> <u>FINANCING</u> <u>SOURCES (USES)</u>	<u>BALANCE</u> <u>SEPTEMBER 30, 2020</u>
<b>ENTERPRISE FUNDS</b>					
50000-50049-Youth Commissary	\$ 0.110	\$ 0.004	\$ -	\$ -	0.114
50050-50099-State Exposition Special	2.311	0.046	0.300	-	2.057
50100-50299-Correctional Services Commissary	4.162	3.409	3.902	-	3.669
50300-50399-Agencies Enterprise	9.672	3.369	2.037	-	11.004
50400-50449-Sheltered Workshop	2.240	0.016	0.015	-	2.241
50450-50499-Patient Workshop	1.885	0.002	-	-	1.887
50500-50599-Mental Hygiene Community Stores	4.939	0.068	0.064	-	4.943
50650-50699-Unemployment Insurance	16.923	3,888.102	3,888.882	-	16.143
<b>TOTAL ENTERPRISE FUNDS</b>	<b>42.242</b>	<b>3,895.016</b>	<b>3,895.200</b>	<b>-</b>	<b>42.058</b>
<b>INTERNAL SERVICE FUNDS</b>					
55000-55049-Centralized Services	(106.259)	23.922	35.588	0.061	(117.864)
55050-55099-Agency Internal Service	(145.613)	8.147	8.677	2.964	(143.179)
55100-55149-Mental Hygiene Revolving	(0.054)	0.020	0.070	-	(0.104)
55150-55199-Youth Vocational Education	0.079	-	-	-	0.079
55200-55249-Joint Labor and Management Administration	0.183	-	0.093	-	0.090
55250-55299-Audit and Control Revolving	(53.320)	-	3.885	(0.010)	(57.215)
55300-55349-Health Insurance Revolving	(8.778)	-	1.599	-	(10.377)
55350-55399-Correctional Industries Revolving	(41.444)	2.012	4.651	-	(44.083)
<b>TOTAL INTERNAL SERVICE FUNDS</b>	<b>(355.206)</b>	<b>34.101</b>	<b>54.563</b>	<b>3.015</b>	<b>(372.653)</b>
<b>TOTAL PROPRIETARY FUNDS</b>	<b>\$ (312.964)</b>	<b>\$ 3,929.117</b>	<b>\$ 3,949.763</b>	<b>\$ 3.015</b>	<b>\$ (330.595)</b>

## SCHEDULE 3

STATE OF NEW YORK  
FIDUCIARY FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR 2020-2021  
FOR THE MONTH OF SEPTEMBER 2020  
(amounts in millions)

<u>FUND TYPE</u>	<u>BALANCE</u> <u>SEPTEMBER 1, 2020</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER</u> <u>FINANCING</u> <u>SOURCES (USES)</u>	<u>BALANCE</u> <u>SEPTEMBER 30, 2020</u>
<b><u>PENSION TRUST FUNDS</u></b>					
65000-65049-Common Retirement Administration	\$ (5.947)	\$ 14.355	\$ 12.621	\$ -	\$ (4.213)
<b>TOTAL PENSION TRUST FUNDS</b>	<b>(5.947)</b>	<b>14.355</b>	<b>12.621</b>	<b>-</b>	<b>(4.213)</b>
<b><u>PRIVATE PURPOSE TRUST FUNDS</u></b>					
66000-66049-Agriculture Producers' Security	3.071	-	0.012	-	3.059
66050-66099-Milk Producers' Security	10.986	0.115	0.026	-	11.075
<b>TOTAL PRIVATE PURPOSE TRUST FUNDS</b>	<b>14.057</b>	<b>0.115</b>	<b>0.038</b>	<b>-</b>	<b>14.134</b>
<b><u>AGENCY FUNDS</u></b>					
60050-60149-School Capital Facilities Financing Reserve	17.970	0.060	-	-	18.030
60150-60199-Child Performer's Holding	0.538	-	-	-	0.538
60200-60249-Employees Health Insurance	1,024.358	1,091.643	972.817	-	1,143.184
60250-60299-Social Security Contribution	15.347	124.570	124.490	-	15.427
60300-60399-Employee Payroll Withholding	(0.993)	457.316	394.762	-	61.561
60400-60449-Employees Dental Insurance	19.177	6.199	5.793	-	19.583
60450-60499-Management Confidential Group Insurance	0.576	0.985	0.767	-	0.794
60500-60549-Lottery Prize	584.093	132.426	84.331	-	632.188
60550-60599-Health Insurance Reserve Receipts	0.146	-	-	-	0.146
60600-60799-Miscellaneous New York State Agency	935.781	729.881	739.644	-	926.018
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	28.497	10.396	7.200	-	31.693
60850-60899-CUNY Senior College Operating	67.888	180.213	224.003	-	24.098
60900-60949-Medicaid Management Information System (MMIS) Escrow	156.589	7,824.491	5,022.270	116.014	3,074.824
60950-60999-Special Education	-	-	-	-	-
61000-61099-State University of New York Revenue Collection	278.884	(42.758)	-	-	236.126
61100-61999-State University Federal Direct Lending Program	(15.282)	237.321	229.171	-	(7.132)
62000-62049-SSI SSP Payment Escrow	-	-	-	-	-
<b>TOTAL AGENCY FUNDS</b>	<b>3,113.569</b>	<b>10,752.743</b>	<b>7,805.248</b>	<b>116.014</b>	<b>6,177.078</b>
<b>TOTAL FIDUCIARY FUNDS</b>	<b>\$ 3,121.679</b>	<b>\$ 10,767.213</b>	<b>\$ 7,817.907</b>	<b>\$ 116.014</b>	<b>\$ 6,186.999</b>

## SCHEDULE 4

STATE OF NEW YORK  
 SOLE CUSTODY AND INVESTMENT ACCOUNTS  
 STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS  
 FISCAL YEAR 2020-2021  
 FOR THE MONTH OF SEPTEMBER 2020  
 (amounts in millions)

<u>FUND TYPE</u>	<u>BALANCE SEPTEMBER 1, 2020</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>BALANCE SEPTEMBER 30, 2020</u>
<b><u>ACCOUNTS</u></b>				
70000-70049-Tobacco Settlement	\$ 2,887	\$ -	\$ -	\$ 2,887
70093, 70095, 70300-70301-MTA State Assistance	160,386	172,667	176,022	157,031
70050-70149-Sole Custody Investment (*)	2,395,217	2,252,835	2,021,897	2,626,155
70200-Comptroller's Refund Account	-	95,888	95,888	-
<b>TOTAL ACCOUNTS</b>	<b>\$ 2,558,490</b>	<b>\$ 2,521,390</b>	<b>\$ 2,293,807</b>	<b>\$ 2,786,073</b>

**(\*) Includes Public Asset Fund resources:**

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of September 30, 2020, \$9,540,064.52 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).



**STATE OF NEW YORK  
DEBT SERVICE FUNDS  
STATEMENT OF DIRECT STATE DEBT ACTIVITY  
FISCAL YEAR 2020-2021**

**SCHEDULE 5**

PURPOSE	DEBT OUTSTANDING APRIL 1, 2020	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING SEPTEMBER 30, 2020	INTEREST DISBURSED	
		MONTH OF SEPTEMBER	6 MONTHS ENDED SEPTEMBER 30, 2020	MONTH OF SEPTEMBER	6 MONTHS ENDED SEPTEMBER 30, 2020		MONTH OF SEPTEMBER	6 MONTHS ENDED SEPTEMBER 30, 2020
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$ 11,445,463	\$ -	\$ -	\$ -	\$ 720,219	\$ 10,725,244	\$ -	\$ 182,955
Clean Water/Clean Air:								
Air Quality	1,795,354	-	-	-	-	1,795,354	-	25,936
Safe Drinking Water	-	-	-	-	-	-	-	-
Clean Water	298,595,491	-	-	-	10,184,660	288,410,831	1,078,451	5,388,977
Solid Waste	16,287,590	-	-	-	1,659,267	14,628,323	3,439	332,649
Environmental Restoration	40,070,447	-	-	-	160,000	39,910,447	402,543	742,587
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	1,198,754	-	-	60,000	176,298	1,022,456	900	26,358
Environmental Quality (1972):								
Air	3,184	-	-	-	-	3,184	-	64
Land and Wetlands	4,939,861	-	-	-	25,000	4,914,861	110,896	120,391
Water	6,370,803	-	-	-	715,000	5,655,803	36,841	125,999
Environmental Quality (1986):								
Land Acquisition/Development/Restoration/Forests	5,309,545	-	-	-	486,025	4,823,520	23,401	114,670
Solid Waste Management	91,992,747	-	-	-	7,260,923	84,731,824	292,228	1,735,176
Housing:								
Low Income	5,840,000	-	-	-	-	5,840,000	-	-
Middle Income	4,035,000	-	-	2,240,000	2,240,000	1,795,000	80,718	80,718
Park and Recreation Land Acquisition	-	-	-	-	-	-	-	-
Pure Waters	15,498,329	-	-	900,000	1,846,959	13,651,370	28,129	282,252
Rail Preservation Development	-	-	-	-	-	-	-	-
Rebuild and Renew New York Transportation:								
Highway Facilities	600,658,226	-	-	-	-	600,658,226	6,050,131	10,411,922
Canals and Waterways	9,419,680	-	-	-	-	9,419,680	97,042	189,325
Aviation	41,089,448	-	-	-	-	41,089,448	244,688	643,951
Rail and Port	92,824,245	-	-	-	-	92,824,245	338,685	1,413,133
Mass Transit - Dept. of Transportation	12,168,734	-	-	-	-	12,168,734	232,697	286,617
Mass Transit - Metropolitan Transportation Authority	705,163,311	-	-	-	-	705,163,311	4,425,814	12,342,743
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	553,992	-	-	-	-	553,992	11,544	12,621
Rapid Transit, Rail and Aviation	2,042,563	-	-	-	479,171	1,563,392	-	49,370
Smart Schools Bond Act	161,307,133	-	-	-	-	161,307,133	2,015,541	4,031,427
Transportation Capital Facilities:								
Aviation	2,090,099	-	-	195,000	441,478	1,648,621	2,925	49,749
Mass Transportation	-	-	-	-	-	-	-	-
Total General Obligation Bonded Debt	\$ 2,130,699,999	\$ -	\$ -	\$ 3,395,000	\$ 26,395,000	\$ 2,104,304,999	\$ 15,476,613	\$ 38,589,589

SCHEDULE 5a

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
FINANCING AGREEMENTS  
FOR THE SIX MONTHS ENDED SEPTEMBER 30, 2020

Special Contractual Financing Obligations: Payments to Public Authorities:	DEBT REDUCTION RESERVE (40000-40049)	GENERAL DEBT SERVICE (40151)	DEPARTMENT OF HEALTH INCOME (40300-40349)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	MENTAL HEALTH SERVICES (40700-40749)	REVENUE BOND TAX (40752)	SALES TAX REVENUE BOND TAX (40754)	COMBINED TOTALS		\$ INCREASE/ (DECREASE)
								2020	2019	
City University Construction	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 54,720,301	\$ (54,720,301)
Dormitory Authority:	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	54,430,525	(54,430,525)
DASNY Revenue Bond	-	-	-	-	-	573,821,449	223,441,555	797,263,004	375,408,510	421,854,494
Department of Health Facilities	-	-	12,802,026	-	-	-	-	12,802,026	13,080,876	(278,850)
Mental Health Facilities	-	-	-	-	8,615,353	-	-	8,615,353	7,064,797	1,550,556
Secured Hospital Program	-	2,495,165	-	-	-	-	-	2,495,165	3,829,093	(1,333,928)
SUNY Community Colleges	-	8,347,200	-	-	-	-	-	8,347,200	5,928,700	2,418,500
SUNY Educational Facilities	-	-	-	-	-	-	-	-	18,022,938	(18,022,938)
Environmental Facilities Corporation	-	-	-	-	-	-	-	-	839,859	(409,228)
Housing Finance Agency	-	15,828,552	-	-	-	430,631	-	430,631	17,642,733	(1,814,181)
Local Government Assistance Corporation	-	-	-	-	-	-	-	15,628,552	21,302,971	(21,302,971)
Metropolitan Transportation Authority:	-	-	-	-	-	-	-	-	-	-
Transit and Commuter Rail Projects	-	-	-	-	-	-	-	-	-	-
Thruway Authority:	-	-	-	-	-	-	-	-	-	-
Dedicated Highway and Bridge	-	59,613,177	-	-	-	-	-	59,613,177	263,973,915	(204,360,738)
Local Highway and Bridge	-	-	-	-	-	-	-	-	21,772,000	(21,772,000)
Transportation	-	-	-	-	-	17,821,175	-	17,821,175	27,320,113	(9,498,938)
Urban Development Corporation:	-	-	-	-	-	-	-	-	28,675	(28,675)
Clarkson University	-	-	-	-	-	-	-	-	-	-
Columbia University, Telecommunications Center	-	-	-	-	-	-	-	-	4,100,254	196,854
Consolidated Service Contract Refunding	-	4,297,108	-	-	-	-	-	4,297,108	-	-
Cornell Univer. Supercomputer Center	-	-	-	-	-	-	-	-	555,750	(555,750)
Correctional Facilities	-	-	-	-	-	-	-	-	-	-
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	286,525,473	-	286,525,473	5,105,575	281,419,898
University Facilities Grant 95 Refunding	-	11,603	-	-	-	-	-	11,603	60,072	(48,469)
Total Disbursements for Special Contractual Financing Obligations	\$ -	\$ 90,592,805	\$ 12,802,026	\$ -	\$ 8,615,353	\$ 878,998,728	\$ 223,441,555	\$ 1,214,050,487	\$ 895,185,657	\$ 318,864,810

## SCHEDULE 6

STATE OF NEW YORK  
SUMMARY OF THE OPERATING FUND INVESTMENTS  
FOR THE MONTH OF SEPTEMBER 2020  
AS REQUIRED OF THE STATE COMPTROLLER  
(amounts in millions)

	MONTH OF SEPTEMBER 2020	FISCAL YEAR TO DATE	PRIOR FISCAL YEAR TO DATE
<b>SHORT TERM INVESTMENT POOL (*)</b>			
AVERAGE DAILY INVESTMENT BALANCE (**)	\$ 34,385.1	\$ 28,024.7	\$ 18,557.2
AVERAGE YIELD (**)	0.133%	0.264%	2.367%
TOTAL INVESTMENT EARNINGS	\$ 3,735	\$ 37,316	\$ 230,905

**Month-End Portfolio Balances**

DESCRIPTION	SEPTEMBER 2020 PAR AMOUNT	SEPTEMBER 2019 PAR AMOUNT
GOVT. AGENCY BILLS/NOTES	\$ 18,192.3	\$ 2,696.3
REPURCHASE AGREEMENTS	20.9	26.4
GOVT. SPONSORED AGENCIES	143.1	-
COMMERCIAL PAPER	14,061.2	15,688.3
CERTIFICATES OF DEPOSIT/SAVINGS	2,902.9	2,932.8
0% COMPENSATING BALANCE CDs	48.0	8.0
	<b>\$ 35,368.4</b>	<b>\$ 21,351.8</b>

(\*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term Investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period not to exceed the end of the fiscal year. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(\*\*) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK HCRA RESOURCES FUND STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT FISCAL YEAR 2020-2021														APPENDIX A
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30, 2020	
OPENING CASH BALANCE	\$ 15,704,540	\$ 95,764,658	\$ 80,082,746	\$ 480,512,199	\$ 186,132,186	\$ 217,721,195							\$ 15,704,540	
RECEIPTS:														
Cigarette Tax	68,786,104	51,352,065	60,187,824	68,788,897	60,405,723	70,952,125							380,482,838	
State Share of NYC Cigarette Tax	2,160,000	1,133,000	1,346,000	1,945,000	1,308,000	2,075,000							9,967,000	
Vapor Excise Tax	25,937	69,976	11,670,725	(348,272)	(123,922)	7,397,137							19,851,521	
SIDP Interest	382,848	256,771	59,513	43,854	53,717	52,869							850,572	
Public Asset Transfers	-	-	-	-	-	-							-	
Assessments	478,443,458	390,720,867	437,012,587	376,078,217	389,691,001	454,153,792							2,526,098,922	
Fees	327,000	46,000	561,000	930,000	776,000	1,404,000							4,044,000	
Rebates	12,000	4,545,140	5,975,618	9,916,208	2,000,366	4,881,029							27,330,361	
Restitution and Settlements	-	-	-	-	-	-							-	
Miscellaneous	-	-	297,248	-	1,147	-							298,395	
Total Receipts	550,137,287	448,125,819	517,105,515	457,384,004	454,112,033	540,875,952	-	-	-	-	-	-	2,967,724,610	
DISBURSEMENTS:														
Grants	466,021,724	462,778,011	97,733,246	756,144,082	414,416,738	642,214,484							2,839,308,285	
Interest - Late Payments	36	22	26,224	11,452	(5,834)	(3,803)							28,097	
Personal Service	1,509,162	534,892	1,290,941	270,886	1,523,044	750,054							5,678,879	
Non-Personal Service	85,995	(291,867)	5,284,609	4,077,823	3,349,084	7,838,783							20,315,488	
Employer Benefits/Indirect Costs	2,467,265	2,467,265	2,467,265	2,467,265	2,467,265	2,467,265							14,802,610	
Total Disbursements	488,198,325	463,320,209	105,499,130	761,142,689	419,851,726	650,995,364	-	-	-	-	-	-	2,863,008,463	
OPERATING TRANSFERS:														
Transfers to Capital Projects Fund	-	-	-	-	-	-							-	
Transfers to General Fund	-	-	297,248	-	1,147	-							298,395	
Transfers to Revenue Bond Tax Fund	-	-	-	-	2,276,000	2,650,324							4,926,324	
Transfers to Miscellaneous Special Revenue Fund:														
Administration Program Account	989,254	-	-	-	-	222,807							1,212,061	
Enterprise State Cell Trust Account	-	-	-	-	-	-							-	
Enterprise State Cell Trust Account	-	-	-	-	-	-							-	
Transfers to SUNY Income Fund	888,590	487,522	883,684	601,328	384,151	384,151							3,649,406	
Total Operating Transfers	1,877,844	487,522	1,180,512	601,328	2,871,298	3,267,282	-	-	-	-	-	-	10,086,186	
Total Disbursements and Transfers	470,077,169	463,807,731	106,680,082	761,744,017	422,523,024	654,262,646	-	-	-	-	-	-	2,879,094,649	
CLOSING CASH BALANCE	\$ 95,764,658	\$ 80,082,746	\$ 480,512,199	\$ 186,132,186	\$ 217,721,195	\$ 104,334,501	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 104,334,501	

## APPENDIX B

STATE OF NEW YORK  
HCRA RESOURCES FUND  
STATEMENT OF PROGRAM DISBURSEMENTS  
FISCAL YEAR 2020-21

Program/Purpose	Appropriation Amount (*)	September	6 Months Ended September 30, 2020 (**)
<b>CENTER FOR COMMUNITY HEALTH PROGRAM</b>	<b>\$ 8,752,000.00</b>	<b>\$ 243,328.96</b>	<b>\$ 1,317,485.20</b>
CENTER FOR COMMUNITY HLTH	8,752,000.00	243,328.96	1,317,485.20
<b>CHILD HEALTH INSURANCE PROGRAM</b>	<b>2,134,768,000.00</b>	<b>75,603,379.29</b>	<b>242,248,774.99</b>
CHILD HEALTH INSURANCE	2,134,768,000.00	75,603,379.29	242,248,774.99
<b>COMMUNITY SUPPORT PROGRAM</b>	<b>120,000.00</b>	<b>-</b>	<b>-</b>
COMMUNITY SUPPORT	120,000.00	-	-
<b>ELDERLY PHARMACEUTICAL INS COVERAGE PRG</b>	<b>384,850,000.00</b>	<b>15,484,030.13</b>	<b>47,198,684.55</b>
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE	384,850,000.00	15,484,030.13	47,198,684.55
<b>HEALTH CARE REFORM ACT PROGRAM</b>	<b>1,490,325,059.03</b>	<b>103,364,208.65</b>	<b>124,435,807.58</b>
AIDS DRUG ASSISTANCE	123,150,000.00	-	-
AMBULATORY CARE TRAINING	3,600,000.00	33,374.75	448,825.77
AREA HEALTH EDUCATION CENTER	3,324,000.00	142,833.85	583,185.44
COMMISSIONER EMERGENCY DISTRIBUTIONS	24,700,000.00	-	(0.01)
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE	163,200,000.00	-	-
DIVERSITY IN MEDICINE	4,732,000.00	-	-
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)	10,335,000.00	-	-
HCRA PAYOR / PROVIDER AUDITS	9,440,000.00	-	411,213.15
HEALTH FACILITY RESTRUCTURING DASNY	39,200,000.00	-	-
HEALTH WORKFORCE RETRAINING	18,320,000.00	559,437.02	2,497,978.80
INFERTILITY SERVICES GRANTS	5,733,000.00	41,617.99	91,169.72
MEDICAL INDEMNITY FUND	52,000,000.00	-	-
PART 405.4 HOSPITAL AUDITS NYCR	2,200,000.00	-	-
PHYSICIAN EXCESS MEDICAL MALPRACTICE	359,900,000.00	102,100,000.00	102,100,000.00
PHYSICIAN LOAN REPAYMENT	27,195,000.00	167,000.00	1,104,388.01
PHYSICIAN WORKFORCE STUDIES	974,000.00	124,000.00	124,000.00
POISON CONTROL CENTERS	6,320,000.00	-	-
POOL ADMINISTRATION	5,300,000.00	-	316,061.47
ROSWELL PARK CANCER INSTITUTE	89,266,000.00	-	15,185,200.00
RURAL HEALTH CARE ACCESS	50,000.00	-	-
RURAL HEALTH CARE ACCESS & NETWORK DEVELOPMENT	17,050,000.00	-	202,963.67
RURAL HEALTH CARE GRANTS	9,410,000.00	173,625.77	173,625.77
RURAL HEALTH NETWORK	1,100,000.00	-	-
SCHOOL BASED HEALTH CENTERS	11,610,000.00	22,319.27	1,197,225.79
SCHOOL BASED HEALTH CLINICS-POOL ADMIN	4,230,000.00	-	-
TRANSITION ACCT - PRIOR YEAR ALLOCATION	8,460,000.00	-	-
<b>MEDICAL ASSISTANCE PROGRAM</b>	<b>28,631,301,000.00</b>	<b>452,703,490.61</b>	<b>2,439,222,055.74</b>
HOME HEALTH RATE INCREASE	300,000,000.00	-	-
MEDICAID INDIGENT CARE	4,999,000,000.00	152,703,490.61	414,222,055.74
MEDICAL ASSISTANCE	22,349,101,000.00	300,000,000.00	2,025,000,000.00
PSNL CRE WRKR RECR & RETEN NYC (***)	916,000,000.00	-	-
PSNL CRE WRKR RECR & RETEN ROS (****)	67,200,000.00	-	-
<b>NEW YORK STATE OF HEALTH</b>	<b>102,431,000.00</b>	<b>3,090,131.14</b>	<b>12,931,420.30</b>
NEW YORK STATE OF HEALTH ADMINISTRATION	102,431,000.00	3,090,131.14	12,931,420.30
<b>OFFICE OF HEALTH INSURANCE PROGRAM</b>	<b>1,834,000.00</b>	<b>-</b>	<b>-</b>
OFFICE OF HEALTH INSURANCE	1,834,000.00	-	-
<b>OFFICE OF HEALTH SYSTEMS MANAGEMENT</b>	<b>68,317,000.00</b>	<b>901,212.32</b>	<b>3,642,392.29</b>
OFFICE HEALTH SYSTEMS MANAGEMENT	68,317,000.00	901,212.32	3,642,392.29
<b>OFFICE OF LONG TERM CARE</b>	<b>2,477,800.00</b>	<b>-</b>	<b>-</b>
ADULT HOME INITIATIVE	2,477,800.00	-	-
<b>REVENUE, PROCESSING &amp; RECONCILIATION</b>	<b>8,190,000.00</b>	<b>-</b>	<b>1,661,966.82</b>
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	-	1,661,966.82
<b>TOTAL</b>	<b>32,833,365,859.03</b>	<b>651,369,781.10</b>	<b>2,872,658,587.47</b>
Reclass of SUNY Hospital Disprop Share to Transfer	-	(394,150.88)	(3,649,406.22)
Reclass of SUNY Hospital Poison Control Centers to Transfer	-	-	-
Reclass of SUNY Empire Clinical Research Investigator Program to Transfer	-	-	-
Reconciling Adjustment (P-Card and T-Card)	-	(265.87)	(717.87)
<b>TOTAL REPORTED AMOUNT</b>	<b>\$ 32,833,365,859.03</b>	<b>\$ 650,995,364.35</b>	<b>\$ 2,869,008,463.38</b>

(\*) Includes amounts appropriated in SFY 2020-21, as well as prior year appropriations that were reappropriated

(\*\*) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.

(\*\*\*). Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.

(\*\*\*\*). Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

## APPENDIX C

STATE OF NEW YORK  
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL  
FISCAL YEAR 2020-21

	1st Quarter APRIL - JUNE	2020 JULY	2020 AUGUST	2020 SEPTEMBER	2020-21
<b>OPENING CASH BALANCE</b>	<b>\$ 350,947,309.06</b>	<b>\$ 126,897,506.61</b>	<b>\$ 242,749,583.26</b>	<b>\$ 172,960,526.49</b>	<b>\$ 350,947,309.06</b>
<b>RECEIPTS:</b>					
Patient Services	722,415,689.44	321,259,755.18	227,531,330.50	426,583,813.50	1,697,790,588.62
Covered Lives	224,564,997.99	108,532,515.12	63,977,634.43	122,402,934.48	519,478,082.02
Provider Assessments	19,621,242.87	8,041,524.61	4,581,813.36	9,388,559.83	41,643,140.67
1% Assessments	103,739,180.00	36,128,456.00	34,034,479.00	37,117,129.00	211,019,244.00
DASNY- MOE/Recast receivables	-	-	-	-	-
Interest Income	13,893.95	5,991.85	4,529.35	5,624.43	30,039.58
Unassigned	(1,563,049.32)	13,497,032.37	(14,915,365.41)	439,446.19	(2,541,936.17)
<b>Total Receipts</b>	<b>1,068,791,954.93</b>	<b>487,465,275.13</b>	<b>315,214,421.23</b>	<b>595,947,507.43</b>	<b>2,467,419,158.72</b>
<b>PROGRAM DISBURSEMENTS:</b>					
Poison Control Centers	-	-	-	-	-
School Based Health Center Grants	-	-	-	-	-
ECRIP Distributions	-	-	-	-	-
<b>Total Program Disbursements</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>1,068,791,954.93</b>	<b>487,465,275.13</b>	<b>315,214,421.23</b>	<b>595,947,507.43</b>	<b>2,467,419,158.72</b>
<b>OTHER FINANCING SOURCES (USES):</b>					
<b>Transfers From Other Pools:</b>					
Medicaid Disproportionate Share	-	-	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	13,334,232.00	4,464,850.00	4,687,523.00	4,049,587.00	26,536,192.00
<b>Transfers From State Funds:</b>					
HCRA Resources Fund	-	-	-	-	-
<b>Total Other Financing Sources</b>	<b>13,334,232.00</b>	<b>4,464,850.00</b>	<b>4,687,523.00</b>	<b>4,049,587.00</b>	<b>26,536,192.00</b>
<b>Transfers To Other Pools:</b>					
Medicaid Disproportionate Share	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
<b>Transfers To State Funds:</b>					
HCRA Resources Fund	(1,306,175,989.38)	(376,078,048.48)	(389,691,001.00)	(454,153,529.16)	(2,526,098,568.02)
Indigent Care Fund - Matched	-	-	-	-	-
Indigent Care Fund - Unmatched	-	-	-	-	-
<b>Total Other Financing Uses</b>	<b>(1,306,175,989.38)</b>	<b>(376,078,048.48)</b>	<b>(389,691,001.00)</b>	<b>(454,153,529.16)</b>	<b>(2,526,098,568.02)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(224,049,802.45)</b>	<b>115,852,076.65</b>	<b>(69,789,056.77)</b>	<b>145,843,565.27</b>	<b>(32,143,217.30)</b>
<b>CLOSING CASH BALANCE</b>	<b>\$ 126,897,506.61</b>	<b>\$ 242,749,583.26</b>	<b>\$ 172,960,526.49</b>	<b>\$ 318,804,091.76</b>	<b>\$ 318,804,091.76</b>

Source: HCRA - Office of Pool Administration

## APPENDIX D

STATE OF NEW YORK  
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE  
FISCAL YEAR 2020-21

	1st Quarter APRIL - JUNE	2020 JULY	2020 AUGUST	2020 SEPTEMBER	2020-21
OPENING CASH BALANCE	\$ 668.63	\$ 169.31	\$ -	\$ 262.24	\$ 668.63
RECEIPTS:					
Interest Income	422.98	-	262.24	1,198.54	1,883.76
Total Receipts	422.98	-	262.24	1,198.54	1,883.76
PROGRAM DISBURSEMENTS:					
Indigent Care	(188,629,665.12)	-	(98,098,027.59)	(52,701,751.37)	(339,429,444.08)
High Need Indigent Care	-	-	-	-	-
Other	506,867.55	-	239,151.68	(90,939,190.28)	(90,193,171.05)
Total Program Disbursements	(188,122,797.57)	-	(97,858,875.91)	(143,640,941.65)	(429,622,615.13)
Excess (Deficiency) of Receipts over Disbursements	(188,122,374.59)	-	(97,858,613.67)	(143,639,743.11)	(429,620,731.37)
OTHER FINANCING SOURCES (USES):					
Transfers From Other Pools:					
Public Goods Pool	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
Transfers From State Funds:					
HCRA Resources Indigent Care - Matched	94,314,832.56	-	49,049,013.80	64,901,102.37	208,264,948.73
HCRA Resources Indigent Care - Unmatched	(506,129.55)	-	(239,151.68)	16,767,388.24	16,022,107.01
HCRA Resources Indigent Care - ATB	-	-	-	-	-
Federal DHHS Fund	94,314,832.56	-	49,049,013.79	64,901,102.36	208,264,948.71
Other	-	-	-	-	-
Total Other Financing Sources	188,123,535.57	-	97,858,875.91	146,569,592.97	432,552,004.45
Transfers To Other Pools:					
Public Goods Pool	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
Transfers To State Funds:					
HCRA Resources Fund Indigent Care Acct	(1,660.30)	(169.31)	-	(2,596,984.65)	(2,598,814.26)
Total Other Financing Uses	(1,660.30)	(169.31)	-	(2,596,984.65)	(2,598,814.26)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(499.32)	(169.31)	262.24	332,865.21	332,458.82
CLOSING CASH BALANCE	\$ 169.31	\$ -	\$ 262.24	\$ 333,127.45	\$ 333,127.45

Source: HCRA - Office of Pool Administration



## APPENDIX E

STATE OF NEW YORK  
SUMMARY OF OFF-BUDGET SPENDING REPORT  
FISCAL YEAR 2020-2021  
(amounts in thousands)

	2020 APRIL	2020 MAY	2020 JUNE	2020 JULY	2020 AUGUST	2020 SEPTEMBER	2020 OCTOBER	2020 NOVEMBER	2020 DECEMBER	2021 JANUARY	2021 FEBRUARY	2021 MARCH	2020-2021 TOTAL
<b>DORMITORY AUTHORITY:</b>													
Education - All Other	\$ -	\$ -	\$ -	\$ -	\$ 15	\$ -	-	-	-	-	-	-	\$ 15
Education - EXCEL	427	2,157	1,567	17	-	649	-	-	-	-	-	-	4,817
Department of Health - All Other	(1)	-	59	-	-	-	-	-	-	-	-	-	58
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
Regional Development													
Community Capital Assistance Program (CCAP)/RESTORE	525	-	454	444	323	148	-	-	-	-	-	-	1,894
Multi-modal	-	-	24	-	-	20	-	-	-	-	-	-	44
GenYsis	-	-	-	-	-	-	-	-	-	-	-	-	-
CUNY Senior Colleges	24,128	11,443	24,631	18,581	19,061	18,031	-	-	-	-	-	-	115,875
CUNY Community Colleges	4,766	1,358	5,403	2,217	1,052	2,094	-	-	-	-	-	-	16,890
Brooklyn Court Officer Training Academy	26	-	-	1,153	-	-	-	-	-	-	-	-	1,179
<b>TOTAL DORMITORY AUTHORITY</b>	<b>29,871</b>	<b>14,958</b>	<b>32,138</b>	<b>22,412</b>	<b>20,451</b>	<b>20,942</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>140,772</b>
<b>EMPIRE STATE DEVELOPMENT CORP:</b>													
Regional Development													
Centers of Excellence	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Capital Assistance Program (CCAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
Empire Opportunity	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>TOTAL EMPIRE STATE DEVELOPMENT CORP</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>TOTAL OFF-BUDGET</b>	<b>\$ 29,871</b>	<b>\$ 14,958</b>	<b>\$ 32,138</b>	<b>\$ 22,412</b>	<b>\$ 20,451</b>	<b>\$ 20,942</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 140,772</b>

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of Off Budget Spending. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006, this schedule is provided for information only.

APPENDIX F

STATE OF NEW YORK  
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(\*)

SFS Fund	ACCOUNT TITLE	June 30, 2020	July 31, 2020	August 31, 2020	Change	September 30, 2020
	GENERAL FUND					
10050	STATE OPERATIONS AND LOCAL ASSISTANCE	\$ -	\$ -	\$ -	\$ -	\$ -
	TOTAL GENERAL FUND					(***)
	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS					
30051	HIGHWAY AND BRIDGE CAPITAL	180,658,281.67	137,006,631.01	184,652,658.88	178,200,584.25	362,853,243.13
30053	AVIATION PURPOSE ACCOUNT	-	-	-	-	-
30101	REHAB/REPAIR MARITIME	-	-	-	-	-
30102	D21RVE- MARITIME	-	-	-	-	-
30103	D36RVE- CENTRAL ADMIN	-	-	-	-	-
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	-	-	-	-	-
30105	REHAB/REPAIR ALBANY	-	-	-	-	-
30106	D01RVE- ALBANY	-	-	-	-	-
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-
30108	D07RVE- BINGHAMTON	-	-	-	-	-
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-
30110	D28RVE- SUNY BUFFALO	-	-	-	-	-
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-
30112	D13RVE- STONYBROOK	-	-	-	-	-
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-
30114	D14RVE- HSC BROOKLYN	-	-	-	-	-
30115	REHAB/REPAIR SYRACUSE	-	-	-	-	-
30116	D15RVE- HSC SYRACUSE	-	-	-	-	-
30117	REHAB/REPAIR BROCKPORT	-	-	-	-	-
30118	D02RVE- BROCKPORT	-	-	-	-	-
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-
30120	D03RVE- SUB BUFFALO	-	-	-	-	-
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-
30122	D04RVE- CORTLAND	-	-	-	-	-
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-
30124	D05RVE- FREDONIA	-	-	-	-	-
30125	REHAB/REPAIR GENESEO	-	-	-	-	-
30126	D06RVE- GENESEO	-	-	-	-	-
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-
30128	D31RVE- OLD WESTBURY	-	-	-	-	-
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-
30130	D08RVE- NEW PALTZ	-	-	-	-	-
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-
30132	D09RVE- ONEONTA	-	-	-	-	-
30133	REHAB/REPAIR OSWEGO	-	-	-	-	-
30134	D10RVE- OSWEGO	-	-	-	-	-
30135	REHAB/REPAIR PLATTSBURGH	-	-	-	-	-
30136	D11RVE- PLATTSBURGH	-	-	-	-	-
30137	REHAB/REPAIR POTSDAM	-	-	-	-	-
30138	D12RVE- POTSDAM	-	-	-	-	-
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-
30140	D23RVE- PURCHASE	-	-	-	-	-
30141	REHAB/REPAIR FOR UTICA/ROME	-	-	-	-	-
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-
30143	REHAB/REPAIR ALFRED	-	-	-	-	-
30144	D22RVE- ALFRED	-	-	-	-	-
30145	REHAB/REPAIR CANTON	-	-	-	-	-
30146	D23RVE- CANTON	-	-	-	-	-
30147	REHAB/REPAIR COBLESKILL	-	-	-	-	-
30148	D24RVE- COBLESKILL	-	-	-	-	-
30149	REHAB/REPAIR DELHI	-	-	-	-	-
30150	D25RVE- DELHI	-	-	-	-	-
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-
30152	D26RVE- FARMINGDALE	-	-	-	-	-
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-
30154	D27RVE- MORRISVILLE	-	-	-	-	-
30351	STATE PARK INFRASTRUCTURE	89,467,068.18	64,137,330.12	64,402,407.96	6,047,489.46	70,449,897.42
30501	CWICA IMPLEMENTATION DEC	-	-	-	-	-
30502	CWICA IMPLEMENTATION STATE	-	-	-	-	-
30503	CWICA IMPLEMENTATION ERDA	-	-	-	-	-
30504	CWICA IMPLEMENTATION EFC	-	-	-	-	-
31506	HAZARDOUS WASTE CLEAN UP	121,445,518.22	92,674,807.49	98,228,303.32	5,248,357.77	103,476,661.09
31701	YOUTH FACILITIES IMPROVEMENT	15,626,002.01	13,168,047.62	14,936,253.28	822,335.69	15,758,588.97
31801	HOUSING PROG FD-HSG TR FD CORP	12,941,967.06	12,941,967.06	12,941,967.06	-	12,941,967.06
31851	HOUSING PROG FD AFFORD HSG CORP	106,086,805.04	135,008,846.41	182,373,910.06	103,091,532.76	285,465,442.82
31852	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	41,964,789.81	37,995,676.38	39,958,056.81	2,115,965.00	42,074,022.81
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	96,072,095.02	88,489,684.37	92,239,684.37	4,800,000.00	97,039,684.37
31854	HOUSING PROG FD-HFA	-	-	-	-	-
31951	HIGHWAY FAC PURPOSE	11,969,463.99	11,969,463.99	11,969,463.99	-	11,969,463.99

APPENDIX F

STATE OF NEW YORK  
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(\*)

SFS Fund	ACCOUNT TITLE	June 30, 2020	July 31, 2020	August 31, 2020	September 30, 2020
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	153,750.00
32214	CAPITAL PROJECT MISC GIFTS	-	-	-	-
32215	IT CAPITAL FINANCING ACCT	-	-	-	-
32219	NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION	1,619,301.49	1,915,529.83	2,682,076.92	2,883,666.80
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-
32302	DSAS-COMMUNITY FACILITIES	-	-	-	-
32303	OMH-COMMUNITY FACILITIES	82,010,826.38	82,591,601.44	70,136,299.13	69,237,204.51
32304	OPWDD-COMMUNITY FACILITIES	-	-	-	-
32305	OASAS-COMMUNITY FACILITIES	176,272,809.92	176,272,809.92	180,739,076.13	182,240,076.13
32306	DASHNY - OMH ADMIN	-	-	-	-
32307	DASHNY - OPWDD ADMIN	7,828,273.39	7,828,273.39	7,828,273.39	7,828,273.39
32308	DASHNY - OASAS ADMIN	1,732,406.20	1,732,406.20	1,732,406.20	1,732,406.20
32309	OMH - STATE FACILITIES	88,324,488.18	97,577,181.06	105,079,828.74	116,382,293.79
32310	OPWDD - STATE FACILITIES	17,831,809.86	17,831,809.86	19,107,109.86	18,171,024.28
32311	OASAS - STATE FACILITIES	1,983,616.94	1,983,616.94	2,404,788.40	2,105,820.18
32351	CORR - FACILITIES CAPITAL IMPROVEMENT	-	-	-	-
32352	DOCS-REHABILITATION PROJECTS	227,694,118.63	159,272,923.68	185,043,996.73	212,506,047.90
32353	CORR - FACILITIES CAPITAL CLOSURE	-	-	-	0.55
33001	STORM RECOVERY ACCOUNT	53,515,590.30	53,737,776.12	54,159,323.63	54,411,469.09
	<b>TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS</b>	<b>1,315,198,982.29</b>	<b>1,184,294,132.89</b>	<b>1,330,769,614.86</b>	<b>1,670,263,036.02</b>
<b>STATE SPECIAL REVENUE FUNDS</b>					
20401	DOL-CHILD PERFORMER PROTECTION ACCOUNT	-	-	-	-
20402	VOCATIONAL SCHOOL SUPERVISION	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	101,456,029.18	54,766,440.58	84,283,757.87	89,887,137.16
20810	CHILD HEALTH INSURANCE	7,324,399.12	-	-	277,647.70
20901	EPIC PREMIUM ACCOUNT	-	-	-	1,562,212,831.94
20904	LOTTERY-EDUCATION	-	-	-	79,973,663.25
21001	VLT EDUCATION	-	-	-	-
21001	ENVIR FAC CORP ADM ACCT	-	-	-	-
21002	ENCON ADMIN ACCT	3,542,178.37	3,605,844.31	3,679,618.69	3,747,659.65
21061	HAZARDOUS BULK STORAGE	-	-	-	-
21064	UTILITY ENVIRONMENTAL REGULATORY ACCOUNT	1,672,089.99	1,672,089.99	1,672,089.99	1,672,089.99
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	1,878,368.17	2,544,578.01	3,211,820.14	4,193,299.47
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	3,941,524.69	4,099,364.93	4,256,939.81	3,778,420.46
21067	ENCON-RECREATION	-	-	-	-
21077	PUBLIC SAFETY RECOVERY ACCOUNT	-	-	-	-
21081	ENVIRONMENTAL REGULATORY	64,768,454.17	65,652,808.84	65,386,949.81	66,000,252.83
21082	NATURAL RESOURCES ACCOUNT	14,485,513.32	14,397,873.37	14,551,154.81	14,524,726.57
21084	MINED LAND RECLAMATION ACCT	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-
21301	AUDIT AND CONTROL OIL SPILL	-	-	-	-
21302	HEALTH DEPT OIL SPILL	-	-	-	17,340.72
21303	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	-	-	-	3,555.33
21305	OIL SPILL COMPENSATION	-	95.03	-	451,031.84
21205	LICENSE FEE SURCHARGES	26,427.14	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	-	-	-	-
21402	METROPOLITAN MASS TRANSPORTATION	-	-	-	-
21451	OPERATING PERMIT PROGRAM	32,937,755.62	33,477,760.79	34,006,513.90	33,983,508.33
21452	MOBILE SOURCE	3,315,812.80	2,330,414.87	946,982.11	221,275.54
21902	HEALTH-SPARC'S	-	-	-	-
21905	THRUWAY AUTHORITY ACCT	16,450,079.53	7,003,393.56	6,067,878.28	11,541,462.39
21907	MENTAL HYGIENE PROGRAM	-	-	-	-
21909	FINANCIAL CONTROL BOARD	706,936.73	202,852.50	368,167.98	608,859.59
21911	RACING REGULATION ACCOUNT	2,832,223.50	2,028,062.32	1,885,102.73	1,992,255.27
21937	SU DORM INCOME REIMBURSE	320,127.42	196,688.87	90,178.97	5,713,145.53
21945	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	-
21959	ENV LAB REF FEE	-	-	-	-
21961	TRAINING, MANAGEMENT AND EVALUATION ACCOUNT	590,377.37	647,700.58	659,525.08	685,911.18
21962	CLINICAL LAB FEE	11,729,246.15	11,661,405.33	11,883,640.37	14,016,024.78
21978	INDIRECT COST RECOVERY	-	-	-	-
21979	HIGH SCHOOL EQUIVALENCY PROGRAM	-	-	-	-
21989	MULTI - AGENCY TRAINING ACCOUNT	-	-	-	-
22003	BELL JAR COLLECTION ACCOUNT	-	-	-	-
22004	INDUSTRY AND UTILITY SERVICE	-	-	-	-
22006	REAL PROPERTY DISPOSITION	-	-	-	-
22007	PARKING ACCOUNT	-	-	-	-
22008	COURTS SPECIAL GRANTS	-	-	-	-
22009	ASBESTOS SAFETY TRAINING	32,921.28	40,556.87	43,090.63	47,455.78
22017	CAMP SMITH BILLETING ACCOUNT	-	-	-	-
22032	BATAVIA SCHOOL FOR THE BLIND	9,221,734.60	9,243,397.88	10,053,270.59	7,895,881.47
22034	INVESTMENT SERVICES	-	-	-	-
22036	SURPLUS PROPERTY ACCOUNT	-	-	-	-

APPENDIX F

STATE OF NEW YORK  
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(\*)

SFS Fund	ACCOUNT TITLE	June 30, 2020	July 31, 2020	August 31, 2020	Change	September 30, 2020
22039	FINANCIAL OVERSIGHT	941,372.35	268,954.28	555,728.17	372,536.46	928,264.63
22046	REGULATION INDIAN GAMING	93,769,436.68	94,851,180.94	92,899,966.99	907,591.72	93,807,558.71
22054	ROME SCHOOL FOR THE DEAF	3,330,301.50	3,830,663.92	4,557,350.11	(1,250,319.37)	3,307,030.74
22054	DSP-SEIZED ASSETS	1,272,138.16	1,227,999.63	1,018,972.60	(67,025.75)	951,946.85
22055	ADMINISTRATIVE ADJUDICATION	23,277,148.49	20,677,781.17	23,606,581.03	3,890,080.49	27,496,671.52
22056	FEDERAL SALARY SHARING	422,168.37	639,956.47	854,157.69	150,322.14	1,004,479.83
22062	NYC ASSESSMENT ACCT	-	-	-	-	-
22063	CULTURAL EDUCATION ACCOUNT	6,772,749.59	8,155,159.90	6,506,966.31	(552,480.09)	5,954,486.22
22078	LOCAL SERVICE ACCOUNT	15,982,860.55	16,125,064.82	16,430,442.22	214,050.09	16,644,492.31
22085	DHCR MORTGAGE SERVICES	-	-	-	-	-
22090	HOUSING INDIRECT COST RECOVERY	-	-	-	-	-
22100	DHCR HOUSING CREDIT AGENCY APPLY FEE	8,646,721.74	9,073,223.01	9,852,893.52	106,730.56	9,759,624.08
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-
22144	MONTROSE VETERAN'S HOME	-	-	-	-	-
22151	DEFERRED COMPENSATION ADMIN	133,843.96	186,214.42	238,166.66	(114,015.33)	124,151.33
22156	RENT REVENUE OTHER - NYC	-	-	-	591,253.80	591,253.80
22158	RENT REVENUE	-	-	-	-	-
22168	TAX REVENUE ARREARAGE ACCOUNT	-	-	-	-	-
22240	NY'S MEDICAL INDEMNITY FUND ACCOUNT	893,808.12	984,850.06	1,083,976.25	101,114.48	1,185,090.73
22854	S.U. NON-RESIDENT REV. OFFSET	20,657,057.09	20,659,528.81	20,662,390.36	2,518.81	20,664,809.17
22751	LAKE GEORGE PARK TRUST FUND	144,864.67	247,138.52	-	-	-
22802	STATE POLICE MV ENFORCE	-	-	-	-	-
23001	DOT - HIGHWAY SAFETY PRGM	15,199,258.53	15,366,088.88	15,631,992.02	263,644.25	15,895,636.27
23102	DOH DRINKING WATER PROGRAM	5,350,949.70	5,350,949.70	5,350,949.70	-	5,350,949.70
23151	NYCCC OPERATING OFFSET	35,975,980.05	38,503,815.68	40,779,914.98	3,093,001.02	43,872,916.00
23701	COMMERCIAL GAMING REVENUE	-	-	-	-	-
23702	COMMERCIAL GAMING REGULATION	19,120,288.63	19,423,617.53	19,727,468.18	462,360.27	20,189,828.45
23801	HIGHWAY USE TAX ADMIN	-	-	-	-	-
23806	NY'S SECURE CHOICE ADMIN	-	-	-	-	-
24951	FANTASY SPORTS ADMINISTRATION	34,948.58	34,948.58	34,948.58	-	50,169.33
	<b>TOTAL STATE SPECIAL REVENUE FUNDS</b>	<b>532,503,595.50</b>	<b>468,178,936.75</b>	<b>502,639,457.13</b>	<b>1,648,388,349.31</b>	<b>2,151,227,806.44</b>
25000-25099	<b>FEDERAL FUNDS</b>					
25100-25199	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	53,113,377.13	4,861,559.56	118,710,736.93	(114,258,987.45)	4,451,739.48
25200-25249	FEDERAL HEALTH AND HUMAN SERVICES FUND	981,080,416.03	120,502,674.30	185,715,291.63	2,562,625,533.18	2,748,340,824.81
25250-25299	FEDERAL EDUCATION GRANTS FUND	31,456,748.85	29,827,487.57	101,592,275.68	(82,623,790.67)	18,968,485.01
25300-25399	FEDERAL DHHS BLOCK GRANTS	-	-	-	-	-
25400-25499	FEDERAL OPERATING GRANTS FUND	471,256,489.93	682,171,490.90	467,988,759.37	15,322,701.65	483,311,461.02
31351	MILITARY AND NAVAL AFFAIRS	8,753,932.66	8,753,932.66	8,753,932.66	-	8,753,932.66
31354	DEPARTMENT OF TRANSPORTATION	487,360,142.92	447,377,872.95	418,981,740.11	22,910,976.86	441,892,716.97
31550-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	112,399,587.02	115,023,670.81	117,669,446.07	14,822,651.30	132,492,097.37
25900-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	25,711,197.65	33,519,545.80	31,789,946.69	28,165,058.57	59,955,005.26
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	498,610.50	416,593.50	450,110.00	67,488.00	517,598.00
26001-26049	DOJ EMPLOYMENT AND TRAINING GRANTS	5,213,849.27	12,725,671.70	234,476.17	691,559.01	928,035.18
	<b>TOTAL FEDERAL FUNDS</b>	<b>2,176,844,331.96</b>	<b>1,455,180,489.75</b>	<b>1,451,886,715.31</b>	<b>2,447,723,180.45</b>	<b>3,899,609,895.76</b>
60201	<b>AGENCY FUNDS</b>	-	-	-	-	-
60901	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-
	MMIS - STATE AND FEDERAL	-	-	-	-	-
	<b>TOTAL AGENCY FUNDS</b>	-	-	-	-	-
50318	<b>ENTERPRISE FUND</b>	-	-	-	-	-
50327	OGS CONVENTION CENTER ACCOUNT	498,925.57	586,266.50	648,917.48	64,302.84	713,220.32
	EMPIRE PLAZA GIFT SHOP	216,211.92	228,262.18	240,989.79	13,239.99	254,229.78
	<b>TOTAL ENTERPRISE FUND</b>	<b>715,137.49</b>	<b>814,528.68</b>	<b>889,907.27</b>	<b>77,542.83</b>	<b>967,450.10</b>
55001	<b>INTERNAL SERVICE FUNDS</b>	-	-	-	-	-
55002	CENTRALIZED SERVICES-FLEET MGMT	-	-	-	-	-
55003	CENTRALIZED SERVICES-DATA PROCESSING	-	-	-	-	-
55004	CENTRALIZED SERVICES-PRINTING	1,496,350.49	1,446,232.57	1,442,837.96	(48,340.24)	1,394,497.72
55005	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	-	-	-	-	-
55006	CENTRALIZED SERVICES-DONATED FOODS	-	-	-	-	-
55007	CENTRALIZED SERVICES-PERSONAL PROPERTY	-	-	-	-	-
55008	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	2,933,431.43	2,891,489.38	2,314,098.70	54,590.02	2,368,688.72
55009	CENTRALIZED SERVICES-PASNY	12,565,092.43	13,357,977.47	15,387,079.38	778,470.38	16,165,549.76
55010	CENTRALIZED SERVICES-ADMIN SUPPORT	16,414,005.80	16,784,151.78	15,127,023.47	528,331.80	15,655,355.27
55011	CENTRALIZED SERVICES-DESIGN AND CONSTR	2,460,273.27	4,577,187.96	7,590,357.34	(139,860.70)	7,450,496.64
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	221,823.30	207,459.30	188,661.30	(8,226.00)	180,435.30
55013	CENTRALIZED SERVICES-COPS	-	-	-	-	-
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-
55015	CENTRALIZED SERVICES-HOMER FOLKS	-	-	-	-	-

## APPENDIX F

**STATE OF NEW YORK  
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(\*)**

SFS Fund	ACCOUNT TITLE	June 30, 2020	July 31, 2020	August 31, 2020	Change	September 30, 2020
55016	CENTRALIZED SERVICES-IMMICS	1,772,738.94	1,514,610.99	1,521,357.13	66,993.37	1,588,350.50
55017	DOWNSTATE WAREHOUSE	711,223.47	662,969.71	521,118.50	(18,843.91)	502,274.59
55018	BUILDING ADMINISTRATION	-	-	-	-	-
55019	LEASE SPACE INITIATIVE	-	-	-	-	-
55020	OGS ENTERPRISE CONTRACTING ACCT	64,888,929.15	73,597,486.69	76,569,652.79	4,712,745.85	81,282,398.64
55021	NYS MEDIA CENTER	8,528,434.62	9,055,539.70	9,439,780.85	514,149.52	9,953,930.37
55022	BUSINESS SERVICES CENTER	10,971,557.60	12,933,909.60	14,919,494.12	3,107,353.60	18,026,847.72
55052	ARCHIVES RECORD MGMT I.S.	-	73,519.17	-	-	-
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	-	-	-	-	-
55057	BANKING SERVICES ACCOUNT	123,821.85	18,460.34	-	475,146.08	475,146.08
55058	CULTURAL RESOURCE SURVEY	1,451,777.47	1,674,062.39	2,087,246.37	346,029.22	2,433,275.59
55059	NEIGHBOR WORK PROJECT	11,272,972.62	11,275,561.47	11,251,663.72	86,978.26	11,338,641.98
55060	AUTOMATIC/PRINT CHARGBACKS	4,475,588.10	5,319,415.94	7,185,342.64	(2,282,248.59)	4,903,094.05
55061	OFT NYT ACCT	1,445,258.44	1,445,258.44	1,445,258.44	(198,278.10)	1,246,980.34
55062	DATA CENTER ACCOUNT	44,909,722.53	43,326,143.01	49,321,855.09	-	49,321,855.09
55066	CYBER SECURITY INTRUSION ACCT	1,261,584.27	1,261,584.27	1,261,584.27	-	1,261,584.27
55067	DOMESTIC VIOLENCE GRANT	145,481.62	170,986.11	166,342.91	15,798.42	182,141.33
55069	CENTRALIZED TECHNOLOGY SERVICES	78,081,800.66	74,973,272.59	69,365,379.43	(2,983,617.47)	66,381,761.96
55071	LABOR CONTACT CENTER ACCT	3,486,640.87	3,663,586.73	4,169,821.77	1,020,729.56	5,190,551.33
55072	HUMAN SERVICES CONTACT CNTR ACCT	1,244,122.41	1,752,511.26	2,628,593.18	1,566,789.25	4,195,382.43
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55074	CIVIL RECOVERIES ACCT	-	75,398.42	1,167,591.84	333,099.37	1,500,691.21
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	9,548,378.43	9,778,854.76	10,004,846.93	297,105.20	10,301,952.13
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	37,389,000.24	41,456,353.95	43,315,717.18	3,597,620.12	46,913,337.30
55300	HEALTH INSURANCE INTERNAL SERVICE	2,026,206.73	3,145,790.06	4,178,409.64	1,474,616.05	5,653,025.69
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	4,409,764.34	4,504,814.98	4,599,865.62	123,817.25	4,723,682.87
55350	CORR INDUSTRIES INTERNAL SERVICE	35,032,506.26	40,232,673.85	41,443,662.37	2,639,735.78	44,083,398.15
	<b>TOTAL INTERNAL SERVICE FUNDS</b>	<b>359,088,487.34</b>	<b>381,177,262.89</b>	<b>398,614,642.94</b>	<b>16,060,684.09</b>	<b>414,675,327.03</b>
	<b>GRAND TOTAL - TEMPORARY LOANS OUTSTANDING</b>	<b>\$ 4,384,350,534.58</b>	<b>\$ 3,500,645,350.96</b>	<b>\$ 3,684,800,337.51</b>	<b>\$ 4,451,943,177.84</b>	<b>\$ 8,136,743,515.35</b>

(\*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 56, Part JJ, Section 1, of the Laws of 2020-21.

The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance.

Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments.

Please refer to Schedule 1 for a detailed analysis of the 'reported' cash balances of the fund group.

(\*\*) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(\*\*\*) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

STATE OF NEW YORK  
DEDICATED INFRASTRUCTURE INVESTMENT FUND(\*)  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2020-2021

APPENDIX G

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30, 2020
<b>OPENING CASH BALANCE</b>	\$ 86,513,214	\$ 49,126,483	\$ 42,682,065	\$ 7,636,110	\$ 165,822,096	\$ 101,117,004							\$ 86,513,214
<b>RECEIPTS:</b>													
Transfers from General Fund (**)	-	-	-	204,000,000	-	-	-	-	-	-	-	-	204,000,000
Other	-	-	-	204,000,000	-	-	-	-	-	-	-	-	204,000,000
<b>Total Receipts</b>	-	-	-	204,000,000	-	-	-	-	-	-	-	-	204,000,000
<b>DISBURSEMENTS:</b>													
Affordable and Homeless Housing	-	-	9,481	533,024	-	415,671	-	-	-	-	-	-	988,176
Historic Preservation	1,736,865	1,420,080	-	6,886,021	-	2,754,521	-	-	-	-	-	-	10,797,487
Downtown Revitalization	-	-	-	-	-	260,000	-	-	-	-	-	-	260,000
Empire State Poverty Reduction Initiatives	2,457,343	88,175	-	1,071,138	565,275	2,517,997	-	-	-	-	-	-	6,659,928
Health Care / Hospital Initiatives	2,596,638	3,634,367	1,781,021	298,010	2,275,903	843,795	-	-	-	-	-	-	11,219,734
Information Technology/Infrastructure for Behavioral Sciences	-	-	5,540,794	-	-	-	-	-	-	-	-	-	-
Infrastructure Improvements	-	-	-	1,292,017	2,270,953	144,374	-	-	-	-	-	-	9,247,539
Life Science Center Expansion	-	-	-	-	55,700,000	-	-	-	-	-	-	-	55,700,000
Long Term Care	2,500,000	1,500,000	-	-	-	-	-	-	-	-	-	-	4,000,000
Municipal Restructuring / Consolidation Competition	3,054,840	(2,778,292)	-	562,372	-	830,000	-	-	-	-	-	-	1,668,920
Penn Station Access	-	-	-	-	-	-	-	-	-	-	-	-	-
Resiliency, Mitigation, Security and Emergency Response	-	-	-	-	(6,035)	-	-	-	-	-	-	-	(6,035)
Southern Tier / Hudson Valley Farm Initiative	-	-	-	30,000	55,274	(14,282)	-	-	-	-	-	-	70,992
Thruway Stabilization Program	-	-	22,597,449	24,655,020	2,944,322	4,294,912	-	-	-	-	-	-	53,871,703
Transportation Capital Program	10,440,876	79,325	4,746,161	282,274	-	1,478,166	-	-	-	-	-	-	17,038,602
Transportation Capital Plan	-	-	-	-	-	-	-	-	-	-	-	-	-
Update Revitalization Program	14,611,179	2,520,763	361,049	10,700,538	900,000	-	-	-	-	-	-	-	29,093,529
<b>Total Disbursements</b>	37,386,731	6,464,418	35,025,955	45,814,014	64,705,092	10,597,967	-	-	-	-	-	-	199,994,177
<b>OPERATING TRANSFERS:</b>													
Transfers to General Fund	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Operating Transfers	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Total Disbursements and Transfers</b>	37,386,731	6,464,418	35,025,955	45,814,014	64,705,092	10,597,967	-	-	-	-	-	-	199,994,177
<b>CLOSING CASH BALANCE</b>	\$ 49,126,483	\$ 42,682,065	\$ 7,636,110	\$ 165,822,096	\$ 101,117,004	\$ 90,519,037	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 90,519,037

(\*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL § 93-b

(\*\*) Pursuant to Section 93(b) of the State Finance Law

## APPENDIX H

STATE OF NEW YORK  
MEDICAL ASSISTANCE DISBURSEMENTS - STATE FUNDS<sup>(\*)</sup>  
FISCAL YEAR 2020-2021

	SEPTEMBER 2020			6 MONTHS ENDED SEPTEMBER 30		
	Department of Health	Other State Agencies	September	Department of Health	Other State Agencies	Year to Date
Adult State Share Medicaid	\$ -	\$ 8,804,307.00	\$ 8,804,307.00	\$ -	\$ 41,821,523.00	\$ 41,821,523.00
State Share Medicaid	-	1,282,320.00	1,282,320.00	47,867,305.00	(4,852,558.22)	43,014,746.78
Medical Assistance (OPWDD)	-	171,294,520.00	171,294,520.00	-	171,294,520.00	171,294,520.00
Medical Assistance Administration	16,507,124.04	133,646,971.00	150,154,095.04	26,996,969.44	145,062,864.00	172,059,833.44
Population Health Improvement	234,484.20	-	234,484.20	1,208,244.99	-	1,208,244.99
Traumatic Brain Injury Services	1,691,532.89	-	1,691,532.89	5,478,425.41	-	5,478,425.41
Nursing Home Transition & Diversion	-	-	-	-	-	-
Reducing Maternal Mortality	282,224.92	-	282,224.92	623,203.42	-	623,203.42
New York Connects	-	1,438,706.51	1,438,706.51	-	4,914,664.20	4,914,664.20
Facilitated Enrollment	39,957.59	-	39,957.59	1,204,830.50	-	1,204,830.50
Emergency Medical Transportation	-	-	-	750,000.00	-	750,000.00
Managed Long-Term Care Ombudsman	373,729.52	-	373,729.52	2,851,067.25	-	2,851,067.25
Major Academic Pool	-	-	-	-	-	-
Women's Health & Multiple Births	-	-	-	-	-	-
Vital Access Program (OASAS)	-	-	-	-	-	-
Vital Access Program (OMH)	-	-	-	-	-	-
Vital Access Provider Services	-	-	-	-	-	-
General Hospitals Safety-Net Providers	-	-	-	-	-	-
Rural Transportation	-	-	-	35,239,490.00	-	35,239,490.00
AIDS Epidemic	2,388,754.45	-	2,388,754.45	3,626,698.50	-	3,626,698.50
Fluoridation Systems	-	-	-	750,709.02	-	750,709.02
Expanding Caregiver Support Services	2,736,032.31	-	2,736,032.31	13,636,413.83	-	13,636,413.83
Provide Affordable Housing	2,521,009.53	1,272,971.34	3,793,980.87	12,220,627.09	3,855,336.38	16,075,963.47
Health Homes Establishment	-	-	-	376,446.03	-	376,446.03
Community Provider Network	-	-	-	(1,320,927.56)	-	(1,320,927.56)
Inpatient Services	19,335,646.64	-	19,335,646.64	277,832,700.61	-	277,832,700.61
Patient Centered Medical Homes	-	-	-	46,974,452.85	-	46,974,452.85
Outpatient & Emergency Room Services	4,665,652.23	-	4,665,652.23	71,878,811.01	-	71,878,811.01
Clinic Services	18,926,290.11	-	18,926,290.11	81,752,944.61	-	81,752,944.61
Nursing Home Services	97,520,547.99	-	97,520,547.99	448,904,655.00	-	448,904,655.00
Other Long Term Care Services	505,074,115.35	-	505,074,115.35	3,786,071,363.41	-	3,786,071,363.41
Managed Care Services	449,766,819.55	-	449,766,819.55	2,503,290,821.98	-	2,503,290,821.98
Pharmacy Services	16,346,402.93	-	16,346,402.93	76,192,112.94	-	76,192,112.94
Transportation Services	13,642,026.79	-	13,642,026.79	50,462,519.99	-	50,462,519.99
Dental Services	412,797.62	-	412,797.62	1,287,780.71	-	1,287,780.71
Non-Institutional & Other	16,187,231.56	10,662,500.00	26,849,731.56	318,550,041.60	11,215,262.00	329,765,303.60
Medical Services State Facilities	135,546,029.46	-	135,546,029.46	693,904,779.83	-	693,904,779.83
CSEA Family Health Plus Buy In	-	-	-	128,310.97	-	128,310.97
DC37 & Teamster Local 855	-	-	-	-	-	-
Medical Assistance (HCRA)	300,000,000.00	-	300,000,000.00	2,025,000,000.00	-	2,025,000,000.00
Indigent Care	152,703,490.61	-	152,703,490.61	414,222,065.74	-	414,222,065.74
Provider Assessments	68,702,000.00	-	68,702,000.00	366,247,000.00	-	366,247,000.00
NYC Personal Care Workforce Recruitment and Retention Rates (HCRA)	-	-	-	-	-	-
Personal Care Workforce Recruitment and Retention Rates (HCRA)	-	-	-	-	-	-
Home Health Rate Increase (HCRA)	-	-	-	-	-	-
Additional DSH Payments SUNY	-	-	-	-	-	-
<b>TOTAL<sup>(*)</sup></b>	<b>1,996,654,673.49</b>	<b>328,402,295.85</b>	<b>2,324,956,969.34</b>	<b>11,485,160,627.38</b>	<b>373,311,611.36</b>	<b>11,858,472,238.74</b>
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers.	(189,460,800.18)	-	(189,460,800.18)	(809,689,015.61)	-	(809,689,015.61)
<b>TOTAL REPORTED MEDICAID</b>	<b>\$ 1,807,093,873.31</b>	<b>\$ 328,402,295.85</b>	<b>\$ 2,135,496,169.16</b>	<b>\$ 10,675,471,611.77</b>	<b>\$ 373,311,611.36</b>	<b>\$ 11,048,783,223.13</b>

(\*) General Fund and State Special Revenue Funds only.

These amounts do not include Medical Assistance spending for State Operations. These amounts are not comparable to Medicaid Global Cap spending.

Department of Health regularly reclassifies spending between programs, and therefore amounts for any individual program may be restated by DOH.

(\*) Source: Statewide Financial System



## APPENDIX I

STATE OF NEW YORK  
MEDICAL ASSISTANCE DISBURSEMENTS - FEDERAL FUNDS<sup>(\*)</sup>  
FISCAL YEAR 2020-2021

	SEPTEMBER 2020			6 MONTHS ENDED SEPTEMBER 30		
	Department of Health	Other State Agencies	September	Department of Health	Other State Agencies	Year to Date
Medical Assistance & Survey Certification Program	\$ 20,992,294.03	\$ -	\$ 20,992,294.03	\$ 67,929,671.04	\$ -	\$ 67,929,671.04
Medical Assistance Administration	98,649.00	140,616,878.00	140,715,527.00	17,751,867.50	140,749,652.00	158,501,519.50
Partnership Plan	704,381,503.57	-	704,381,503.57	733,712,458.80	-	733,712,458.80
Inpatient Services	450,731,313.32	-	450,731,313.32	2,061,157,583.82	-	2,061,157,583.82
Outpatient & Emergency Room Services	37,070,776.95	-	37,070,776.95	371,596,095.52	-	371,596,095.52
Clinic Services	61,623,935.86	-	61,623,935.86	311,510,073.82	-	311,510,073.82
Nursing Home Services	135,035,317.56	-	135,035,317.56	685,261,795.69	-	685,261,795.69
Other Long Term Care Services	1,721,523,964.20	-	1,721,523,964.20	6,871,600,184.66	-	6,871,600,184.66
Managed Care Services	1,505,689,005.83	-	1,505,689,005.83	10,787,655,140.65	-	10,787,655,140.65
Pharmacy Services	37,184,636.13	-	37,184,636.13	210,709,713.94	-	210,709,713.94
Transportation Services	47,909,205.90	-	47,909,205.90	236,454,239.26	-	236,454,239.26
Dental Services	1,185,731.14	-	1,185,731.14	4,816,581.20	-	4,816,581.20
Non-Institutional & Other	(30,682,658.75)	-	(30,682,658.75)	101,691,884.20	-	101,691,884.20
Medical Services State Facilities	-	-	-	451,796,474.84	-	451,796,474.84
Additional DSH Payments SUNY	219,347,795.80	-	219,347,795.80	219,347,795.80	-	219,347,795.80
<b>TOTAL<sup>(*)</sup></b>	<b>4,912,091,470.54</b>	<b>140,616,878.00</b>	<b>5,052,708,348.54</b>	<b>23,132,991,560.74</b>	<b>140,749,652.00</b>	<b>23,273,741,212.74</b>
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers and adjustments for timing of payments at month end.						
	(183,594,169.06)	-	(183,594,169.06)	(75,155,813.62)	-	(75,155,813.62)
<b>TOTAL REPORTED MEDICAID<sup>(***)</sup></b>	<b>\$ 4,728,497,301.48</b>	<b>\$ 140,616,878.00</b>	<b>\$ 4,869,114,179.48</b>	<b>\$ 23,057,835,747.12</b>	<b>\$ 140,749,652.00</b>	<b>\$ 23,198,585,399.12</b>

<sup>(\*)</sup> Special Revenue Federal Funds only.

These amounts do not include Medical Assistance spending for State Operations.

These amounts are not comparable to Medicaid Global Cap spending.

<sup>(\*\*)</sup> Source: Statewide Financial System

<sup>(\*\*\*)</sup> Reported Medicaid spending does not include the Basic Health Plan.